

# Lecture Notes in Mathematics

Edited by A. Dold and B. Eckmann

Subseries: USSR

Adviser: L.D. Faddeev, Leningrad

1289

Yu. I. Manin (Ed.)

## K-Theory, Arithmetic and Geometry

Seminar, Moscow 1984–1986



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## PREFACE

This volume contains a collection of articles that originated from lectures given at the Manin Seminar at Moscow University, in 1984-1986. One of the principal motivations of the seminar was a collective desire to understand various ramifications of motivic and K-theoretic thinking in modern geometry and arithmetics. The final product is a volume of research papers reflecting the individual tastes of contributors. We hope however that it retains some internal coherence that is difficult to verbalize.

Всего, что знал еще Евгений,

Пересказать мне недосуг ...

(А. С. Пушкин, Евгений Онегин, I.VIII)

A.A.Bëilinson

Yu.I.Manin

V.V.Schechtman

February 1987

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## Height pairing between algebraic cycles

A.A. Beilinson

### Introduction

1. Height pairing in geometric situation (global construction)
2. Local indices over non-archimedean places
3. Local indices over  $\mathbb{C}$  or  $\mathbb{R}$
4. Height pairing over number fields
5. Some conjectures and problems

### Introduction

Let  $X$  be a smooth projective variety over  $\mathbb{Q}$ ; assume that its  $L$ -functions  $L(H^j(X), s)$  satisfy the standard analytic continuation conjectures. Let  $CH^i(X)$  be the group of codimension  $i$  cycles on  $X$  modulo rational equivalence, and  $CH^i(X)^{\circ} \subset CH^i(X)$  be the subgroup of cycles homologous to zero on  $X(\mathbb{C})$ ; in particular  $CH^1(X)^{\circ} = \text{Pic}^{\circ}(X)(\mathbb{Q})$ . The conjecture of Birch and Swinnerton-Dyer claims that at  $s = 1$  the function  $L(H^1(X), s)$  has zero of order  $\text{rk } CH^1(X)^{\circ}$  with the leading coefficient equal to the determinant of Neron-Tate canonical height pairing multiplied by the period matrix determinant up to some rational multiple (we do not need its exact value in what follows). As for the other  $L$ -functions, Swinnerton-Dyer conjectured [20] that the function  $L(H^{2i-1}(X), s)$  has at  $s=i$  (=the middle of the critical strip) the zero of order  $\text{rk } CH^i(X)^{\circ}$ . The aim of this note is to define the canonical height pairing between  $CH^i(X)^{\circ}$  and  $CH^{\dim X+1-i}(X)^{\circ}$  that coincides with the Neron-Tate one for  $i=1$  and whose determinant multiplied by the period matrix determinant should conjecturally be equal up to a rational multiple (of the nature I cannot imagine) to the leading coefficient of  $L(H^{2i-1}(X), s)$  at  $s=i^{*}$ . This pairing should also occur in Riemann-Roch type theorems à la Arakelov-Faltings (see [15]).

---

<sup>\*</sup>) In fact our height pairing is defined on a certain subgroup of  $CH^i(X)^{\circ}$ ; under the very plausible (:=of rank of evidence far higher than B-SwD) local conjectures this subgroups should coincide with the whole  $CH^i(X)^{\circ}$ .

The paper goes as follows. To motivate the basic construction, we begin with the simpler geometric case: here our base field is a field  $k(C)$  of rational functions on a smooth projective curve  $C$ . Then the height pairing  $\langle, \rangle$  comes from the global Poincaré duality on  $\ell$ -adic cohomology. We may compute  $\langle, \rangle$  in terms of local data round the points of  $C$ : if  $a_1, a_2$  are cycles with disjoint supports that are homologous to zero on  $X \otimes \overline{k(C)}$  and  $v \in C$  is a closed point then the local link index  $\langle a_1, a_2 \rangle_v$  is defined, and we have

$$(*) \quad \langle a_1, a_2 \rangle = \sum_{v \in C} \langle a_1, a_2 \rangle_v$$

In the arithmetic situation, when the base field is a number field, the global construction fails due to the lack of appropriate cohomology theory. But we may still define the local indices  $\langle, \rangle_v$  numbered by the places of the base field, and then use  $(*)$  as the definition of  $\langle, \rangle$ . These indices are defined using  $\ell$ -adic cohomology for non-archimedean  $v$  and using the absolute Hodge-Deligne cohomology (see [2], [3], [19]) for archimedean ones; in case of pairing between divisors and zero cycles they are just Neron's quasifunctions ([17], [13], [22]).

We also consider the intersection pairings. In the geometric case this is just the usual intersection pairing between the cycles of complementary dimensions on the regular scheme  $X_C$  proper over  $C$ . In the arithmetic case the role of  $X_C$  plays the  $A$ -variety  $X = (X_{\mathbb{Z}}, \omega)$ : where  $X_{\mathbb{Z}}$  is a regular scheme projective over  $\text{Spec } \mathbb{Z}$  and  $\omega$  is a Kahler  $(1,1)$ -form on  $X_{\mathbb{Z}} \otimes \mathbb{R}$  (see [15]). We define the corresponding Chow groups  $CH^*(X)$  and the  $\mathbb{R}$ -valued intersection pairing between  $CH^i(X)$  and  $CH^{\dim X - i}(X)$ . This construction was independently found by H. Gillet and Ch. Soulé [10].

The final § contains some conjectures and motivic speculations about algebraic cycles, heights,  $L$ -functions and absolute cohomology groups.

