



# CALCULUS

Single Variable

Second Edition

**HUGHES-HALLETT  
GLEASON, et al.**

# CALCULUS

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## Second Edition

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# CALCULUS

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Second Edition

# PREFACE

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Calculus is one of the greatest achievements of the human intellect. Inspired by problems in astronomy, Newton and Leibniz developed the ideas of calculus 300 years ago. Since then, each century has demonstrated the power of calculus to illuminate questions in mathematics, the physical sciences, engineering, and the social and biological sciences.

Calculus has been so successful because of its extraordinary power to reduce complicated problems to simple rules and procedures. Therein lies the danger in teaching calculus: it is possible to teach the subject as nothing but the rules and procedures—thereby losing sight of both the mathematics and of its practical value. This edition of *Calculus* continues our effort to refocus the teaching of calculus on concepts as well as procedures.

## A Focused Vision: Conceptual Understanding

Our goal is to provide students with a clear understanding of the ideas of calculus as a solid foundation for subsequent courses in mathematics and other disciplines. When we designed this curriculum we started with a clean slate. We included some new topics, such as differential equations, and omitted some traditional topics whose inclusion we could not justify after discussions with mathematicians, engineers, physicists, chemists, biologists, and economists. We focused on a small number of key concepts, emphasizing depth of understanding rather than breadth of coverage.

## The First Edition: A New Curriculum

The first edition of this book was the work of faculty at a consortium of eleven institutions, generously supported by the National Science Foundation. It represents the first consensus between such a diverse group of research mathematicians and instructors to have shaped a mainstream calculus text.

## The Second Edition: Expanded Choices

The second edition has the same vision as the first edition and provides instructors with additional choices through the *Focus On* sections. Instructors can select a focus for their course which reflects their interests and the needs of their students. In particular:

- All *Focus On* sections are optional.
- Chapter 3 (the definite integral) may be covered immediately before Chapter 6 (the antiderivative).
- In Chapters 5 and 8, instructors may select the sections relevant to their students.
- Chapters 9 and 10 may be covered in either order.

## Guiding Principles

Since students usually learn most when they are active, we feel that the exercises in a text are of central importance. In addition, we have found that multiple representations encourage students to reflect on the meaning of the material. Consequently, we have been guided by the following principles.

- Our problems are varied and some are challenging. Most cannot be done by following a template in the text.
- The Rule of Four: Where appropriate, topics should be presented geometrically, numerically, analytically, and verbally.



## The Development of Mathematical Thinking

The first stage in the development of mathematical thinking is the acquisition of a clear intuitive picture of the central ideas. In the next stage, the student learns to reason with the intuitive ideas and explain the reasoning clearly in plain English. After this foundation has been laid, there is a choice of direction. Some students, for example mathematics majors, may benefit from a more theoretical approach, while others, for example science and engineering majors, may benefit from a further exploration of modeling. New supplementary sections, *Focus on Theory* and *Focus on Modeling*, provide material to support either choice.

### *Focus on Theory*

Calculus as a logical structure of theorem and proof is a masterpiece of mathematics. Its beauty, if not glossed over in a broad but superficial treatment, attracts mathematically inclined students to their vocation. In the *Focus on Theory* sections we have chosen a few topics to cover in depth. We show how axioms, definitions, and theorems are formulated, and how proofs are constructed. Since we believe that to understand and appreciate this material students must work with it themselves, we have included challenging exercises that guide students to construct definitions and proofs on their own.

### *Focus on Modeling*

Calculus is a powerful tool for analyzing the real world. Students gain an understanding of the power of calculus by focusing on its use in an extended problem. The *Focus on Modeling* sections take the time to explore selected applications of calculus in depth.

## The Development of Mathematical Skills

To use calculus effectively, students need skill in both symbolic manipulation and the use of technology. The exact proportions of each may vary widely, depending on the preparation of the student and the wishes of the instructor. The book is adaptable to many different combinations.

### *Focus on Practice*

These new sections increase students' skills in the mechanics of differentiation and integration.

### *Technology*

The book does not require any specific software or technology. It has been used with graphing calculators, graphing software, and computer algebra systems. Any technology with the ability to graph functions and perform numerical integration will suffice. Students are expected to use their own judgement to determine where technology is useful.

## Content

This content represents our vision of how calculus can be taught. It is flexible enough to accommodate individual course needs and requirements. Topics can easily be added or deleted, or the order changed.

