# Differential Analysis

Papers presented at the Bombay Colloquium, 1964, by

ATIYAH BOTT GÅRDING HÖRMANDER HUEBSCH KOHN MALGRANGE MATSUSHIMA MILNOR MONTGOMERY MORREY MORSE MOSER NARASIMHAN RAGHUNATHAN DE RHAM SESHADRI SMALE SPENCER THOM VAN DE VEN

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# INTERNATIONAL COLLOQUIUM ON DIFFERENTIAL ANALYSIS

BOMBAY, 7-14 JANUARY 1964

### REPORT

An International Colloquium on Differential Analysis was held at the Tata Institute of Fundamental Research, Bombay, on 7-14 January 1964. The Colloquium was a closed meeting of experts and of others seriously interested in differential analysis. It was attended by 23 members, and 26 other participants, from France, India, Japan, the Netherlands, Sweden, Switzerland, the United Kingdom, and the United States.

The Colloquium was jointly sponsored, and financially supported, by the International Mathematical Union, the Sir Dorabji Tata Trust, and the Tata Institute of Fundamental Research. An Organizing Committee consisting of Professor K. Chandrasekharan (Chairman), Professor K. G. Ramanathan, Professor M. S. Narasimhan, Professor Raghavan Narasimhan, G. de Rham, and Professor D. Montgomery was in charge of the scientific programme. Professor de Rham and Professor Montgomery acted as representatives of the Union on the Organizing Committee. The purpose of the Colloquium was to discuss recent developments in some aspects of (i) the theory of differential equations, (ii) analysis in the large and differential geometry, and (iii) differential topology.

The following nineteen mathematicians accepted invitations to address the Colloquium:

Professor M. F. Atiyah, Professor R. Bott, Professor L. Gårding, Professor L. Hörmander, Professor J. J. Kohn, Professor B. Malgrange, Professor Y. Matsushima, Professor J. W. Milnor, Professor D. Montgomery, Professor C. B. Morrey, Jr., Professor J. K. Moser, Professor M. S. Narasimhan, Mr. M. S. Raghunathan,

Professor G. de Rham, Professor C. S. Seshadri, Professor S. Smale, Professor D. C. Spencer, Professor R. Thom and Professor A. Van de Ven.

Professor M. Morse, who was unable to accept the invitation to attend the Colloquium, sent in a paper.

The Colloquium met in closed sessions. Eighteen lectures were given. Each lecture lasted fifty minutes, followed by discussions. Informal lectures and discussions continued during the week, outside the official programme.

The social programme during the Colloquium week included a ballet and dinner on 7 January; a show of cultural films on 8 January; a performance of Indian music on the Veena, and on the Sitar, on 9 January; a performance of classical Indian dances on 10 January; an excursion to Elephanta on 12 January; and a violin recital followed by a dinner on 13 January.

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### CONDITIONED DIFFERENTIABLE ISOTOPIES

## By WILLIAM HUEBSCH† and MARSTON MORSE‡

1. Introduction. The theorems on differentiable isotopies found in recent papers, such as [6], [5] and the "Reduction Theorems" in §3 of [1] are inadequate for the purpose of proving some of the more recent theorems in differential topology. In particular the principal theorem concerning the elimination of a pair of critical points, as stated in [2], seems to require deeper Reduction Theorems and differentiable isotopies. Theorem 1.3 of this paper is one such theorem. This paper will establish Theorem 1.3 with an appropriate background.

We refer to a euclidean n-space  $E_n$  with rectangular coordinates  $x_1, \ldots, x_n$ . The point  $x = (x_1, \ldots, x_n)$  can be considered a vector with components equal to the respective coordinates of x. Let ||x|| be the magnitude of x conceived as a vector. Corresponding to a prescribed positive constant  $\rho$  set

$$D_{\rho} = \{ x \in E_n | \|x\| < \rho \}. \tag{1.0}$$

Given a subset Y of  $E_n$  set  $E_n - Y = {}^{c}Y$ . Let  $\mathbf{0}$  denote the origin in  $E_n$ . Let R denote the axis of reals.

For simplicity all differentiable mappings used in this paper will be differentiable of class  $C^{\infty}$ . It is clear that this condition could be greatly relaxed.