The different construction of height pairing was proposed by S. Bloch [6];  
I hope  
that our pairings coincide.

I would like to thank S. Bloch, P. Deligne, Yu. Manin, V. Schechtman and Ch. Soulé for stimulating ideas and interest.

## §1. Height pairing in geometric case

(global construction)

In this §  $k$  will be an algebraically closed field, and  $\ell$  will be a prime different from  $\text{char } k$ .

1.0. First recall some basic facts about the intersections. Let  $Y$  be a smooth projective scheme over  $k$  of dimension  $N + 1$ . The intersection of cycles defines the ring structure on Chow group  $\text{CH}^*(Y)$ . This, together with an obvious trace map  $\text{CH}^{N+1}(Y) \rightarrow \mathbb{Q}$ , determines the intersection pairing  $(,): \text{CH}^i(Y) \otimes \text{CH}^{N+1-i}(Y) \rightarrow \mathbb{Q}$ . We also have étale cohomology ring and the trace  $H^{2(N+1)}(Y, \mathbb{Q}_\ell(N+1)) \rightarrow \mathbb{Q}_\ell$ . The class map  $\text{cl}: \text{CH}^*(Y) \rightarrow H^{2*}(Y, \mathbb{Q}_\ell(*))$  is compatible with these structures, so we may compute  $(,)$  using  $\ell$ -adic cohomology classes.

In particular,  $(,)$  factors through  $\overline{\text{CH}}^*(Y) := \text{Im}(\text{CH}^*(Y) \rightarrow H^{2*}(Y, \mathbb{Q}_\ell(*)))$ . One hopes that the following standard conjectures hold

- the obvious map  $\overline{\text{CH}}^*(Y) \otimes \mathbb{Q}_\ell \rightarrow H^{2*}(Y, \mathbb{Q}_\ell(*))$  should be injective, so  $\overline{\text{CH}}^*(Y) \otimes \mathbb{Q}$  should be finite-dimensional  $\mathbb{Q}$ -vector spaces (this is obviously true in  $\text{char } 0$ )
- $(,)$  should be non-degenerate on  $\overline{\text{CH}}^*(Y) \otimes \mathbb{Q}$
- $\overline{\text{CH}}^* \otimes \mathbb{Q}$  and  $(,)$  should satisfy hard Lefschetz and Hodge-index theorems.
- Finally if  $k$  is an algebraic closure of a finite field, then one should have  $\text{CH}^* \otimes \mathbb{Q} = \overline{\text{CH}}^* \otimes \mathbb{Q}$ . More precisely, for an  $Y_0/F_q$ ,  $Y_0 \otimes k = Y$ , the group  $\text{CH}^*(Y_0) \otimes \mathbb{Q}_\ell$  should coincide with invariants of Frobenius in  $H^{2*}(Y, \mathbb{Q}_\ell(*))$  (Tate's conjecture).

1.1. The height pairing arises in a slightly different situation. Fix a smooth projective irreducible curve  $C$  over  $k$ ; let  $\eta \in C$  be its generic point, and  $\bar{\eta}/\eta$  be some geometric generic point. Now let  $X$  be a smooth projective  $N$ -dimensional  $\eta$ -scheme, and  $X_{\bar{\eta}} := X \times_{\eta} \bar{\eta}$  be its geometric fiber. Choose some projective scheme  $X_C \xrightarrow{\pi} C$  over  $C$  with the generic fiber  $X$ ; for an open  $U \subset C$  put  $X = \pi^{-1}(U)$ . Now take  $j: U \hookrightarrow C$  s.t.  $U$  is affine and  $\pi|_U$  is smooth. Put  $H_{!*}^i(X, \mathbb{Q}_\ell(*)) := \text{Im}(H_c^i(X_U, \mathbb{Q}_\ell(*)) \rightarrow H^i(X_U, \mathbb{Q}_\ell(*)))$ ; the Poincaré duality on  $X_U$  induces the perfect pairing between  $H_{!*}^i(X, \mathbb{Q}_\ell(*))$  and  $H_{!*}^{2N+2-i}(X, \mathbb{Q}_\ell(N+1-*))$ . We'll see in a moment that



this groups and pairing depend only on  $X$  itself (and not on the choice of particular model  $X_C/C$ ). To do this consider the smooth sheaf  $R^{*-1}\pi|_{U*}(\mathcal{O}_\ell)$  on  $U$  and its middle extension  $\mathcal{F}^* :=$

$j_* R^{*-1}\pi|_{U*}(\mathcal{O}_\ell)$  on  $C$ . The Poincare duality along the fibers of  $\pi$  defines the perfect pairing  $\langle, \rangle : \mathcal{F}^* \otimes \mathcal{F}^{2N+2-*} \rightarrow \mathcal{O}_\ell(-N)$  in  $D^b(C, \mathcal{O}_\ell)$  and so the same-noted perfect duality  $\langle, \rangle : H^1(C, \mathcal{F}^*) \otimes H^1(C, \mathcal{F}^{2N+2-*}) \rightarrow \mathcal{O}_\ell(-N-1)$ . Clearly both  $\mathcal{F}^*$  and  $\langle, \rangle$  depend only on  $X$  (and not on  $X_C$ ).

Lemma 1.1.1. We have  $H_{!*}^1(X, \mathcal{O}_\ell(*)) = H^1(C, \mathcal{F}^*(*))$  and  $\langle, \rangle$  coincides with the duality on  $H_{!*}^1$  induced by the pairing between  $H_c(X_U)$  and  $H(X_U)$ .

