Takashi Washio Ken Satoh Hideaki Takeda Akihiro Inokuchi (Eds.)

# New Frontiers in Artificial Intelligence

JSAI 2006 Conference and Workshops Tokyo, Japan, June 2006 Revised Seleced Papers



7p18-53
N332 Takashi Washio Ken Satoh
2006 Hideaki Takeda Akihiro Inokuchi (Eds.)

# New Frontiers in Artificial Intelligence

JSAI 2006 Conference and Workshops Tokyo, Japan, June 5-9, 2006 Revised Selected Papers







#### Series Editors

Jaime G. Carbonell, Carnegie Mellon University, Pittsburgh, PA, USA Jörg Siekmann, University of Saarland, Saarbrücken, Germany

Volume Editors

Takashi Washio

Osaka University

The Institute of Sientific and Industrial Research (ISIR)

8-1, Mihogaoka, Ibarakishi, Osaka, 567-0047, Japan

E-mail: washio@sanken.osaka-u.ac.jp

Ken Satoh

Hideaki Takeda

National Institute of Informatics

Foundations of Information Research Division

Chiyoda-ku, 2-1-2, Hitotsubashi, Tokyo 101-8430, Japan

E-mail: {ksatoh,takeda}@nii.ac.jp

Akihiro Inokuchi

Tokyo Research Laboratory (TRL), IBM Japan

1623-14 Shimotsuruma, Yamato-shi, Kanagawa, 242-8502, Japan

E-mail: inokuchi@jp.ibm.com

Library of Congress Control Number: 2006940650

CR Subject Classification (1998): I.2, H.2.8, H.3, F.1, H.4, H.5.2, I.5, J.1, J.3, K.4.3

LNCS Sublibrary: SL 7 - Artificial Intelligence

**ISSN** 

0302-9743

ISBN-10

3-540-69901-5 Springer Berlin Heidelberg New York

ISBN-13

978-3-540-69901-9 Springer Berlin Heidelberg New York

This work is subject to copyright. All rights are reserved, whether the whole or part of the material is concerned, specifically the rights of translation, reprinting, re-use of illustrations, recitation, broadcasting, reproduction on microfilms or in any other way, and storage in data banks. Duplication of this publication or parts thereof is permitted only under the provisions of the German Copyright Law of September 9, 1965, in its current version, and permission for use must always be obtained from Springer. Violations are liable to prosecution under the German Copyright Law.

Springer is a part of Springer Science+Business Media

springer.com

© Springer-Verlag Berlin Heidelberg 2007 Printed in Germany

Printed on acid-free paper

Typesetting: Camera-ready by author, data conversion by Scientific Publishing Services, Chennai, India 06/3142 543210

SPIN: 11978893

Lecture Notes in Artificial Intelligence

4384

Edited by J. G. Carbonell and J. Siekmann

Subseries of Lecture Notes in Computer Science

### Preface

The progress in information technology including artificial intelligence (AI) in the last few decades is remarkable, and has attracted many young researchers to this field. This trend is now accelerated along with the recent rapid growth of computer communication networks and the worldwide increase in researchers. In this context, we have observed many outstanding AI studies in Japanese domestic conferences. They have high technical originality, quality and significance. The annual conference of JSAI (Japan Society for Artificial Intelligence) is one of the key and representative domestic meetings in the field of intelligent information technology. Award papers in this conference have an excellent quality of international standards. The annual conference of JSAI also organizes co-located international workshops to provide excellent study reports.