**DEFINITION.** A differentiable mapping of  $E_n$  onto  $E_n$  which leaves  $\mathbf{0} \cup {}^{\circ}D_{\rho}$  point-wise invariant, will be termed a mapping with domain of identity  $\mathbf{0} \cup {}^{\circ}D_{\rho}$ .

DEFINITION. Two diffeomorphisms whose domains of definition include 0, will be said to be 0-related if their restrictions to some neighborhood of the origin are identical.

<sup>†</sup> Work of Huebsch supported in part by the National Science Foundation under NSF-G19884.

<sup>‡</sup> Work of Morse supported by the Air Force Office of Scientific Research under AF-AFOSR-63-357.

Theorem 3.1 of [1] can be reformulated as follows. {Cf. [5] Lemma 8.1, and [6] Lemma 3.2.}

THEOREM 1.1. Let X be an open neighborhood of  $\mathbf{0}$ , and let  $x \to f(x)$  be a sense-preserving diffeomorphism of X into  $E_n$  which leaves  $\mathbf{0}$  invariant.

Corresponding to a prescribed positive constant  $\rho$  there exists a diffeomorphism of  $E_n$  onto  $E_n$ , **0**-related to f, with domain of identity  $\mathbf{0} \cup {}^c D_o$ .

To state an extension of Theorem 1.1 we recall a definition.

**DEFINITION.** An isotopy H. Let X be an open subset of  $E_n$ . A diffeomorphism k of X into  $E_n$  will be said to be differentiably isotopic to a diffeomorphism k of X into  $E_n$  if there exists a differentiable mapping:

$$H: X \times R \to E_n; (x, t) \to H(x, t)$$
 (1.1)

such that each partial mapping:

$$x \to H(x, t) = H^t(x)$$
 (introducing  $H^t$ ) (1.2)

is a diffeomorphism of X into  $E_n$ , and if  $H^t = h$  for  $t \leq 0$ , and  $H^t = k$  for  $t \geq 1$ . We then term H a differentiable isotopy of h into k, and  $H^t$  the t-section of H.

The following extension of Theorem 3.1 of [1] is a consequence of Theorem 1.3 of this paper.

THEOREM 1.2. Let X and f be given as in Theorem 1.1. Corresponding to a prescribed positive constant  $\rho$  there exists a diffeomorphism h of  $E_n$  onto  $E_n$ , 0-related to f, with domain of identity  $\mathbf{0} \cup {}^c D_{\rho}$ , admitting a differentiable isotopy H into the identity, such that each section  $H^t$  of H is a diffeomorphism of  $E_n$  onto  $E_n$  with domain of identity  $\mathbf{0} \cup {}^c D_{\rho}$ .

In this paper a differentiable m-manifold  $\Sigma_m$ ,  $0 < m \leqslant n$ , "in  $E_n$ " is a differentiable manifold which is regular and proper in  $E_n$ .  $\Sigma_m$  is proper in the sense that its topology is induced by that of  $E_n$ ; it is regular in  $E_n$  if each point in  $\Sigma_m$  has a neighborhood N, relative

to  $\Sigma_m$ , such that rectangular coordinates in  $E_n$  of an arbitrary point  $q \in N$  are functions of class  $C^{\infty}$  of some m of these coordinates of q.

Definition. An indicatrix of  $\Sigma_m$  at  $\mathbf{0}$ . Suppose that  $\Sigma_m$  meets the origin  $\mathbf{0}$ . An ordered set of m linearly independent vectors tangent to  $\Sigma_m$  at  $\mathbf{0}$  will be called an indicatrix of  $\Sigma_m$  at  $\mathbf{0}$ . Two indicatrices of  $\Sigma_m$  at  $\mathbf{0}$  are termed equivalent if one can be deformed, as a linearly independent ordered set of m vectors tangent to  $\Sigma_m$  at  $\mathbf{0}$ , into the other. Nonequivalent indicatrices are termed opposite.