Proof. I'll prove only the first statement since the second is immediate. Since  $U$  is affine, the Leray spectral sequence for  $\pi$  degenerates and reduces to two-step filtrations on  $H_c^*(X_U)$  and  $H^*(X_U)$  with factors  $Gr_{-2}(H_c^*) = H_c^2(U, R^{*-2}\pi|_{U*}(\mathcal{O}_\ell))$ ,  $Gr_{-1}(H_c^*) = H_c^1(U, R^{*-1}\pi|_{U*}(\mathcal{O}_\ell))$  and  $Gr_{-1}(H^*) = H^1(U, R^{*-1}\pi|_{U*}(\mathcal{O}_\ell))$ ,  $Gr_0(H^*) = H^0(U, R\pi|_{U*}(\mathcal{O}_\ell))$ . So  $H_{!*}^1(X, \mathcal{O}_\ell) = \text{Im}(H_c^1(U, R^{*-1}\pi|_{U*}(\mathcal{O}_\ell)) \rightarrow H^1(U, R^{*-1}\pi|_{U*}(\mathcal{O}_\ell))) = H^1(C, \mathcal{F}^*)$ , q.e.d. ■

For a moment put  $H^*(X, \mathcal{O}_\ell) := \varinjlim H^*(X_U, \mathcal{O}_\ell) = \varinjlim H^*(U, R\pi|_{U*}(\mathcal{O}_\ell))$ ,  $H^*(X, \mathcal{O}_\ell)^0 := \text{Ker}(H^*(X, \mathcal{O}_\ell) \rightarrow H^*(X_{\bar{\mathbb{Z}}}, \mathcal{O}_\ell)) = \varinjlim H^1(U, R^{*-1}\pi|_{U*}(\mathcal{O}_\ell))$ . Clearly  $H_{!*}^1(X) \subset H^*(X)^0 \subset H^*(X)$  and this groups depend on  $X$  only. Now consider the cycles on  $X$ ; we have the class map  $cl : CH^*(X) \rightarrow H^{2*}(X, \mathcal{O}_\ell(\cdot))$ , put  $CH^*(X)^0 := cl^{-1}(H^{2*}(X, \mathcal{O}_\ell(\cdot))^0)$  to be the subgroup of cycles whose intersection with generic geometric fiber is homologous to zero.

Key-lemma 1.1.2. One has  $cl(CH^*(X)^0) \subset H_{!*}^{2*}(X, \mathcal{O}_\ell(\cdot))$ .

Proof. The problem is local round the points of  $C$  (and exists only at points of bad reduction). So let  $\mathcal{Z}_v$  be the generic point of a henselisation of  $C$  at some closed point,  $\bar{\mathcal{Z}}_v$  be a separable closure of  $\mathcal{Z}_v$ , and  $X_{\mathcal{Z}_v} = X \times \mathcal{Z}_v$ ,  $X_{\bar{\mathcal{Z}}_v} = X \times \bar{\mathcal{Z}}_v$ . We have to show that whenever  $a \in CH^*(X_{\mathcal{Z}_v})$  is a cycle s.t.  $cl a \in H^{2*}(X_{\mathcal{Z}_v}, \mathcal{O}_\ell(\cdot))^0 := \text{Ker}(H^{2*}(X_{\mathcal{Z}_v}, \mathcal{O}_\ell(\cdot)) \rightarrow H^{2*}(X_{\bar{\mathcal{Z}}_v}, \mathcal{O}_\ell(\cdot)))$  then  $cl a$  is zero. But  $H^{2*}(X_{\mathcal{Z}_v}, \mathcal{O}_\ell(\cdot))^0 = H^{2*-1}(X_{\bar{\mathcal{Z}}_v}, \mathcal{O}_\ell(\cdot-1))_{\text{Gal } \bar{\mathcal{Z}}_v/\mathcal{Z}_v}$ . According to [9] th.1.8.4. the weights on this group are  $\geq 1$ . Since the class of an algebraic cycle has weight zero, we are done. ■

Now, by lemma, we may define the height pairing

$\langle, \rangle: CH^i(X)^\circ \times CH^{N+1-i}(X)^\circ \rightarrow Q_\ell$  by means of class map followed by the duality between  $H_{i*}^\bullet$ .

One may conjecture that this pairing is  $Q$ -valued and independent of  $\ell$ ; of course this is obviously true in char 0, and also true in any char if  $i = 1$  (for the discussion of this see §2). The variant of standard conjectures claims that  $CH^*(X)^\circ := \text{Im}(CH^*(X)^\circ \otimes Q \rightarrow H_{i*}^\bullet(X, Q_\ell(\cdot)))$  should be finite-dimensional  $Q$ -vector space, that this groups satisfy hard Lefschetz, the form  $\langle, \rangle$  should be non-degenerate on them and satisfy Hodge-index theorem. If  $k = \text{algebraic closure of finite field}$ , then one should have  $CH^*(X)^\circ \otimes Q = CH^*(X)^\circ$ .

Problem. Consider the case  $\dim \mathcal{V}/k > 1$ .