The objectives of this book are to present the award papers of the 20th annual conference of JSAI 2006 and selected papers from the three co-located international workshops and to promote the study exchange among researchers worldwide. Eight papers were awarded among more than 200 presentations in the conference, and 21 papers were selected from a total of 44 presentations in the workshops of Logic and Engineering of Natural Language Semantics 2006 (LENLS 2006), Learning with Logics and Logics for Learning (LLLL 2006) and Risk Mining (RM 2006). The award papers in the 20th annual conference of JSAI 2006 were selected from presentations covering the wide field of artificial intelligence through the processes of candidate recommendations, detailed open discussions and voting by Program Committee members of the conference. The LENLS workshop series is organized under the aim of bringing together researchers working on information structure and/or dynamic semantics for natural language. LENLS 2006 focused on formal pragmatics in particular. The LLLL 2006 workshop was held to bring together researchers who are interested in the areas of machine learning and computational logic, and to have intensive discussions on various relations between the two thereby making their interchange more active. RM 2006 was held with the aim of sharing and comparing experiences on risk mining techniques applied to risk detection, risk clarification and risk utilization in real fields.

We hope this book introduces the excellent Japanese studies on AI to the world and contributes to the growth of the worldwide community of AI researchers.

November 2006 Takashi Washio

# Organization and Editorial Board

The award papers were selected by the Program Committee of the annual conference of JSAI (Japan Society for Artificial Intelligence) 2006. The paper selection of each co-located international workshop was made by the Program Committee of each workshop. Upon the decisions of the paper awards and the paper selections, each chapter was edited by the Program Chairs of the 20th annual JSAI conference and the co-located international workshops. The entire contents and structure of the book were managed and edited by the chief editors.

#### Volume Editors

Primary Volume Editor: Takashi Washio (Osaka University, Japan) Ken Satoh (National Institute of Informatics, Japan) Hideaki Takeda (National Institute of Informatics, Japan) Akihiro Inokuchi (Tokyo Research Laboratory, IBM Japan)

## Chapter Editors (Program Chairs)

Award Paper Chapter: Hideaki Takeda (National Institute of Informatics,

Japan)

LENLS 2006 Chapter: Eric McCready (Aoyama Gakuin University, Japan)

LLLL 2006 Chapter: Akihiro Yamamoto (Kyoto University, Japan)

Kouichi Hirata (Kyushu Institute of Technology,

Japan)

Ken Satoh (National Institute of Informatics, Japan)

RM 2006 Chapter: Shusaku Tsumoto (Shimane University, Japan)

Takashi Washio (Osaka University, Japan)

# Lecture Notes in Artificial Intelligence (LNAI)

- Vol. 4384: T. Washio, K. Satoh, H. Takeda, A. Inokuchi (Eds.), New Frontiers in Artificial Intelligence. IX, 401 pages. 2007.
- Vol. 4371: K. Inoue, K. Satoh, F. Toni (Eds.), Computational Logic in Multi-Agent Systems. X, 315 pages. 2007.
- Vol. 4369: M. Umeda, A. Wolf, O. Bartenstein, U. Geske, D. Seipel, O. Takata (Eds.), Declarative Programming for Knowledge Management. X, 229 pages. 2006.
- Vol. 4342: H. de Swart, E. Orlowska, G. Schmidt, M. Roubens (Eds.), Theory and Applications of Relational Structures as Knowledge Instruments II. X, 373 pages. 2006
- Vol. 4335: S.A. Brueckner, S. Hassas, M. Jelasity, D. Yamins (Eds.), Engineering Self-Organising Systems. XII, 212 pages. 2007.
- Vol. 4334: B. Beckert, R. Hähnle, P.H. Schmitt (Eds.), Verification of Object-Oriented Software. XXIX, 658 pages. 2007.
- Vol. 4333: U. Reimer, D. Karagiannis (Eds.), Practical Aspects of Knowledge Management. XII, 338 pages. 2006.
- Vol. 4327: M. Baldoni, U. Endriss (Eds.), Declarative Agent Languages and Technologies IV. VIII, 257 pages. 2006.
- Vol. 4304: A. Sattar, B.-H. Kang (Eds.), AI 2006: Advances in Artificial Intelligence. XXVII, 1303 pages. 2006.
- Vol. 4303: A. Hoffmann, B.-H. Kang, D. Richards, S. Tsumoto (Eds.), Advances in Knowledge Acquisition and Management. XI, 259 pages. 2006.
- Vol. 4293: A. Gelbukh, C.A. Reyes-Garcia (Eds.), MI-CAI 2006: Advances in Artificial Intelligence. XXVIII, 1232 pages. 2006.
- Vol. 4289: M. Ackermann, B. Berendt, M. Grobelnik, A. Hotho, D. Mladenič, G. Semeraro, M. Spiliopoulou, G. Stumme, V. Svátek, M. van Someren (Eds.), Semantics, Web and Mining. X, 197 pages. 2006.
- Vol. 4285: Y. Matsumoto, R.W. Sproat, K.-F. Wong, M. Zhang (Eds.), Computer Processing of Oriental Languages. XVII, 544 pages. 2006.
- Vol. 4274: Q. Huo, B. Ma, E.-S. Chng, H. Li (Eds.), Chinese Spoken Language Processing. XXIV, 805 pages. 2006.
- Vol. 4265: L. Todorovski, N. Lavrač, K.P. Jantke (Eds.), Discovery Science. XIV, 384 pages. 2006.
- Vol. 4264: J.L. Balcázar, P.M. Long, F. Stephan (Eds.), Algorithmic Learning Theory. XIII, 393 pages. 2006.