Definition. The f-image of an indicatrix. Let f be a diffeomorphism into  $E_n$  of a neighborhood X of  $\mathbf{0}$ . If  $\Sigma_m \subset X$ ,  $f(\Sigma_m)$  is well-defined. Let

$$(w) = (w(1), \ldots, w(m))$$

be an ordered set of m contravariant vectors which define an indicatrix of  $\Sigma_m$  at  $\mathbf{0}$ . The contravariant image under f of the vectors  $w(1), \ldots, w(m)$ , is a set

$$(w') = (w'(1), \ldots, w'(m))$$

of vectors tangent to the manifold  $f(\Sigma_m)$  at  $\mathbf{0}$  which serves as an indicatrix of  $f(\Sigma_m)$  at  $\mathbf{0}$ . We term (w') the f-image of (w).

It is clear that f maps equivalent indicatrices into equivalent indicatrices.

Definition. Relative similarity of indicatrices. Let r and s be positive integers such that r+s=n. Let  $M_r$ ,  $M_r^*$  and  $L_s$  be differentiable manifolds in  $E_n$  with dimensions r, r and s, respectively. Suppose that

$$M_r \cap L_s = \mathbf{0}, \quad M_r^* \cap L_s = \mathbf{0},$$

and that  $M_r$  and  $L_s$  have no tangent vector in common at  $\mathbf{0}$ , nor  $M_r^*$  and  $L_s$ . Let

$$(w) = (w(1), \dots, w(r))$$
 (1.3)

$$(w^*) = (w^*(1), \dots, w^*(r)) \tag{1.4}$$

be indicatrices of  $M_r$  and  $M_r^*$ , respectively, at 0. Let

$$(\lambda) = (\lambda(1), \dots, \lambda(s)) \tag{1.5}$$

be an arbitrary indicatrix of  $L_s$  at 0.

We say that the indicatrices (w) and (w\*) at  ${\bf 0}$  are similar relative to  $L_s$  if the two ensembles of vectors

$$(\lambda(1), \ldots, \lambda(s) : w(1), \ldots, w(r))$$
 (1.6)

$$(\lambda(1), \ldots, \lambda(s) : w^*(1), \ldots, w^*(r))$$
 (1.7)

are equivalent as indicatrices of  $E_n$  at 0.

The property of (w) and  $(w^*)$  being similar relative to  $L_s$ , is independent of the choice of  $(\lambda)$  as an indicatrix of  $L_s$  at  $\mathbf{0}$ , and of the choice of (w) and  $(w^*)$  in equivalence classes of (w) and  $(w^*)$  respectively.

Theorem 1.3 is the principal theorem of this paper.

Data in Theorem 1.3. Let X be an open neighborhood of  $\mathbf{0}$  in  $E_n$ , and  $L_s$  and  $M_r$  differentiable manifolds in X such that

$$M_r \cap L_s = \mathbf{0},\tag{1.8}$$

with r + s = n and 0 < s < n. Suppose moreover that  $M_r$  and  $L_s$  have no tangent in common at 0.

Theorem 1.3. Let f be a sense-preserving diffeomorphism of X into  $E_n$ , leaving 0 fixed, and such that  $(a_1)$  and  $(a_2)$  are satisfied.

 $(\mathbf{a_1})$   $L_s \cap f(M_r) = \mathbf{0}$  and there is no tangent line common to  $L_s$  and  $f(M_r)$  at  $\mathbf{0}$ .

(a<sub>2</sub>) If (w) is an indicatrix of  $M_r$  at  $\mathbf{0}$ , and if (w\*) is the indicatrix of  $f(M_r)$  at  $\mathbf{0}$  which is the f-image of (w), then (w) and (w\*) are similar relative to  $L_s$ .

Corresponding to a prescribed positive constant  $\rho$  there then exists a diffeomorphism h of  $E_n$  onto  $E_n$ ,  $\mathbf{0}$ -related to f, with domain of identity  $\mathbf{0} \cup {}^cD_a$ , with

$$L_s \cap h(M_r) = \mathbf{0} \tag{1.9}$$

and such that there exists a differentiable isotopy H of h into the identity on  $E_n$  each section  $H^t$  of which is a diffeomorphism of  $E_n$  onto  $E_n$  with domain of identity  $\mathbf{0} \cup {}^{\circ}D_{\rho}$ .