### Chapter 1: A Library of Functions

This chapter introduces all the elementary functions to be used in the book. Although the functions are probably familiar, the graphical, numerical, verbal, and modeling approach to them is likely to be new. We introduce exponential functions at the earliest possible stage, since they are fundamental to the understanding of real-world processes.

We encourage you to cover this chapter thoroughly, as the time spent on it will pay off when you get to the calculus.

### *Focus on Theory*

These sections discuss the theoretical underpinnings of calculus, illustrate the process of formulating and proving a theorem using the example of the binomial theorem, and show how axioms are developed using the example of the completeness axiom.

## Chapter 2: Key Concept---The Derivative

The purpose of this chapter is to give the student a practical understanding of the definition of the derivative and its interpretation as an instantaneous rate of change. The power rule is introduced; other rules are introduced in Chapter 4.

### *Focus on Theory*

These sections present the definition of limit and continuity, and analyze the connection between differentiability and local linearity. Exercises lead students to a proof of the Intermediate Value Theorem.

## Chapter 3: Key Concept---The Definite Integral

The purpose of this chapter is to give the student a practical understanding of the definite integral as a limit of Riemann sums and to bring out the connection between the derivative and the definite integral in the Fundamental Theorem of Calculus. Some instructors using the first edition of the book have delayed covering Chapter 3 until after Chapter 5 without any difficulty.

### *Focus on Theory*

This section explores the formal definition of the definite integral using upper and lower sums.

## Chapter 4: Short-Cuts to Differentiation

The derivatives of all the functions in Chapter 1 are introduced, as well as the rules for differentiating products, quotients, and composite functions.

### *Focus on Practice*

This section provides a battery of drill problems on differentiation for students to practice their skills.

## Chapter 5: Using the Derivative

The aim of this chapter is to enable the student to use the derivative in solving problems. It is not meant to be comprehensive and you do not need to cover all the sections.

### *Focus on Theory*

This section pulls together many of the strands of the earlier theory sections, showing how the completeness axiom and the definition of limit and continuity can be used to prove the Extreme Value Theorem, the Increasing Function Theorem, and the Mean Value Theorem. Some of the proofs are given in the text, others are developed through the exercises.

## Chapter 6: Constructing Antiderivatives

This chapter focuses on going backward from a derivative to the original function, first graphically and numerically, then analytically. It introduces the Second Fundamental Theorem of Calculus and the concept of a differential equation.

### *Focus on Modeling*

This section discusses why the equations of motion are based on acceleration rather than velocity.

## Chapter 7: Integration

This chapter includes several techniques of integration; others are included in the table of integrals. There is a discussion of numerical methods and of improper integrals.

### *Focus on Practice*

This section presents a battery of integration problems for skill building.

## Chapter 8: Using the Definite Integral

This chapter emphasizes the idea of subdividing a quantity to produce Riemann sums which, in the limit, yield a definite integral. It aims to show how the integral is used in geometry, physics, and economics. You do not need to cover all the sections.

### *Focus on Modeling*

These sections study applications of integration to probability distributions.

## Chapter 9: Approximations and Series

This chapter introduces Taylor Series and Fourier series via the idea of approximating functions with simpler functions. Geometric series, the ratio test, the harmonic series, and alternating series are discussed.

### *Focus on Theory*

These sections present convergence tests in more detail, sketch a proof of the ratio test, and study bounds on the error in the Taylor approximation.

## Chapter 10: Differential Equations

This chapter introduces differential equations. The emphasis is on qualitative solutions, modeling, and interpretation.

### *Focus on Modeling*

These sections show applications of the logistic equation and systems of differential equations to population models, the spread of disease, predator-prey interactions, and competitive exclusion.

## Appendices

The appendices contain material on roots and accuracy, compound interest, polar coordinates, complex numbers, Newton's method, and parametric equations.

## Our Experiences

In the process of developing the ideas incorporated in this book, we were conscious of the need to test the materials thoroughly in a wide variety of institutions serving many different types of students. Before the first edition was produced, consortium members and colleagues at over one hundred schools around the country class-tested preliminary versions of the book at large and small public universities, liberal arts colleges, two-year institutions, and high schools. The first edition was used by a very large and diverse group of schools in semester and quarter systems, in large lectures and small classes, in computer labs, small groups, and traditional settings, and with a number of different technologies. In preparing the second edition, we solicited comments from a large number of mathematicians who had used the text. We continued to engage our colleagues in client disciplines to determine the mathematical needs of their students. This included careful reviewing by a group of engineering faculty from highly regarded engineering programs around the country. We were offered many valuable suggestions, which we have tried to incorporate into this second edition of the text, while maintaining our original commitment to a focused treatment of a limited number of topics.

