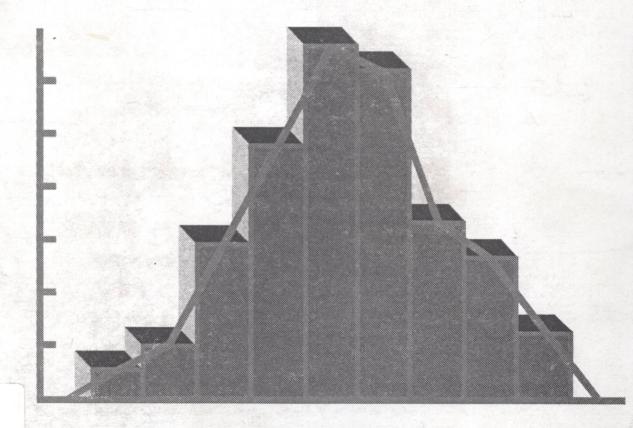
Introduction to

# Quality

Management, Assurance, and Control



HUTCHINS

F406.2 H974

# Introduction to Quality Control, Assurance, and Management

**GREGORY B. HUTCHINS** 



Merrill, an imprint of Macmillan Publishing Company New York

Collier Macmillan Canada, Inc. *Toronto* 

Maxwell Macmillan International Publishing Group New York Oxford Singapore Sydney



# I sincerely thank George and Irina Hutchins and Baba.

Executive Editor: Stephen Helba Production Editor: Sharon Rudd Art Coordinator: Vincent A. Smith

Cover Designer: Brian Deep

This book was set in Times Roman.

Copyright © 1991 by Macmillan Publishing Company. Merrill is an imprint of Macmillan Publishing Company.

Printed in the United States of America

All rights reserved. No part of this book may be reproduced or transmitted in any form or by any means, electronic or mechanical, including photocopy, recording, or any information storage and retrieval system, without permission in writing from the Publisher.

Macmillan Publishing Company 866 Third Avenue, New York, NY 10022

Collier Macmillan Canada, Inc.

Library of Congress Catalog Card Number: 90-53390 International Standard Book Number: 0-675-20896-3

Printing: 3 4 5 6 7 8 9 Year: 1 2 3 4

# **Preface**

The challenges, excitement, and opportunities in quality technology and management are increasing yearly. In the 1990s, all organizations face the issues of improving product and service quality, containing costs, and enhancing innovation. Quality and competitiveness are now synonymous.

The discipline of quality has evolved and expanded rapidly from inspection to company-wide quality management. Not too many years ago, quality was inspected into a product after it was made. Then quality was controlled in the manufacturing process. Now quality is a company-wide phenomenon encompassing every person and every element of the organization.

Because quality topics and technologies have increased as quickly as the importance of the field, this book covers traditional topics in inspection, quality control, and quality assurance as well as current topics in company-wide quality management. This text covers the mechanics of quality problem solving while emphasizing the importance of quality management and quality decision making.

Throughout the book, I have tried to present important technical material in a fun, readable, simple, stimulating, and instructive manner. I have tried to enliven a very important topic and show its relevance in a number of areas, as well as to cover the fundamental technologies. I believe that if technical concepts are made relevant and interesting, they can be learned more easily and remembered longer.

Introduction to Quality: Management, Assurance, and Control is designed for a first, one quarter course in quality for students majoring in technology and

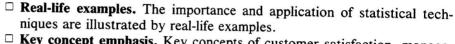
engineering. However, the coverage and level of topics may make it appropriate for students as an introduction to any quality course in any field.

My primary objective is to introduce, integrate, and explain quality management, assurance, and control principles in the clearest possible manner, and to provide students with an introductory text that will assist them in applying quality analysis to real-world problems.

The mathematical prerequisite is a basic algebra course. Computational procedures are presented and demonstrated only to the extent that they help students understand quality principles. Formulas are derived intuitively and are presented in their simplest form.

Within each chapter, difficult quality subjects begin with a discussion and are followed by an industry example and finally by a numerical example. Progressively more difficult topics are gradually introduced.