The proof of Theorem 1.3 will be completed in § 5.

Methods. In proving Theorem 1.3 we shall rely on two special types of diffeomorphisms of  $E_n$  onto  $E_n$  termed  $\xi$ -diffeomorphisms and perispherical diffeomorphisms. They will be sense-preserving and leave 0 invariant.

A fundamental condition on  $\xi$ -diffeomorphisms will be that they "deviate" from the identity in a measured way to be defined in §2. These  $\xi$ -diffeomorphisms have been used in [1] in proving Theorem 3.1. However they do not seem adequate in proving Theorem 1.3 of the present paper.

The major difficulty in proving Theorem 1.3 arises from the problem of choosing the diffeomorphism h so that (1.9) of Theorem 1.3 is satisfied, as well as the other conditions on h and H in Theorem 1.3. There are many choices of h such that the conditions of Theorem 1.2 are satisfied, but condition (1.9) of Theorem 1.3 is not satisfied. Perispherical diffeomorphisms aid in defining the diffeomorphism h and homotopy H so that all conditions on h in Theorem 1.3 are satisfied.

We close this section by recalling some useful definitions.

A product of two isotopies. Let P and Q be differentiable isotopies whose sections  $P^t$  and  $Q^t$  are diffeomorphisms of  $E_n$  onto  $E_n$ . If  $P^1 = Q^0$ , a differentiable isotopy, W = QP, termed the product of P and Q, is defined by setting

$$W^t = P^0$$
  $(t \le 0)$   $W^t = P^{2t}$   $(0 < t \le \frac{1}{2})$   $W^t = Q^{2t-1}$   $(\frac{1}{2} < t \le 1)$   $W^t = Q^1$   $(t \ge 1)$ .

So defined W is a differentiable isotopy of  $P^0$  into  $Q^1$  as one readily shows.

Deformations of indicatrices represented. For each  $t \in R$  let  $w^t = (w_1^t, ..., w_n^t)$  be a vector in  $E_n$ . The mapping  $t \to w^t$  is regarded as continuous (differentiable) if each mapping  $t \to w_i^t$ , i = 1, ..., n of R into R is continuous (differentiable).

(a) For each  $t \in R$  and for  $0 < m \le n$  let

$$(w^t) = (w^t(1), \dots, w^t(m))$$

be an ordered set of linearly independent vectors in  $E_n$ . The mapping  $t \to (w^t)$  is regarded as *continuous* (differentiable) if each mapping  $t \to w^t(r)$ , r = 1, ..., m is continuous (differentiable).

(b) The preceding mapping  $t \to (w^t)$ , if continuous (differentiable), will represent a *continuous* (differentiable) deformation of the indicatrix  $(w^0)$  into the indicatrix  $(w^1)$  if

$$(w^t(1), \ldots, w^t(m)) = (w^0(1), \ldots, w^0(m)) \qquad (t \le 0)$$

$$(w^{t}(1), ..., w^{t}(m)) = (w^{1}(1), ..., w^{(1)}(m))$$
  $(t \ge 1).$ 

2.  $\xi$ -diffeomorphisms. Let  $h = (h_1, \ldots, h_n)$  be a differentiable mapping of  $E_n$  into  $E_n$ . Understanding that  $x = (x_1, \ldots, x_n)$ , set

$$d_0(h) = \sup_{x \in E_n} \|x - h(x)\|. \tag{2.1}$$

We suppose that  $d_0(h)$  is finite. Assuming that the partial derivatives of the mappings  $h_i$ , i = 1, ..., n, are bounded, set

$$d_{1}(h) = \max_{i,j} \left( \sup_{x \in E_{n}} |\delta_{i}^{j} - \frac{\partial h_{i}}{\partial x_{j}}(x)| \right)$$
 (2.2)

where i and j have the range 1, ..., n and  $\delta_i^j$  is a "Kronecker delta". Set

$$d(h) = d_0(h) + d_1(h). (2.3)$$

We term d(h) the 1st-order deviation of h from the identity.