- Vol. 4259: S. Greco, Y. Hata, S. Hirano, M. Inuiguchi, S. Miyamoto, H.S. Nguyen, R. Słowiński (Eds.), Rough Sets and Current Trends in Computing. XXII, 951 pages. 2006
- Vol. 4253: B. Gabrys, R.J. Howlett, L.C. Jain (Eds.), Knowledge-Based Intelligent Information and Engineering Systems, Part III. XXXII, 1301 pages. 2006.
- Vol. 4252: B. Gabrys, R.J. Howlett, L.C. Jain (Eds.), Knowledge-Based Intelligent Information and Engineering Systems, Part II. XXXIII, 1335 pages. 2006.
- Vol. 4251: B. Gabrys, R.J. Howlett, L.C. Jain (Eds.), Knowledge-Based Intelligent Information and Engineering Systems, Part I. LXVI, 1297 pages. 2006.
- Vol. 4248: S. Staab, V. Svátek (Eds.), Managing Knowledge in a World of Networks. XIV, 400 pages. 2006.
- Vol. 4246: M. Hermann, A. Voronkov (Eds.), Logic for Programming, Artificial Intelligence, and Reasoning. XIII, 588 pages. 2006.
- Vol. 4223: L. Wang, L. Jiao, G. Shi, X. Li, J. Liu (Eds.), Fuzzy Systems and Knowledge Discovery. XXVIII, 1335 pages. 2006.
- Vol. 4213: J. Fürnkranz, T. Scheffer, M. Spiliopoulou (Eds.), Knowledge Discovery in Databases: PKDD 2006. XXII, 660 pages. 2006.
- Vol. 4212: J. Fürnkranz, T. Scheffer, M. Spiliopoulou (Eds.), Machine Learning: ECML 2006. XXIII, 851 pages. 2006.
- Vol. 4211: P. Vogt, Y. Sugita, E. Tuci, C.L. Nehaniv (Eds.), Symbol Grounding and Beyond. VIII, 237 pages. 2006
- Vol. 4203: F. Esposito, Z.W. Raś, D. Malerba, G. Semeraro (Eds.), Foundations of Intelligent Systems. XVIII, 767 pages. 2006.
- Vol. 4201: Y. Sakakibara, S. Kobayashi, K. Sato, T. Nishino, E. Tomita (Eds.), Grammatical Inference: Algorithms and Applications. XII, 359 pages. 2006.
- Vol. 4200: I.F.C. Smith (Ed.), Intelligent Computing in Engineering and Architecture. XIII, 692 pages. 2006.
- Vol. 4198: O. Nasraoui, O. Zaïane, M. Spiliopoulou, B. Mobasher, B. Masand, P.S. Yu (Eds.), Advances in Web Mining and Web Usage Analysis. IX, 177 pages. 2006.
- Vol. 4196: K. Fischer, I.J. Timm, E. André, N. Zhong (Eds.), Multiagent System Technologies. X, 185 pages. 2006.
- Vol. 4188: P. Sojka, I. Kopeček, K. Pala (Eds.), Text, Speech and Dialogue. XV, 721 pages. 2006.
- Vol. 4183: J. Euzenat, J. Domingue (Eds.), Artificial Intelligence: Methodology, Systems, and Applications. XIII, 291 pages. 2006.