1.2. The both types of pairings - the intersection and the height one - are related as follows. Suppose that we have  $\pi: X_C \rightarrow C$  s.t.  $X_C$  is regular projective with generic fiber  $X$ . Define  $CH^*(X_C)^\circ := \text{Ker}(CH^*(X_C) \rightarrow H^0(C, R^{2*}\pi_*(Q_\ell(\cdot))))$  to be the subgroup of cycles whose intersection with any geometric fiber of  $\pi$  is homologous to zero. The restriction map  $CH^*(X_C) \rightarrow CH^*(X)$ ,  $a_C \mapsto a$  maps  $CH^*(X_C)^\circ$  into  $CH^*(X)^\circ$  and we have an obvious

Lemma 1.2.1. For any  $a_{1C} \in CH^*(X_C)^\circ$ ,  $a_{2C} \in CH^{N+1-*}(X_C)^\circ$  one has  $(a_{1C}, a_{2C}) = \langle a_1, a_2 \rangle$  ■

One may suppose that the image of  $CH(X)^\circ$  under the restriction map coincides with  $CH^*(X)^\circ$ ; see §2 for details.

## §2. Local indices over non-archimedean places

In this § we'll define the local pairing between cycles, and will show how to decompose the global pairings of the previous § into the sum of local ones.

In what follows  $C_v$  will be any strictly henselian trait with the generic point  $\eta_v$ , the special point  $s_v$ , and an algebraic generic geometric point  $\bar{\eta}_v$ ;  $X_v$  will be a smooth projective scheme over  $\eta_v$  of dimension  $N$ , and  $X_{\bar{v}} := X_v \times_{\eta_v} \bar{\eta}_v$  will be its geometric fiber;  $\ell$  will be a prime  $\neq \text{char } s$ .

2.0. Let me start with the local intersection pairing. Let  $X_{C_v}$  be a regular projective scheme over  $C_v$  with the generic fiber  $X_v$  and the special one  $X_s$ . If  $a_{C_v}$  is any cycle on  $X_{C_v}$  of codimension  $d$  then one has its classes  $\widetilde{cl}(a_{C_v})$  in cohomology groups with supports:

the universal one  $\tilde{cl}_M(a_{C_v}) \in H_M^{2d}(\text{supp}_{C_v}(X_{C_v}, \mathbb{Q}(d))) = H_M^{2d}(X_{C_v}, X_{C_v} \setminus \text{supp}_{C_v}, \mathbb{Q}(d))$  and the  $\ell$ -adic one  $\tilde{cl}_{\mathbb{Q}_\ell}(a_{C_v}) \in H_{\text{supp}_{C_v}}^{2d}(X_{C_v}, \mathbb{Q}_\ell(d))$ ; clearly  $cl_M \mapsto cl_{\mathbb{Q}_\ell}$  under canonical map (see [18], [3]).

Now let  $a_{1C_v} \in Z^{d_1}(X_{C_v})$ ,  $a_{2C_v} \in Z^{d_2}(X_{C_v})$  be two cycles on  $X_{C_v}$  of supports  $Y_{1C_v}$ ,  $Y_{2C_v}$  respectively such that  $d_1 + d_2 = N + 1$  and  $Y_{1v} \cap Y_{2v} := Y_{1C_v} \cap Y_{2C_v} \cap X_v = \emptyset$ . Define the intersection index  $(a_{1C_v}, a_{2C_v})_v \in \mathbb{Q}$  to be the image of  $\tilde{cl}_M(a_{1C_v}) \cup \tilde{cl}_M(a_{2C_v}) \in H_M^{2N+2}(X_{C_v}, \mathbb{Q}(N+1))$  by  $H_M^{2N+2}(X_{C_v}, \mathbb{Q}(N+1)) \xrightarrow{\tau_{\mathbb{Q}_\ell}} H_M^1(S_v(C_v, \mathbb{Q}(1))) = \mathbb{Q}$ .

We may replace here  $H_M$  by  $\ell$ -adic cohomology; since  $H_M \rightarrow H_{\mathbb{Q}_\ell}$  commutes with any canonical map, we'll get the same answer.

If we are in a global geometric situation 1.2, then for any closed point  $s_v$  of  $C$  we may consider the henselisation  $C_v$  of  $C$  at  $s_v$  and thus get our local situation. If  $a_{1C}, a_{2C}$  are cycles on  $X_C$  s.t.  $a_1, a_2$  have disjoint supports on  $X$ , then for any  $s_v$  we get local intersection index  $(a_{1C}, a_{2C})_v$  and clearly one has

**Lemma 2.0.1.**  $(a_{1C}, a_{2C}) = \sum (a_{1C}, a_{2C})_v$  ■

2.1. Now let us consider the local components for height pairing.

Let  $a_1, a_2, a_i \in Z^{d_i}(X_v)$ , be a cycles on  $X_v$ ; put  $Y_i = \text{supp } a_i$ ,  $U_i = X_v - Y_i$ . Suppose that  $d_1 + d_2 = N+1$ ,  $Y_1 \cap Y_2 = \emptyset$  and both  $cl(a_i) \in H^{2d_i}(X_v, \mathbb{Q}_\ell(d_i))$  are zero. In this situation one has link index  $\langle a_1, a_2 \rangle_v \in \mathbb{Q}_\ell$ . The intuitive picture for  $\langle, \rangle_v$  is following: from the homotopy point of view  $\mathbb{Q}_\ell$  is a circle round  $s_v$  and  $X_v$  is  $2N+1$ -dimensional topological manifold fibered over this circle,  $a_i$  are  $2d_i$ -codimensional cycles on it that doesn't intersect and homologous to zero; the link index  $\langle a_1, a_2 \rangle_v$  is the intersection number of  $a_1$  and the chain that bounds  $a_2$ . Here are the number of exact definitions of  $\langle a_1, a_2 \rangle_v$ ; the proof of their equivalence is left to the reader. In what follows  $\alpha_i \in H^{2d_i-1}(U_i, \mathbb{Q}_\ell(d_i))$  are classes that bound  $a_i$ : this means that  $\alpha_i \mapsto \tilde{cl}(a_i)$  under the boundary map  $H^{2d_i-1}(U_i) \rightarrow H_{Y_i}^{2d_i}(X_v)$ .