Special features of the text are:



- □ **Key concept emphasis.** Key concepts of customer satisfaction, management of quality, defect prevention, and continuous improvement are constantly emphasized. They are incorporated into the text and are highlighted in the Spotlight special features.
- □ **Key word highlights.** Key words are boldfaced throughout the text, explained in context, and defined succinctly in the Key Terms section at the end of each chapter.
- Concept summary. Key concepts are reviewed and summarized at the end of each chapter.
- □ **Discussion questions.** At the end of each chapter, problems emphasize computational skills, while discussion questions stress conceptual understanding. These sections can serve as the basis for classroom discussions or for homework assignments.

I thank Steve Helba and Sharon Rudd for their unwavering support of this project.

My sincerest thanks go to the following for their insightful and tough reviews and comments: Miles Weaver, Terra Technical College; Clarence Fauber, Indiana State University; Harold Hambrock, DeVry-Columbus; John Vittrup, Southwest Texas State University; Robert Homolka, Kansas College of Technology; Kurt Blumberg, Milwaukee Area Technical College; John Troche, University of Akron; Joseph Thompson, North Central Technical College; David Lyth, Western Michigan University; James Wertz, Aiken Technical College; Michael Bowman, Purdue University of Indianapolis; Tom Lavender, Catawba Valley Technical Institute; Timothy Sexton, Ohio University-Athens; Larry Roderick, Texas A & M University; Gary Winek, Southwest Texas State University; Steve Redmer, Lakeshore Technical College; and Saeid Eidgahy, Bowling Green State University.

You, the instructor and students, are my customers. I want to hear from you. Please send your comments to me or to the publisher.

Greg Hutchins Quality Plus Engineering Portland, Oregon

#### **About the Author**

Greg Hutchins was an instructor at Portland Community College in Mathematics and Technology. For the past five years he has been a principal with Quality Plus Engineering in Portland, Oregon. Quality Plus Engineering is a quality engineering and management firm that provides consulting services to Fortune 500 companies and small businesses.

# **Contents**

QUALITY MANAGEMENT	
What Is Quality? 1	
History of Quality 2	
Early Quality 2	
Modern Quality 3	
Company-wide Quality Management 4	
Quality Loop 5	
Inspection and Prevention 11	
Inspection Mode 11	
Prevention Mode 12	
Measurement 12	
Quality Is Only as Good as the Measuring Instrument	12
Measurement Standards 13	
Repeatability 13	
Measurement Error 14	
Quality Problem Solving 15	
Summary 19	
Key Terms 19	
Ouestions 20	

vii

2 QUALITY STATISTICS I
Statistical Fundamentals 21
Populations and Samples 21
Types of Statistics 23
Raw Data 23
Quality Data 23
Important Questions 24
Descriptive Statistics 25
Arrays 25
Constructing a Frequency Distribution 25
Relative Frequency Distribution 28
Frequency Distribution Graphs 28
Histograms 28
Frequency Polygons 31
Histograms versus Polygons 31
Interpretation of Graphs 32
Frequency Distribution Parameters 32
Pareto Diagrams 34
Cause-and-Effect Diagrams 37
Summary 42
Key Terms 42
Questions 43
Problems 43
3 QUALITY STATISTICS II
Measures of Central Tendency 45 Locating the Mean, Median, and Mode 50

45

21

Measures of Central Tendency 45
Locating the Mean, Median, and Mode 50
Measures of Dispersion 51
Common Distribution Shapes 56
Normal Distribution 57
Bell Curve 57
Area under the Normal Curve 57
Central Limit Theorem 60
Applications 61
Summary 67
Key Terms 67
Questions 68
Problems 68

Joint Probability 112

4
STATISTICAL PROCESS CONTROL: VARIABLE CHARTS 71
Overview of Statistical Process Control 71
Types of Data 73
Attribute Data 73
Variable Data 73
Causes of Variation 74
Chance Causes 74
Assignable Causes 74
Control Chart Fundamentals 75
Variable Control Charts 75
Introduction to Control Charts 75
Visit to a Control Chart 79
In Control or out of Control? 79
Specifications and Chart Limits 79
Statistical Process Control Planning 80
Construction of a Trial Chart 82
Basic Questions 88
Control Analysis (Process in Control) 89
What If? 90
Continuous Improvement 90  Control Analysis (Process out of Control) 01
Control Analysis (Process out of Control) 91
Process Capability 93
Process Is in Control 94 Distribution of Averages and Individual Values 94
Calculating the Population Standard Deviation 94
Estimating the Population Standard Deviation 95
Improving Capable Processes 99
Individual Measurement Chart 99
Procedure 100
Interpretation 100
Summary 102
Key Terms 103
Questions 104
Problems 105
5
PRACTICAL PROBABILITY 107
Introduction to Probability 107
Fundamental Definitions and Concepts 107
What Is Probability? 108
Rules of Probability 109
Unconditional Probability 109