- Vol. 4180: M. Kohlhase, OMDoc An Open Markup Format for Mathematical Documents [version 1.2]. XIX, 428 pages. 2006.
- Vol. 4177: R. Marín, E. Onaindía, A. Bugarín, J. Santos (Eds.), Current Topics in Artificial Intelligence. XV, 482 pages. 2006.
- Vol. 4160: M. Fisher, W. van der Hoek, B. Konev, A. Lisitsa (Eds.), Logics in Artificial Intelligence. XII, 516 pages, 2006.
- Vol. 4155: O. Stock, M. Schaerf (Eds.), Reasoning, Action and Interaction in AI Theories and Systems. XVIII, 343 pages. 2006.
- Vol. 4149: M. Klusch, M. Rovatsos, T.R. Payne (Eds.), Cooperative Information Agents X. XII, 477 pages. 2006.
- Vol. 4140: J.S. Sichman, H. Coelho, S.O. Rezende (Eds.), Advances in Artificial Intelligence - IBERAMIA-SBIA 2006. XXIII, 635 pages. 2006.
- Vol. 4139: T. Salakoski, F. Ginter, S. Pyysalo, T. Pahikkala (Eds.), Advances in Natural Language Processing. XVI, 771 pages. 2006.
- Vol. 4133: J. Gratch, M. Young, R. Aylett, D. Ballin, P. Olivier (Eds.), Intelligent Virtual Agents. XIV, 472 pages. 2006.
- Vol. 4130: U. Furbach, N. Shankar (Eds.), Automated Reasoning. XV, 680 pages. 2006.
- Vol. 4120: J. Calmet, T. Ida, D. Wang (Eds.), Artificial Intelligence and Symbolic Computation. XIII, 269 pages. 2006.
- Vol. 4118: Z. Despotovic, S. Joseph, C. Sartori (Eds.), Agents and Peer-to-Peer Computing. XIV, 173 pages. 2006.
- Vol. 4114: D.-S. Huang, K. Li, G.W. Irwin (Eds.), Computational Intelligence, Part II. XXVII, 1337 pages. 2006
- Vol. 4108: J.M. Borwein, W.M. Farmer (Eds.), Mathematical Knowledge Management. VIII, 295 pages. 2006.
- Vol. 4106: T.R. Roth-Berghofer, M.H. Göker, H.A. Güvenir (Eds.), Advances in Case-Based Reasoning. XIV, 566 pages. 2006.
- Vol. 4099: Q. Yang, G. Webb (Eds.), PRICAI 2006: Trends in Artificial Intelligence. XXVIII, 1263 pages. 2006.
- Vol. 4095: S. Nolfi, G. Baldassarre, R. Calabretta, J.C.T. Hallam, D. Marocco, J.-A. Meyer, O. Miglino, D. Parisi (Eds.), From Animals to Animats 9. XV, 869 pages. 2006.
- Vol. 4093: X. Li, O.R. Zaïane, Z. Li (Eds.), Advanced Data Mining and Applications. XXI, 1110 pages. 2006.
- Vol. 4092: J. Lang, F. Lin, J. Wang (Eds.), Knowledge Science, Engineering and Management. XV, 664 pages. 2006.
- Vol. 4088: Z.-Z. Shi, R. Sadananda (Eds.), Agent Computing and Multi-Agent Systems. XVII, 827 pages. 2006.
- Vol. 4087: F. Schwenker, S. Marinai (Eds.), Artificial Neural Networks in Pattern Recognition. IX, 299 pages. 2006.