Lemma-definition 2.1.1. The following definitions of  $\langle a_1, a_2 \rangle_v$  are equivalent.

a)  $\langle a_1, a_2 \rangle_v$  is the image of  $\alpha_1 \cup \widetilde{cl}(a_2)$  by  $H^{2N+1}_{Y_2}(U_1, \mathbb{Q}(N+1)) \rightarrow H^{2N+1}(X_v, \mathbb{Q}_\ell(N+1)) \xrightarrow{Tr} H^1(\mathcal{O}_v, \mathbb{Q}_\ell(1)) = \mathbb{Q}_\ell$

b)  $\langle a_1, a_2 \rangle_v$  is the image of  $\alpha_1 \cup \alpha_2$  by  $H^{2N}(U_1 \cap U_2, \mathbb{Q}_\ell(N+1)) \rightarrow H^{2N+1}(X_v, \mathbb{Q}_\ell(N+1)) \xrightarrow{Tr} \mathbb{Q}_\ell$ ; here the first arrow comes from the Myer-Vietoris exact sequence for the covering  $U_1 \cup U_2 = X_v$ .

c) Choose a projective  $\pi: X_{C_v} \rightarrow C_v$  with the generic fiber  $X_v$ ,  $\beta_1 \in H^{2d_1}_{\pi^{-1}(s_v) \cup Y_1}(X_{C_v}, \mathbb{Q}_\ell(d_1))$  and  $\beta_2 \in H^{2d_2-2N}_{\pi^{-1}(s_v) \cup Y_2}(X_{C_v}, R\pi^! \mathbb{Q}_\ell(d_2-N))$  s.t. the restriction of  $\beta_i$  on  $X_v$  coincide with  $\widetilde{cl}(a_i)$  and the image of  $\beta_1$  in  $H^{2d_1}(X_{C_v}, \mathbb{Q}_\ell(d_1))$  is zero (e.g. you may take  $\beta_1$  to be the boundary of  $\alpha_1$  in  $X_{C_v}$ ). Then  $\langle a_1, a_2 \rangle_v$  is the image of  $\beta_1 \cup \beta_2$  by  $H^{2d_1}_{\pi^{-1}(s_v)}(X_{C_v}, R\pi^! \mathbb{Q}_\ell(1)) \rightarrow H^2_{S_v}(C_v, \mathbb{Q}_\ell(1)) = \mathbb{Q}_\ell$  ■

This way we get link pairing between the cycles with disjoint supports; clearly 2.1.1. b) shows that this pairing is bilinear and symmetric. Now we are going to show that it behaves well under the action of correspondences. To do this first let us see that the above definition may be easily generalised to the case of arbitrary many cycles. Namely let  $a_1, \dots, a_n$  be cycles of codimensions  $d_i$  on  $X_v$  s.t.  $\sum d_i = N+1$  and  $\bigcap Y_i = \emptyset$  (here  $Y_i = \text{supp } a_i$ ,  $U_i = X_v \setminus Y_i$ ) assume that at least one of them is homologous to zero in  $X$ . Choose a non-empty subset  $S \subset \{1, \dots, n\}$  s.t. for any  $j \in S$  the cycle  $a_j$  is homologous to zero, and for  $a_j \notin S$  some  $\alpha_j \in H^{2d_j-1}(U_j, \mathbb{Q}_\ell(d_j))$  that bounds  $a_j$ . Define  $\langle a_1, \dots, a_n \rangle_v$  to be  $\text{Tr} [\partial(\bigcup_{j \in S} \alpha_j) \cup (\bigcup_{j \notin S} a_j)]$ ; here

$\partial: H^*(\bigcap_{j \in S} U_j) \rightarrow H^{*+\#S-1}(\bigcup_{j \in S} U_j)$  is the differential in the spectral sequence of the covering  $\{U_j\}_{j \in S}$  of  $\bigcup_{j \in S} U_j$ , and

We have the following easy generalisation of 2.1.1:

Lemma 2.1.2. If at least two of  $a_i$  are homologous to zero, then  $\langle a_1, \dots, a_n \rangle_v$  depends on  $a_1, \dots, a_n$  only (and not on the choice of  $S$  and  $\alpha_j$ ) ■

Clearly in this case the pairing is also bilinear and symmetric.

Now we may look at correspondences. Consider two schemes  $X_{1v}$ ,  $X_{2v}$  of dimensions  $N_1, N_2$ , a cycles  $a_i$  of codimensions  $d_i$  on

$X_{1v}$  and a cycle  $b$  of codimension  $d_3$  on  $X_{1v} \times X_{2v}$ . Assume that both  $a_i$  are homologous to zero,  $d_1 + d_2 + d_3 = N_1 + N_2 + 1$  and  $p_1^{-1}(\text{supp } a_1) \cap \text{supp } b \cap p_2^{-1}(\text{supp } a_2) = \emptyset$  (here  $p_i$  are projections  $X_{1v} \times X_{2v} \rightarrow X_{iv}$ ). Then 2.1.1 implies

Lemma 2.1.3.  $\langle p_1^*(a_1), b, p_2^*(a_2) \rangle_v = \langle b(a_1), a_2 \rangle_v = \langle a_1, b(a_2) \rangle_v$  ■

Here  $b(a_1)$  is the image of  $a_1$  under the action of correspondence  $b$ . (Note that if  $p_1^*(a_1)$  and  $b$  doesn't intersect properly, then the cycle  $b(a_1)$  is not defined in a unique way, but it has correctly defined class in the cohomology group with support in  $p_2(\text{supp } b \cap p_1^{-1}(\text{supp } a_1))$  that suffice for our purposes.)