The constant  $\xi$ . There clearly exists a positive constant  $\xi$  so small that a  $C^1$ -mapping h of  $E_n$  onto  $E_n$  for which  $d(h) < \xi$  has the property that

$$\frac{3}{2} > \frac{D(h_1, \dots, h_n)}{D(x_1, \dots, x_n)} > \frac{1}{2} \quad (x \in E_n). \tag{2.4}$$

So chosen,  $\xi$  will be invariable in this paper.

DEFINITION. A  $\xi$ -mapping. A differentiable mapping h of  $E_n$  into  $E_n$  such that  $d(h) < \xi$ , and such that h leaves  $0 \cup {}^{\circ}D_{\rho}$  point-wise invariant for some positive constant  $\rho$ , will be called a  $\xi$ -mapping.

LEMMA 2.1. A  $\xi$ -mapping h is a diffeomorphism of  $E_n$  onto  $E_n$ . We begin by proving (i).

(i) The mapping h is onto  $E_n$ .

It is readily seen that the set  $h(E_n)$  is both open and closed relative to  $E_n$ . Since  $E_n$  is connected,  $h(E_n) = E_n$ . Thus (i) is true.

The mapping h is "proper" in the sense that  $h^{-1}(K)$  is compact for arbitrary choice of K as a compact subset of  $h(E_n) = E_n$ . However a proper mapping of  $E_n$  onto  $E_n$ , which is locally a diffeomorphism, is a diffeomorphism. See Lemma 4.1 [4].

Thus h is a diffeomorphism of  $E_n$  onto  $E_n$ .

DEFINITION. Taking account of Lemma 2.1, a  $\xi$ -mapping of  $E_n$  onto  $E_n$  will be referred to as a  $\xi$ -diffeomorphism.

LEMMA 2.2. A  $\xi$ -diffeomorphism k of  $E_n$  onto  $E_n$  with domain of identity  $\mathbf{0} \cup {}^cD_{\rho}$  admits a differentiable isotopy K into the identity each section  $K^t$  of which is a  $\xi$ -diffeomorphism with domain of identity  $\mathbf{0} \cup {}^cD_{\rho}$ , and such that

$$d(K^t) \leqslant d(k). \tag{2.5}$$

The mapping  $\mu$ . In proving this lemma we shall make use of a differentiable mapping  $\mu$  of R onto [0, 1] such that

$$(0 = \mu(t) \mid t \le 0) \quad (1 = \mu(t) \mid t \ge 1). \tag{2.6}$$

Given k as in the lemma we define a mapping K of  $E_n \times R$  into  $E_n$  by setting

$$K(x,t) = (1 - \mu(t)) k(x) + \mu(t) x \quad (x \in E_n, t \in R). \tag{2.7}$$

One sees that K is a differentiable mapping of  $E_n \times R$  into  $E_n$  such that for each t,  $K^t$  leaves  $\mathbf{0} \cup {}^cD_{\rho}$  point-wise invariant. Moreover for each t

$$d_0(K^t) = (1-\mu(t)) \; d_0(k), \quad d_1(K^t) = (1-\mu(t)) \; d_1(k)$$

so that (2.5) holds. For each t,  $d(K^t) < \xi$ , since  $d(k) < \xi$  by hypothesis. By Lemma 2.1 then, for each t,  $K^t$  is a diffeomorphism of  $E_n$  onto  $E_n$ .

Finally one sees that  $K^t$ , as defined by (2.7), is an isotopy of k into the identity, thereby completing the proof of Lemma 2.2.

Lemma 2.3, below, implies Theorem 1.1 in the special case in which the linear terms at  $\mathbf{0}$  in the diffeomorphism f define the identity, that is, in the case in which f is a mapping

$$x \to x + A(x) = M(x) \quad (x \in X) \tag{2.8}$$

in which A is differentiable on X and each component  $A_i$  of A has a critical point at the origin.

Lemma 2.3 contains information not conveyed by Theorem 1.1, information useful in proving Theorem 1.3.

Lemma 2.3. Corresponding to the above diffeomorphism,  $x \to M(x)$ , of X into  $E_n$ , to any positive constant  $\rho$  and any positive constant  $\epsilon$ , there exists a diffeomorphism k of  $E_n$  onto  $E_n$ , 0-related to M, with domain of identity  $0 \cup {}^{\circ}D_{\rho}$  and with  $d(k) < \epsilon$ .