- Vol. 4068: H. Schärfe, P. Hitzler, P. Øhrstrøm (Eds.), Conceptual Structures: Inspiration and Application. XI, 455 pages. 2006.
- Vol. 4065: P. Perner (Ed.), Advances in Data Mining. XI, 592 pages. 2006.
- Vol. 4062: G.-Y. Wang, J.F. Peters, A. Skowron, Y. Yao (Eds.), Rough Sets and Knowledge Technology. XX, 810 pages. 2006.
- Vol. 4049: S. Parsons, N. Maudet, P. Moraitis, I. Rahwan (Eds.), Argumentation in Multi-Agent Systems. XIV, 313 pages. 2006.
- Vol. 4048: L. Goble, J.-J.C.. Meyer (Eds.), Deontic Logic and Artificial Normative Systems. X, 273 pages. 2006.
- Vol. 4045: D. Barker-Plummer, R. Cox, N. Swoboda (Eds.), Diagrammatic Representation and Inference. XII, 301 pages. 2006.
- Vol. 4031: M. Ali, R. Dapoigny (Eds.), Advances in Applied Artificial Intelligence. XXIII, 1353 pages. 2006.
- Vol. 4029: L. Rutkowski, R. Tadeusiewicz, L.A. Zadeh, J.M. Zurada (Eds.), Artificial Intelligence and Soft Computing ICAISC 2006. XXI, 1235 pages. 2006.
- Vol. 4027: H.L. Larsen, G. Pasi, D. Ortiz-Arroyo, T. Andreasen, H. Christiansen (Eds.), Flexible Query Answering Systems. XVIII, 714 pages. 2006.
- Vol. 4021: E. André, L. Dybkjær, W. Minker, H. Neumann, M. Weber (Eds.), Perception and Interactive Technologies. XI, 217 pages. 2006.
- Vol. 4020: A. Bredenfeld, A. Jacoff, I. Noda, Y. Takahashi (Eds.), RoboCup 2005: Robot Soccer World Cup IX. XVII, 727 pages. 2006.
- Vol. 4013: L. Lamontagne, M. Marchand (Eds.), Advances in Artificial Intelligence. XIII, 564 pages. 2006.
- Vol. 4012: T. Washio, A. Sakurai, K. Nakajima, H. Takeda, S. Tojo, M. Yokoo (Eds.), New Frontiers in Artificial Intelligence. XIII, 484 pages. 2006.
- Vol. 4008: J.C. Augusto, C.D. Nugent (Eds.), Designing Smart Homes. XI, 183 pages. 2006.
- Vol. 4005: G. Lugosi, H.U. Simon (Eds.), Learning Theory. XI, 656 pages. 2006.
- Vol. 4002: A. Yli-Jyrä, L. Karttunen, J. Karhumäki (Eds.), Finite-State Methods and Natural Language Processing. XIV, 312 pages. 2006.
- Vol. 3978: B. Hnich, M. Carlsson, F. Fages, F. Rossi (Eds.), Recent Advances in Constraints. VIII, 179 pages. 2006.
- Vol. 3963: O. Dikenelli, M.-P. Gleizes, A. Ricci (Eds.), Engineering Societies in the Agents World VI. XII, 303 pages. 2006.
- Vol. 3960: R. Vieira, P. Quaresma, M.d.G.V. Nunes, N.J. Mamede, C. Oliveira, M.C. Dias (Eds.), Computational Processing of the Portuguese Language. XII, 274 pages. 2006.
- Vol. 3955: G. Antoniou, G. Potamias, C. Spyropoulos, D. Plexousakis (Eds.), Advances in Artificial Intelligence. XVII, 611 pages. 2006.
- Vol. 3949: F.A. Savacı (Ed.), Artificial Intelligence and Neural Networks. IX, 227 pages. 2006.