The lemma shows for example that the computation of  $\langle a_1, a_2 \rangle_v$  in case when one of  $a_i$  is algebraically equivalent to zero, may be reduced to the computation of link index between a zero cycles on a curve.

Our pairing behaves in a usual way under the change of the base field. Namely let  $\mathcal{V}'/\mathcal{V}$  be a degree  $n$  extension,  $X_v$  is a scheme over  $\mathcal{V}$  and  $X_{v'}$  is one over  $\mathcal{V}'$ . Put  $X_{v'} = X_v \times_{\mathcal{V}} \mathcal{V}'$  and  $Y_v$  be  $Y_{v'}$  considered as a scheme over  $\mathcal{V}$ . We have the obvious arrows

$Z(X_v) \hookrightarrow Z(X_{v'})$ ,  $Z(Y_{v'}) = Z(Y_v)$  and the following holds (recall that  $k$  is separably closed):

Lemma 2.1.4. Let  $a_i$  be cycles on  $X_v$  and  $b_i$  the ones on  $Y_{v'}$ . Then  $\langle a_1, a_2 \rangle_v = 1/n \langle a_1, a_2 \rangle_{v'}, \langle b_1, b_2 \rangle_{v'} = \langle b_1, b_2 \rangle_v$  ■

In particular by means of first formula we may define the height pairing between cycles on  $X_{\bar{\mathcal{V}}}$ ; this pairing is clearly Galois-invariant.

Let us relate local link pairings with the global height pairing of §1. Assume that we are in global geometric situation of 1.1. As in 2.0 to each closed point on  $C$  corresponds the local picture over corresponding local field  $\mathcal{V}_v$ . Let  $a_1, a_2$  be cycles on  $X$  of codimensions  $d_i$  s.t.  $d_1 + d_2 = N+1$  and  $\text{supp } a_1 \cap \text{supp } a_2 = \emptyset$ . If  $a_i$  belongs to  $\text{CH}^{d_i}(X)^\circ$  then 1.12 shows that  $a_i$  is homologous to zero on any  $X_v$ . So the link index  $\langle a_1, a_2 \rangle_v$  is defined, and it is easy to see that the definition 2.1.1.c implies the formula (\*) from the introduction:

Lemma 2.1.5. We have  $\langle a_1, a_2 \rangle = \sum \langle a_1, a_2 \rangle_v$  (sum over all closed points of  $C$ ). ■

Finally let me compare the local pairings from 2.0 and 2.1. Suppose that we are in a situation 2.0. Put  $\text{CH}^*(X_{C_v})^\circ := \text{Ker}(\text{CH}^*(X_{C_v}) \rightarrow H^{2*}(X_{C_v}, \mathcal{O}_C(\cdot)))$ . Let  $a_{1C_v}, a_{2C_v}$  be cycles on  $X_{C_v}$  as in 2.0; assume that both  $a_i$  are homologous to zero on  $X_v$  (here  $a_i :=$

$a_{iC_v} \cap X_v$ ). Then 2.1.1c implies.

Lemma 2.1.6. If one of  $a_{iC_v}$  also belongs to  $CH^*(X_{C_v})^0$ , then  $(a_{1C_v}, a_{2C_v})_v = \langle a_1, a_2 \rangle_v$ . ■

This is local analog of 1.2.1. In particular in this situation  $\langle a_1, a_2 \rangle_v \in \mathbb{Q}$  and doesn't depend on the choice of  $\ell \neq \text{char } k$ .

2.2. Here are some conjectures about local pairings. Put

$$CH^*(X_v)^0 := \text{Ker} (CH^*(X_v) \longrightarrow H^{2*}(X_{\bar{v}}, \mathbb{Q}_\ell(\cdot)))$$

Conjecture 2.2.1. One has  $CH^i(X_v)^0 = \text{Ker} (CH^i(X_v) \longrightarrow H^{2i}(X_v, \mathbb{Q}_\ell(i)))$  i.e. any cycle whose intersection with a generic geometric fiber is homologous to zero is homologous to zero on  $X_v$ . ■

Lemma 2.2.2. This conjecture is true in following cases:

- Good reduction case.
- For cycles algebraically equivalent to zero (in particular the case  $i = 1$  and  $i = N$ ).
- Geometric case.

Proof. a is obvious; c was proved in 1.1.2; b may be reduced using correspondences to the case of zero cycles on curve, where it follows, say, from 2.2.6b. ■

Remark. The conjecture would follow if one knows the information on weights on  $H^*(X_{\bar{v}}, \mathbb{Q}_\ell)$  similar to those one has in geometric case. If  $\mathbb{Q}_v$  is a p-adic local field, then the thing we need is the usual conjecture on poles of local L-multiples.

Conjecture 2.2.3. The local link pairing is  $\mathbb{Q}$ -valued and independent of  $\ell \neq \text{char } k$ .

Lemma 2.2.4. This conjecture is true:

- In good reduction case.
- In case  $\text{char } s = 0$ .
- When one of the cycles is algebraically equivalent to zero (in particular for the pairing between divisors and zero cycles).