Let  $t \to \lambda(t)$  be a differentiable mapping of R onto [0, 1] such that

$$(1 = \lambda(t) | t \le 1)$$
  $(0 = \lambda(t) | t \ge 4)$ .

Let  $\sigma$  be a positive constant at most  $\rho/2$  such that  $\overline{D}_{2\sigma} \subset X$ . Denote ||x|| by r. In vector notation, set

$$k(x) = x + \lambda \left(\frac{r^2}{\overline{\sigma}^2}\right) A(x) \quad (r \leqslant 2\sigma)$$
 (2.9)

and k(x) = x for  $r \ge 2\sigma$ . Then

$$k(x) = x + A(x) = M(x) \quad (r \leqslant \sigma).$$
 (2.10)

It is clear that k is a differentiable mapping of  $E_n$  into  $E_n$ . For i, j = 1, ..., n and for  $r \leq 2\sigma$ 

$$\left|\delta_{j}^{i} - \frac{\partial k_{j}}{\partial x_{i}}(x)\right| = \left|\lambda\left(\frac{r^{2}}{\sigma^{2}}\right)\frac{\partial A_{j}}{\partial x_{i}}(x) + 2\lambda'\left(\frac{r^{2}}{\sigma^{2}}\right)\frac{x_{i}}{\sigma}\frac{A_{j}(x)}{\sigma}\right|. \quad (2.11)$$

The right member of (2.11) is at most  $\epsilon/2$  for  $r \leq 2\sigma$  if  $\sigma$  is sufficiently small. The left member of (2.11) vanishes for  $r \geq 2\sigma$ . Hence  $d_1(k) < \epsilon/2$ . Moreover

$$d_0(k) \le \max(||A(x)|| | ||x|| \le 2\sigma)$$

in accord with (2.9), so that  $d_0(k) < \epsilon/2$  if  $\sigma$  is sufficiently small. Hence  $d(k) < \epsilon$  for  $\sigma$  sufficiently small. By definition k has a domain of identity  $\mathbf{0} \cup {}^{c}D_{2\sigma}$ . By Lemma 2.1, k is then a diffeomorphism of  $E_n$  onto  $E_n$ . Since  $\rho \geqslant 2\sigma$ ,  $\mathbf{0} \cup {}^{c}D_{\rho}$  is also a domain of identity of k. By (2.10) k is  $\mathbf{0}$ -related to M.

This completes the proof of Lemma 2.3.

We return to a diffeomorphism  $x \to f(x)$  of Theorem 1.1 and, for i = 1, ..., n, set

$$g_i(x) = \frac{\partial f_i}{\partial x_i} (\mathbf{0}) \ x_j \quad (x \in E_n)$$
 (2.12)

summing as to j on the range 1, ..., n. Theorem 2.1 below is a corollary of Lemma 2.3. In it we refer to the linear diffeomorphism

$$x \to g(x) = (g_1(x), \dots, g_n(x)).$$
 (2.13)

THEOREM 2.1. Let X be an open neighborhood of  $\mathbf{0}$  in  $E_n$  and  $x \to f(x)$  a sense-preserving diffeomorphism of X into  $E_n$  which leaves  $\mathbf{0}$  invariant. Corresponding to prescribed positive constants  $\rho$  and  $\epsilon$ , there exists a diffeomorphism  $k_{\epsilon}$  of  $E_n$  onto  $E_n$  with domain of identity  $\mathbf{0} \cup {}^cD_{\rho}$ , with  $d(k_{\epsilon}) < \epsilon$ , and such that the composite diffeomorphism  $g(k_{\epsilon}) \in E_n$  onto  $E_n$  is  $\mathbf{0}$ -related to f.

Note. Theorem 2.1 is also true if f is sense-inverting as our proof shows. We have written Theorem 2.1 as above to preserve the continuity of our development.