羊603.空之

# **Table of Contents**

New Frontiers in Artificial Intelligence: Proceedings of the 20th Annual Conferences of the Japanese Society for Artificial Intelligence

Part I Awarded Papers	
Overview of Awarded Papers – The 20th Annual Conference of JSAI	3
Translational Symmetry in Subsequence Time-Series Clustering $Tsuyoshi\ Id\acute{e}$	5
Visualization of Contents Archive by Contour Map Representation Hidekazu Kubota, Toyoaki Nishida, and Yasuyuki Sumi	19
Discussion Ontology: Knowledge Discovery from Human Activities in Meetings	33
Predicting Types of Protein-Protein Interactions Using a Multiple-Instance Learning Model	42
Lattice for Musical Structure and Its Arithmetics	54
Viewlon: Visualizing Information on Semantic Sensor Network	65
Cooperative Task Achievement System Between Humans and Robots Based on Stochastic Memory Model of Spatial Environment	77
People Who Create Knowledge Sharing Communities	88

Part II Logic and Engineering of Natural Language Semantics	
Logic and Engineering of Natural Language Semantics (LENLS) $3 \ldots$ $Eric\ McCready$	101
A Dynamic Semantics of Intentional Identity	103
Prolegomena to General-Imaging-Based Probabilistic Dynamic Epistemic Logic	118
Logical Dynamics of Commands and Obligations	133
On Factive Islands: Pragmatic Anomaly vs. Pragmatic Infelicity	147
Aspects of the Indefiniteness Effect	162
Interpreting Metaphors in a New Semantic Theory of Concept Yi Mao and Beihai Zhou	177
Covert Emotive Modality Is a Monster	191
Conversational Implicatures Via General Pragmatic Pressures	205
Dake-wa: Exhaustifying Assertions	219
Unembedded 'Negative' Quantifiers	232
Part III Learning with Logics and Logics for Learning	
The Fourth Workshop on Learning with Logics and Logics for Learning (LLLL2006)	249
Consistency Conditions for Inductive Inference of Recursive Functions	251

Table of Contents	IX
Inferability of Closed Set Systems from Positive Data	265
An Extended Branch and Bound Search Algorithm for Finding Top-N Formal Concepts of Documents	276
N-Gram Analysis Based on Zero-Suppressed BDDs	289
Part IV Risk Mining	
Risk Mining - Overview	303
Analysis on a Relation Between Enterprise Profit and Financial State by Using Data Mining Techniques  Takashi Washio, Yasuo Shinnou, Katsutoshi Yada,  Hiroshi Motoda, and Takashi Okada	305
Unusual Condition Detection of Bearing Vibration in Hydroelectric Power Plants for Risk Management	317
Structural Health Assessing by Interactive Data Mining Approach in Nuclear Power Plant	332
Developing Mining-Grid Centric e-Finance Portals for Risk  Management	346
Knowledge Discovery from Click Stream Data and Effective Site  Management	360
Sampling-Based Stream Mining for Network Risk Management $Kenichi\ Yoshida$	374
Relation Between Abductive and Inductive Types of Nursing Risk Management	387
Author Index	40

**Awarded Papers** 



# Overview of Awarded Papers – The 20th Annual Conference of JSAI

#### Hideaki Takeda

National Institute of Informatics and the University of Tokyo 2-1-2, Hitotsubashi, Chiyoda-ku, Tokyo 101-8430, Japan takeda@nii.ac.jp

In this chapter, we proudly introduce eight awarded papers, selected from the papers presented in the 20th annual conference of Japan Society for Artificial Intelligence (JSAI2006).

The conference was held at Tower Hall Funabori located in the east part of Tokyo from June 7 until June 9, 2006. 276 papers were presented in about 60 sessions and over 500 people participated in the conference. Sessions vary from the fundamental issues to the state-of-the-art applications. Session for regular papers are as follows; Logic and learning, Reinforcement learning and agent learning, Agent theory, Auction/Game/Economics, Agent learning, Agent planning, Agent simulation and interaction, Genetic algorithm, Image processing, Information extraction and classification, Clustering/self-organization, Classification learning, Text mining, Graph mining, Mining algorithm, Web mining, Pre- and post-processing for data mining, Practices of data mining, Cognitive modeling, Language processing and dialogue, Robot/sensor network, Web information system, Semantic Web, Knowledge modeling and knowledge sharing, Support of knowledge management, knowledge modeling/ontology, Web service, Human interface and communication support, Education support, Learning support environment, and Musical and auditory information processing.