Proof. b is obvious; a and c follow from 2.2.6, for c use correspondences to reduce to 2.2.6b. ■

Suppose that we are in a situation 2.0. Clearly the restriction arrow  $CH^*(X_{C_v}) \longrightarrow CH^*(X_v)$  maps  $CH^*(X_{C_v})^0$  into  $CH^*(X_v)^0$ .

Conjecture 2.2.5.  $CH^*(X_C)^0 \longrightarrow CH^*(X_v)^0$ . ■

Clearly 2.2.5 implies both 2.2.1 and 2.2.3.

Lemma 2.2.6. This conjecture is true

- In good reduction case.
- If  $X_v$  is a curve.

Proof. a is obvious; b follows from the well-known fact that

the intersection matrix between components of the special fiber is almost negative-definite. ■

The property, 2.2.6b together with 2.1.6, shows that in case of zero cycles on a curve our link index coincides with Néron's local symbol; using the correspondences one may see that the same is true for the pairing between divisors and zero cycles in arbitrary dimensions (or you may directly verify that  $\langle, \rangle_v$  satisfies the conditions of Néron's theorem [12].) For the review of heights on curves together with the study of important examples see [7].

We wish to use the formula 2.1.5. for varieties over number field as the definition of left-hand side, just as Neron did in the case of divisors and zero cycles. The only thing that remains to be defined is the link index for archimedean local fields.

### §3. Local indices over $\mathbb{C}$ and $\mathbb{R}$

In this § our base field will be  $\mathbb{C}$  or  $\mathbb{R}$

3.0. We have the following dictionary (see [2] for notations and details)

Non-archimedean case ( $\mathfrak{V}_v$ is the spectrum of a p-adic local field)	Archimedean case ( $\mathfrak{V}_v = \text{Spec } \mathbb{C}$ or $\text{Spec } \mathbb{R}$ )
Gal $\bar{\mathfrak{V}}_v / \mathfrak{V}_v$ -modules	$\mathbb{R}$ -mixed Hodge structures
Étale cohomology groups $H^*(X_{\bar{\mathfrak{V}}}, \mathbb{Q}_\ell(*))$ of the geo- metric fiber with the Galois action	Ordinary cohomology groups $H^*(X_v(\mathbb{C}), \mathbb{R}(*))$ with Deligne's mixed Hodge structure
Étale cohomology groups $H^*(X_v, \mathbb{Q}_\ell(*))$	Absolute Hodge-Deligne cohomology groups $H_{\mathcal{H}}^*(X_v, \mathbb{R}(*))$
Canonical arrow $H^*(X_v, \mathbb{Q}_\ell(*)) \longrightarrow$ $H^*(X_{\bar{\mathfrak{V}}}, \mathbb{Q}_\ell(*))$	Canonical arrow $H_{\mathcal{H}}^*(X_v, \mathbb{R}(*)) \longrightarrow H_{\mathcal{B}}^*(X_v, \mathbb{R}(*))$ $\subset H^*(X_v(\mathbb{C}), \mathbb{R}(*))$

Note that the canonical arrow  $H^{2i}(X_v, \mathbb{R}(i)) \longrightarrow H^{2i}(X_v, \mathbb{R}(i))$  is injective; so whenever we have an algebraic cycle homologous to zero as topological cycle it has zero class in  $H_{\mathcal{H}}$ . This shows that the analog of conjecture 2.2.1 is obviously true in archimedean case

(this is one of the sides of the fact that smooth proper varieties have "good reduction" at archimedean places from the Hodge-theoretic point of view). We may translate the definition 2.1.1 a or b using the above dictionary to define the link index in our situation. Namely, this way for any two cycles  $a_1, a_2$  on  $X_V$  of codimensions  $d_1, d_2$  s.t.  $d_1 + d_2 = N+1$ , the supports of  $a_i$  doesn't intersect and both  $a_i$  are homologous to zero, we get the link index  $\langle a_1, a_2 \rangle_V \in \mathbb{R}$ . The lemmas 2.1.1 (a  $\Leftrightarrow$  b), 2.1.2. - 2.1.4. remain true, together with their proofs, in our situation.

3.1. Now let me sketch the definition of the analog of intersection index à la Arakelov; since this will not be needed in the main body of the paper I'll omit the details. The similar construction was found by H. Gillet and Ch. Soulé [10]. The role of the model  $X_{C_V}$  of  $X_V$  over the ring of integers of  $p$ -adic field plays now (in our archimedean situation) the Kähler metrics  $\omega$  on  $X_V$  (see [15]). Let us define the  $\mathbb{R}$ -vector space  $Z^i(X_V, \omega)$  - an analog of the group  $Z^i(X_{C_V})$ . In what follows for  $c \in H^*(X_V, \mathbb{C})$  we denote by  $\tilde{c}$  its  $\omega$ -harmonic representative, and for a cycle  $z \in Z^*(X_V) \otimes \mathbb{R}$  let  $\delta_z$  be its  $\delta$ -current. Say that a current  $\alpha$  is  $i$ -Green current if it is  $R(i-1)$ -valued of type  $(i-1, i-1)$  and for certain (unique)