PROOF OF THEOREM 2.1. Observe that the mapping

$$x \to (g^{-1}f)(x) = M(x) \quad (x \in X)$$
 (2.14)

(introducing M(x)) has the form

$$x \to M(x) = x + A(x) \tag{2.15}$$

where A has the properties ascribed to A in (2.8). From Lemma 2.3 we then infer the following. There exists a diffeomorphism  $k_{\epsilon}$  of  $E_n$  onto  $E_n$  with domain of identity  $\mathbf{0} \cup {}^cD_{\rho}$ , with  $d(k_{\epsilon}) < \epsilon$ , and  $k_{\epsilon}$  0-related to  $g^{-1}f$ . It follows that  $g k_{\epsilon}$  is 0-related to f.

This completes the proof of Theorem 2.1.

Theorem 1.2 will follow from Theorem 1.3 as proved in § 5. However a proof of Theorem 1.2 can here be sketched as follows.

Lemma 2.2 implies the following. For  $0 < \epsilon < \xi$  there exists a differentiable isotopy  $K_{\epsilon}$  of  $k_{\epsilon}$  (of Theorem 2.1) into the identity such that for each t,  $K_{\epsilon}^{t}$  is a diffeomorphism of  $E_{n}$  onto  $E_{n}$  with domain of identity  $\mathbf{0} \cup {}^{c}D_{\rho}$ .

The mapping g is a linear sense-preserving diffeomorphism of  $E_n$  onto  $E_n$  leaving  $\mathbf{0}$  invariant. One could readily show that there exists a diffeomorphism  $\gamma$  of  $E_n$  onto  $E_n$ ,  $\mathbf{0}$ -related to g, with domain of identity  $\mathbf{0} \cup {}^cD_p$ , admitting an isotopy  $\Gamma$  into the identity such that for each t,  $\Gamma^t$  is a diffeomorphism of  $E_n$  onto  $E_n$  with domain of identity  $\mathbf{0} \cup {}^cD_p$ .

For each  $\epsilon < \xi$  Theorem 1.2 would be satisfied by the composite diffeomorphism  $h_{\epsilon} = \gamma k_{\epsilon}$  and by an isotopy  $H_{\epsilon}$  of which the section  $H_{\epsilon}^{t}$  is the composite diffeomorphism,

$$H^t_{\epsilon} = \Gamma^t K^t_{\epsilon} \quad (t \in R), \tag{2.16}$$

taking  $h_{\epsilon}$  and  $H_{\epsilon}$  in place of h and H in Theorem 1.2. To verify this one notes that  $h_{\epsilon}$  is a diffeomorphism of  $E_n$  onto  $E_n$  with domain of identity  $\mathbf{0} \cup {}^{c}D_{\rho}$  and is  $\mathbf{0}$ -related to f. The isotopy  $H_{\epsilon}$  deforms  $h_{\epsilon}$  into the identity. Its sections  $H_{\epsilon}^{t}$  are diffeomorphisms of  $E_n$  onto  $E_n$  with domains of identity  $\mathbf{0} \cup {}^{c}D_{\rho}$ . Theorem 1.2 will thus be satisfied by  $h_{\epsilon}$  and  $H_{\epsilon}$  in place of h and H for arbitrary choice of  $\epsilon < \xi$ .

However  $h_{\epsilon}$  and  $H_{\epsilon}$  will not in general satisfy Theorem 1.3 because (1.9) will not in general be satisfied by such an  $h_{\epsilon}$ .

The structure of the proof of Theorem 1.3 is similar to the above. One chooses  $k_{\epsilon}$  and  $K_{\epsilon}$  as above, but then chooses  $\gamma$  and  $\Gamma$  in a special way so that Theorem 1.3, including (1.9), is satisfied by  $h_{\epsilon}$  and  $H_{\epsilon}$ , as defined by (3.16), provided  $\epsilon$  is sufficiently small.

"Perispherical diffeomorphisms" will aid in defining  $\Gamma$  and  $\gamma$ .

3. Perispherical diffeomorphisms. For each positive number c let  $S_c$  denote the (n-1)-sphere in  $E_n$  with center at the origin and radius c.

Perispherical diffeomorphisms defined. A diffeomorphism  $\zeta$  of  $E_n$  onto  $E_n$  leaving 0 invariant will be termed perispherical