In addition to these sessions, we have organized sessions that include Socio-infomation infrastructure for intelligent support, Even space information support project, Carrier design for researchers, Computing for semantics and understanding, Natural language processing for knowledge-oriented text mining, Language - computer - communication, Experience media, Intercultural collaboration and AI, Data mining for risk information, and Human-agent interaction. The research area covered by these sessions is wider than the other AI conferences such as IJCAI, AAAI, and ECAI. It indicates that Japanese AI community is so active and eager to explore new topics that its topics are expanding beyond the traditional AI areas.

Among them we selected eleven papers as awarded papers. In order to decide award papers, we asked the program committee members and chairs to review the papers. PC members mainly reviewed the papers and the chairs reviewed both the papers and the presentations. It was very competitive result in this year so that we decided to award top eleven papers. Unfortunately the authors of three of eleven papers were reluctant to rewrite their papers in English (the original papers were written in Japanese). As a result, we have eight awarded papers here.

T. Washio et al. (Eds.): JSAI 2006, LNAI 4384, pp. 3-4, 2007.

<sup>©</sup> Springer-Verlag Berlin Heidelberg 2007

As well as sessions, the awarded papers varies from the fundamental issues such as the fundamental consideration of data mining (Tsuyoshi Ide) to the state-of-the-art application such as "knowledge globe" (Hideyuki Kubota et al.) and discussion support (Hideyuki Tomobe and Katashi Nagao). In particular, the variety of the applications suggest the potential of the AI approach such as bioinformatics (Hiroshi Yamakawa et al.) and musical information processing (Keiji Hirata and Satoshi Tojo). Another uniqueness is mixture of different disciplines; from robotics (Masayuki Furuyama et al. and Tetsunari Inamura et al.) to psychology (Asako Miura et al.). AI becomes the "meeting point" for different disciplines.

Finally we would like to thank all the attendees who joined the discussion that contributes selection of the awarded papers.

#### JSAI2006 Program Committee

Hideaki Takeda, Chair

(National Institute of Informatics and the University of Tokyo)

Katashi Nagao, Vice-chair (Nagoya University)

Takayuki Ito (Nagoya Institute of Technology),

Akihiro Inokuchi (IBM, Japan)

Michita Imai (Keio University)

Ryohei Orihara (Toshiba Cooperation)

Daisuke Katagami (Tokyo Institute of Technology),

Ken Kaneiwa

(The National Institute of Information and Communications Technology)

Takanori Komatsu (Future University-Hakodate),

Ken Satoh (National Institute of Informatics),

Kiyoaki Shirai (Japan Advanced Institute of Science and Technology),

Masahiro Terabe (Mitsubishi Research Institute, Inc.),

Takuichi Nishimura

(National Institute of Advanced Industrial Science and Technology)

Taro Fujimoto (Fujitsu Laboratories Ltd.)

Takashi Miyata (Justsystems Corporation)

Yutaka Matsuo

(National Institute of Advanced Industrial Science and Technology)

Shigeo Matsubara (NTT Communication Science Laboratories)

Tsuyoshi Murata (Tokyo Institute of Technology)

Masaki Murata

(The National Institute of Information and Communications Technology)

Satoshi Morinaga (NEC Cooperation) Ikuko Eguchi Yairi

(The National Institute of Information and Communications Technology)

Makoto Yokoo (Kyushu University)

Takashi Washio (Osaka University)

# Translational Symmetry in Subsequence Time-Series Clustering

Tsuyoshi Idé

IBM Research, Tokyo Research Laboratory 1623-14 Shimotsuruma, Yamato, 242-8502 Kanagawa, Japan goodidea@jp.ibm.com

Abstract. We treat the problem of subsequence time-series clustering (STSC) from a group-theoretical perspective. First, we show that the sliding window technique introduces a mathematical artifact to the problem, which we call the pseudo-translational symmetry. Second, we show that the resulting cluster centers are necessarily governed by irreducible representations of the translational group. As a result, the cluster centers necessarily forms sinusoids, almost irrespective of the input time-series data. To the best of the author's knowledge, this is the first work which demonstrates the interesting connection between STSC and group theory.