$\alpha_f \in Z^i(X_V) \otimes \mathbb{R}$  one has  $\partial\bar{\partial}\alpha = \delta_{\alpha_f} - \widetilde{cl\alpha_f}$ ; say that  $\alpha$  is regular if it is of  $C^\infty$ -class off the support of  $\alpha_f$ . A (regular) Green current  $\alpha$  is trivial if  $\alpha = \partial\bar{\nu} + \bar{\partial}\nu$  for certain ( $C^\infty$ -class) current  $\nu$ . Put  $Z^i(X_V, \omega)$  to be the factorspace of  $i$ -Green currents modulo trivial ones (you may take all Green currents or regular ones only - this doesn't change  $Z^i(X_V, \omega)$ ). For  $\alpha \in Z^i(X_V, \omega)$  let  $\alpha_\infty \in H^{i-1, i-1}(X_V, \mathbb{R}(i-1)) (= H^{i-1, i-1}(X_V) \cap H_{\beta}^{2i-2}(X_V, \mathbb{R}(i-1)))$  be the (only) class such that for any  $\omega$ -harmonic  $2(N-i+1)$ -form  $\nu$  one

has  $\int_{X_V} \alpha \wedge \nu = \int_{X_V} \alpha_\infty \wedge \nu$ . One may see that the arrow  $Z^i(X_V, \omega) \rightarrow H^{i-1, i-1}(X_V, \mathbb{R}(i-1)) \oplus Z^i(X_V) \otimes \mathbb{R}, \alpha \mapsto (\alpha_\infty, \alpha_f)$ , is isomorphism. This direct sum decomposition of  $Z^i(X_V, \omega)$  is analogous to the decomposition of  $Z^i(X_C)$  into the sum of the group of cycles supported in the special fiber and the group of cycles that intersect the special fiber properly.

Here is an important example of Green current; we'll need it in n° 4.1. For a scheme  $S$  put  $C^i(S) = \bigoplus_{\substack{\eta \in S \\ \text{Codim } \eta = i}} \mathcal{O}^*(\eta)$ : an element  $\varphi \in C^i(S)$



is a finite number of invertible meromorphic functions  $\mathcal{G}_2$  defined at the generic points of codimension 1 subschemes  $\mathcal{V}$  (this is  $E^{-i-1, i}$ -term of Quillen's spectral sequence for  $K'_*(S)$ ); we have the exact sequence  $C^{i-1}(S) \xrightarrow{\text{div}} Z^i(S) \rightarrow CH^i(S) \rightarrow 0$ . Now in our situation for  $\varphi \in C^{i-1}(X_V)$  we have Green current  $\overline{\text{div}}(\varphi)$  defined by 
$$\int \overline{\text{div}}(\varphi) \wedge v = \sum_2 \int_2 \log |\varphi_2| \cdot v / 2 ; \text{ clearly } (\overline{\text{div}} \varphi)_f = \text{div } \varphi.$$

This way we get an arrow  $\overline{\text{div}} : C^{i-1}(X_V) \longrightarrow Z^i(X_V, \omega)$ .

Now let me define the intersection index. Let  $a_1 \in Z^{d_1}(X_V, \omega)$ ,  $a_2 \in Z^{d_2}(X_V, \omega)$  be elements such that  $d_1 + d_2 = N+1$  and the supports of  $a_{if}$  are disjoint. Put  $(a_1, a_2)_V = \int_{X_V} (\alpha_1 \wedge \delta_{a_{2f}} + \delta_{a_{1f}} \wedge \overline{a_{2\infty}}) \in \mathbb{R}$  (here  $\alpha_1$  is a regular Green current that represents  $a_1$ ). One may see that  $(a_1, a_2)_V$  so defined is independent of the choice of  $\alpha_1$ , that  $(,)_V$  is symmetric, and in case when both  $a_{if}$  are homologous to zero one has  $(a_1, a_2)_V = \langle a_{1f}, a_{2f} \rangle_V$ . In  $N = 1$  case this construction coincides with the original Arakelov one [1] (see also [13]).

#### §4. Height pairing over number fields

Let us return to the global situation. In this § our base field  $K$  will be a finite extension of  $\mathbb{Q}$ ; let  $\overline{K}$  be an algebraic closure of  $K$ ,  $\mathcal{V} = \text{Spec } K$ ,  $\overline{\mathcal{V}} = \text{Spec } \overline{K}$ . For any place  $v$  of  $K$  denote by  $K_v$  the corresponding local field, and by  $\mathcal{V}_v$  the spectrum of  $K_v^{\text{nr}}$  — maximal non-ramified extension of  $K_v$ . Define the real number  $r(v)$  to be  $\log$  (number of elements in the residue field of  $K_v$ ) for non-archimedean  $v$ , and  $r(v) = 1$  for  $K_v = \mathbb{R}$ ,  $r(v) = 2$  for  $K_v = \mathbb{C}$ .

4.0. Let  $X = X_K$  be a  $N$ -dimensional smooth projective variety over  $K$ ; put  $CH^i(X)^{\circ} := \text{Ker}(CH^i(X) \rightarrow H^{2i}(X_{\overline{\mathcal{V}}}, \mathbb{Q}_\ell(i)))$ ,  $\overline{CH}^i(X) := CH^i(X)/CH^i(X)^{\circ} \subset H^{2i}(X_{\overline{\mathcal{V}}}, \mathbb{Q}_\ell(i))$ . We'll assume that for any non-archimedean  $v$  the  $\mathcal{V}_v$ -scheme  $X_v = X \times \mathcal{V}_v$  satisfies the conjectures 2.2.1 and 2.2.3.

Remark 4.0.1. a. If you do not wish to assume this, then change the notations and put  $CH^i(X)^{\circ} := \text{Im}(CH^i(X_{\mathbf{Z}})^{\circ} \rightarrow CH^i(X))$ ; here  $X_{\mathbf{Z}}$  is certain regular model of  $X$  over the ring of integers of  $K$  (we