**CONTENTS** Permutations and Combinations 114 Permutations 112 Combinations 114 Binomial Probability Distribution 115 What Is a Probability Distribution? 115 Example of a Binomial Equation 115 Binomial Distribution in Quality 116 Hypergeometric Probability Distribution 118 Hypergeometric Equation 118 Hypergeometric Equation in Quality Control 120 Poisson Distribution 122 Application of Probability Distributions 124 Summary 126 Key Terms 126 Ouestions 127 Problems 128 **CONTROL CHARTS** Types of Attribute Charts 130 What Are Attribute Data? 130

130

Defects and Defectives 130 Illustration of an Attribute Chart 131 Planning and Analysis 132 p Chart 133 Scan of Control Chart 133 When Should a p Chart Be Used? 135 p-Chart Construction 135 p-Chart Interpretation 139 Out-of-Control Conditions 140 np Chart 141 Method for Constructing the np Chart 141 c Chart 144 Method for Constructing the c Chart 144 Interpretation 146 u Chart 146 Summary 152 Key Terms 152 **Ouestions** 153 Problems 153

-		
INSPECTION OF SAMPLING		157
What Is Inspection? 157		
Disposition of Products 158		
Inspection Planning 159		
Introduction to Sampling 161		
Types of Inspection 161 Problems of 100% Sampling 162		
Disadvantages of Sampling 163		
Introduction to Acceptance Sampling 164		
General Sampling Procedure 164		
Sampling Planning 164 Sample Selection 165		
Operating Characteristic Curves 167		
Typical OC Curve 167		
Ideal OC Curve 167		
Constructing an OC Curve 168		
Producer's and Consumer's Risk 170 MIL-STD 105D 171		
Sampling Plan Procedure 172		
Sampling Plans 174		
Evaluation of Sampling Plans 179		
Continuous Improvement 179 Summary 192		
Key Terms 192		
Questions 194		
Problems 194		
8		
AUDITING		196
What Is Auditing? 196		
Accurate and Reliable Information 197		
Audits and Surveys 198		
Auditor, Client, and Auditee 198		
Key Audit Players 198 Auditor 199		
Client 199		
Auditee 200		
Ethics and Auditor Requirements 201		
Common Elements of Most Codes of Ethics	201	
Types of Quality Audits 203 Program 203		
Processes 205		
Products 205		
People 205		

此为试读,需要完整PDF请访问: www.ertongbook.com

•

Interest 240

Types of Interest 241

_		
	Auditing Methodology 206 Planning 206 Implementation 206 Reporting 207 Audit Misuse and Abuse 211 Summary 212 Key Terms 212 Questions 213	
	9 RELIABILITY	214
	Importance of Reliability 214 Reliability Measurement 216 Repairable or Nonrepairable 216 Types of Failure 217 Sources of Information 217 Bathtub Curve 218 Reliability over Time 218 What Is a Bathtub Curve? 219 Extending the Operating Life 220 Modeling Reliability 221 Designing for Reliability 222 Reliability Factors 222 Reliability Design 222 Component Configuration 224 Maintainability, Repairability, and Availability 229 What Is Maintainability? 229 What Is Repairability? 229 What Is Availability? 229 What Is Availability? 230 Human Engineering 230 Summary 231 Key Terms 232 Questions 232 Problems 233	
	10 QUALITY ECONOMICS	234
	Importance of Reliable Cost Information 234 Conformance and Nonconformance Costs 235 Quality Cost Curves 235 Reliability Cost 236 Cost Measurement System 237 Time Value of Money 239	

CONTENTS

Interest Formulas 242

Cash-Flow Drag 242

Formulas 243

Measures of Equivalence 249

Comparison of Alternatives 249

Analytical Tools 249

Taguchi Quality Economics 251

Loss to Society 251

Constant Reduction of Variation 252

Summary 255

Key Terms 256

Questions 257

Problems 258

#### **APPENDICES**

Appendix A: Areas under the Normal Curve 261

Appendix B: The Poisson Distribution 263

Appendix C: Interest Factors 268

**INDEX** 

287

xiii

# **Quality Management**

In the global marketplace, the issue of quality is changing, continuously adapting to customer needs and expectations. Several important trends are accelerating this change.