#### 1 Introduction

Learning representative patterns from time series data is one of the most interesting tasks in data mining. Since the seminal work of Das et al. [1], subsequence time-series clustering (STSC) had enjoyed popularity as the simplest and the most reliable technique of stream mining. In STSC, time series data is represented as a set of subsequence vectors generated using a sliding window (see Fig. 1 (a)), and the generated subsequences are grouped using k-means clustering (Fig. 1 (b)). The cluster centers (the mean vectors of the cluster members) are thought of as representative patterns of the time series.

Currently, however, k-means STSC is considered to make little sense as a pattern discovery technique, since, as first pointed out by Keogh et al. [9], k-means STSC is "meaningless" in that the resultant cluster centers tend to form sinusoidal pseudo-patterns almost independent of the input time series. This sinusoid effect proved that even the simplest algorithms such as k-means STSC could be too dangerous to be used unless the mathematical structures are fully understood. We believe that the sinusoid effect raised a question to the general trend in the stream mining community, where seemingly plausible analysis tends to be accepted without theoretical justifications.

In a previous paper [6], we theoretically studied the origin of the sinusoid effect. The original k-means STSC task was reduced to a spectral STSC task, and sinusoidal cluster centers were explicitly obtained by solving an eigen problem. In this paper, we discuss mathematical properties of STSC in more detail. In

T. Washio et al. (Eds.): JSAI 2006, LNAI 4384, pp. 5-18, 2007.

<sup>©</sup> Springer-Verlag Berlin Heidelberg 2007

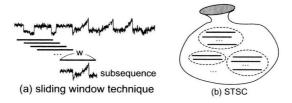


Fig. 1. (a) Sliding window technique to generate subsequences. (b) The generated subsequences are grouped as independent data items.

particular, we will point out that the cluster centers are inevitably governed by irreducible representations of the translational group, because of a hidden translational symmetry introduced by the sliding window technique. To the best of the author's knowledge, this is the first work that points out the interesting connection between STSC and group theory.

The layout of this paper is as follows: In Section 2, we reformulate STSC as the problem of linear algebra in a vector space, and introduce the notion of linear operators. In Section 3, we review the connection between k-means and spectral STSC. In Section 4, we introduce the concept of translational group, and explain its implications in spectral STSC. In Section 5, we derive the solution to spectral STSC from a group-theoretical perspective. In Section 6, we summarize the paper.

### 2 Lattice Model for Time Series Analysis

In this section, we introduce a lattice model for time series analysis, and show that this model provides us with a very handy way to express the subsequences of time series data. Throughout this paper, we use  $\mathbb{R}$  and  $\mathbb{C}$  to represent the sets of real and complex numbers, respectively.

#### 2.1 Vector Space in Dirac's Notation

A common approach to express time-series data is to use a scalar function such as x(t). However, this notation is not very effective in describing symmetry properties of the problem. We believe that this has made it difficult to pinpoint the origin of the sinusoid effect. Instead, we introduce a lattice model in Dirac's notation to represent time series data. While Dirac's notation [12,10] is mathematically equivalent to the standard vector-matrix notation, it is much more powerful for describing linear operators, which play an essential role in this paper. In this subsection, we illustrate the notion of Dirac's notation, by following [12].

Let  $\mathcal{H}_0$  be a vector space spanned by n linearly independent bases  $\{|1\rangle, |2\rangle, ..., |n\rangle\}$ . By definition, any vector in  $\mathcal{H}_0$  is represented as a linear combination of these bases. For example, a vector  $|a\rangle \in \mathcal{H}_0$  may be expressed as