## Changes from the First Edition

We have streamlined some topics and added new sections on theory and on skill-building; we have moved some material into separate sections on modeling. The new arrangement divides the curriculum into a basic core and a set of supplementary sections from which the instructor can make choices to support a wide variety of courses.

### *General Changes*

- There are more easy and medium level problems in each section, and a battery of drill problems in the *Focus on Practice* sections at the end of Chapters 4 (differentiation) and 7 (integration).



- There are short answers in the back of the book to those odd-numbered problems that have them.
- Each chapter concludes with a review of the main points, and there is a list of useful formulas on the endpapers.
- One or more projects are included at the end of each chapter; a wider selection is in the appendix.

#### *Summary of New Material*

- New *Focus on Theory* sections discuss the concepts of axiom, definition, and mathematical proof, and give a more theoretical treatment of selected topics, such as limits, differentiability, and the definite integral. The first edition's emphasis on concepts and on geometric and numerical reasoning serves as a natural introduction to this thread. These sections are optional and may be selected by instructors who prefer a more theoretical course.
- Chapter 4 contains a new section on local linearity and limits, which includes l'Hopital's rule.
- Chapter 5 contains a new section on the hyperbolic functions.
- Chapter 7 contains an expanded treatment of partial fractions.
- Chapter 8 contains a new subsection on center of mass.
- Chapter 9 contains new material on using the ratio test to find the radius of convergence of a power series, as well as new material on the harmonic and alternating series.
- There are two new appendices, one on parametric equations, and one containing additional projects.

#### *Chapter-by-chapter description of changes*

- Chapter 1. Notes on Compound Interest has been moved to an appendix. The introduction to continuity, formerly in an appendix, has been moved to this chapter. There are three new *Focus on Theory* sections: Underpinnings of Calculus, The Binomial Theorem, and Completeness of the Real Numbers.
- Chapter 2. The material on derivatives of constant and linear functions, and the statement of the power rule have been moved to Section 2.3. Approximations and Local Linearity, Notes on the Limit, and Notes on Differentiability have been replaced by two *Focus on Theory* sections: Limits and Continuity, and Differentiability and Linear Approximation.
- Chapter 3. The discussion in Section 3.2 of the relation between the value of  $n$  and the convergence of Riemann sums has been shortened and the material on visualizing the integral as area has been moved to this section. The applications of the definite integral formerly in Section 6.1 have been moved to Section 3.3. Section 3.4 has been expanded to include both the Fundamental Theorem and the properties of definite integrals formerly in Section 6.2. Further Notes on the Limit has been replaced by a *Focus on Theory* section on the Definite Integral.
- Chapter 4. Notes on the Tangent Line Approximation has been incorporated into a new section on Local Linearity and Limits, which contains a treatment of l'Hopital's rule. More problems on the applications of rates have been included. A new *Focus on Practice* section provides drill problems in differentiation.
- Chapter 5. The material on local extrema has been shortened and put into Section 5.1. The material on families of functions has been simplified and is in Section 5.2. Section 5.3 is on global extrema and includes the first section on optimization (formerly Section 5.5). The section on Newton's Method has been moved to an appendix, and a new section on Hyperbolic Functions has been added. There is a new *Focus on Theory* section on Theorems about Continuous and Differentiable Functions.
- Chapter 6. This chapter has been refocused on antiderivatives; the material about definite integrals has been moved to Chapter 3. The chapter starts with a section on finding antiderivatives graphically and numerically. The material on finding antiderivatives analytically (formerly Section 7.1) has been moved to Section 6.2. The material on constructing antiderivatives using the definite integral has been moved here to form a new section on The Second Fundamental Theorem of Calculus. The section on equations of motion has become a *Focus on Modeling* section.
- Chapter 7 now starts with substitutions.
- Chapter 8. Applications to geometry have been put first, and new material on center of mass has been added to the material on density in Section 8.2. The sections on distributions and probability have been

moved to *Focus on Modeling* sections.

- Chapter 9. This chapter now covers Approximations and Series. There is new material on the ratio test for determining the radius of convergence of a power series, as well as on harmonic and alternating series. A new *Focus on Theory* section deals with the convergence of series. The Error in Taylor Approximations is now a *Focus on Theory* section.
- Chapter 10. This chapter now covers Differential Equations. The sections on Models of Population Growth, Systems of Differential Equations, and Analyzing the Phase Plane have become *Focus on Modeling* sections.
- Appendices. The material on continuity has been moved to Chapter 1. The sections on Compound Interest and Newton's Method have been moved to appendices. A new section on Parametric Equations and a new section containing projects have been added.

## Supplementary Materials

The following supplementary materials are available for the Second Edition.

- **Instructor's Manual with Sample Exam Questions** containing teaching tips, calculator programs, some overhead transparency masters and test questions arranged according to section.
- **Instructor's Solution Manual** with complete solutions to all problems.
- **Student's Solution Manual** with complete solutions to half the odd-numbered problems.
- **Instructor's Resource CD-ROM** which contains the Instructor's Manual, Instructor's Solutions Manual, additional projects, as well as other valuable resources.

## Acknowledgements

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## To Students: How to Learn from this Book

- This book may be different from other math textbooks that you have used, so it may be helpful to know about some of the differences in advance. At every stage, this book emphasizes the *meaning* (in practical, graphical or numerical terms) of the symbols you are using. There is much less emphasis on “plug-and-chug” and using formulas, and much more emphasis on the interpretation of these formulas than you may expect. You will often be asked to explain your ideas in words or to explain an answer using graphs.
- The book contains the main ideas of calculus in plain English. Success in using this book will depend on reading, questioning, and thinking hard about the ideas presented. It will be helpful to read the text in detail, not just the worked examples.
- There are few examples in the text that are exactly like the homework problems, so homework problems can’t be done by searching for similar-looking “worked out” examples. Success with the homework will come by grappling with the ideas of calculus.
- Many of the problems in the book are open-ended. This means that there is more than one correct approach and more than one correct solution. Sometimes, solving a problem relies on common sense ideas that are not stated in the problem explicitly but which you know from everyday life.
- This book assumes that you have access to a calculator or computer that can graph functions, find (approximate) roots of equations, and compute integrals numerically. There are many situations where you may not be able to find an exact solution to a problem, but can use a calculator or computer to get a reasonable approximation. An answer obtained this way is usually just as useful as an exact one. However, the problem does not always state that a calculator is required, so use your own judgement.

If you mistrust technology, listen to this student, who started out the same way:

Using computers is strange, but surprisingly beneficial, and in my opinion is what leads to success in this class. I have difficulty visualizing graphs in my head, and this has always led to my downfall in calculus. With the assistance of the computers, that stress was no longer a factor, and I was able to concentrate on the concepts behind the shapes of the graphs, and since these became gradually more clear, I got increasingly better at picturing what the graphs should look like. It’s the old story of not being able to get a job without previous experience, but not being able to get experience without a job. Relying on the computer to help me avoid graphing, I was tricked into focusing on what the graphs meant instead of how to make them look right, and what graphs symbolize is the fundamental basis of this class. By being able to see what I was trying to describe and learn from, I could understand a lot more about the concepts, because I could change the conditions and see the results. For the first time, I was able to see how everything works together . . .

That was a student at the University of Arizona who took calculus in Fall 1990, the first time we used the text. She was terrified of calculus, got a C on her first test, but finished with an A for the course.

- This book attempts to give equal weight to three methods for describing functions: graphical (a picture), numerical (a table of values) and algebraic (a formula). Sometimes it’s easier to translate a problem given in one form into another. For example, you might replace the graph of a parabola with its equation, or plot a table of values to see its behavior. It is important to be flexible about your approach: if one way of looking at a problem doesn’t work, try another.
- Students using this book have found discussing these problems in small groups helpful. There are a great many problems which are not cut-and-dried; it can help to attack them with the other perspectives your colleagues can provide. If group work is not feasible, see if your instructor can organize a discussion session in which additional problems can be worked on.
- You are probably wondering what you’ll get from the book. The answer is, if you put in a solid effort, you will get a real understanding of one of the most important accomplishments of the millennium – calculus – as well as a real sense of how mathematics is used in the age of technology.

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