Customer demand for quality is increasing. Quality is being enhanced through increased product performance, design, usability, reliability, and maintainability.

Defect prevention is replacing defect inspection as the means to pursue continuous quality improvement. Many organizations are eliminating incoming, in-process, and final inspection. Responsibility for quality is being placed on the person doing the work, whether assembling, fabricating, managing, servicing, or delivering.

Company-wide quality management is replacing quality assurance. Quality management is a broader concept than quality assurance or control. It not only implies controlling and assuring, but it also includes organizing, monitoring, coordinating, and even "cheerleading" quality.

#### WHAT IS QUALITY?

The term quality can be defined in various ways, depending on the perspective of the user. Quality is

□ Conformance to applicable specifications and standards

- ☐ Fitness for use
- ☐ Satisfaction of customer wants, needs, and expectations at a competitive cost

**Conformance.** Every organization, whether profit, nonprofit, manufacturing, service, private, or public, has **specifications** and **standards**. Organizations develop these to measure performance and to correct deviations from expected levels of performance. For example, in a manufacturing operation, specifications detail dimensional limits or physical attributes of a quality characteristic of a part. In a service operation, standards dictate approved methods of behavior or service.

Fitness for Use. Joseph Juran, an eminent authority on quality management, coined the phrase "fitness for use" to define quality. This is a market- or customer-based definition. A product or service is fit for use if it satisfies customer needs and requirements.

An interesting point is that a product might be fit for use in terms of satisfying the customer, but not conform to the specification. A surface finish specification was developed for a consumer product. The condition of the surface finish is important because it enhances the product's appearance and hence its marketability. The specification was written to include all surfaces, both external and internal. However, if the inside product surface is blemished, but it cannot be seen by a customer and does not adversely influence the buy decision, the nonconformance is accepted. So a product with a blemish may be fit for use if the blemish does not affect performance, safety, or marketability.

Customer Satisfaction at a Competitive Price. Another definition says that product or service quality is the producer's ability to satisfy customer needs while still being able to realize a profit. This definition has both a customer and a manufacturer orientation. While the customer is the reason for the organization's existence, the product manufacturer and service provider must still make a profit.

This definition focuses on satisfying the customer at a competitive price. Many customers will not purchase a product or service unless it is reasonably priced.

# **HISTORY OF QUALITY**

# **Early Quality**

Quality techniques were first used in ancient times. Four thousand years ago, the Egyptians measured the rocks used in their pyramids. Then the Greeks and Romans measured buildings and aqueducts to ensure they conformed to requirements. Later, craft guilds in Renaissance Europe specified, measured, controlled, and assured the quality of paintings, cloth, tapestries, sculpture, and architecture. To assure uniformity, guild students went through exhaustive apprenticeship programs overseen by accomplished masters.

# **Modern Quality**

The quality function in modern organizations has evolved through the following stages: inspection, quality control, quality assurance, and company-wide quality management.

**Inspection.** Modern quality started in the 1920s. The first quality groups were **inspection** departments. During production, inspectors measured products against specifications. Inspection departments were not independent; they usually reported to the manufacturing department whose efforts they were inspecting. This presented a conflict of interest. If the inspection department rejected a batch of nonconforming products and the manufacturing department wanted to push this batch of products out the door regardless of quality, the manufacturing department always got its way. This sent a "production at any cost" message to the organization instead of a "quality is job #1" message. Product quality could only improve slowly in this environment.

Quality Control. In the 1940s, inspection groups evolved into quality control (QC) departments. The start of World War II required that military products be defect-free. Product quality was crucial to winning the war and could only be ensured if the inspection department could control production processes. Quality, defined as conformance to specification, was controlled during production instead of being inspected into products. Responsibility for quality was transferred to an independent QC department, which was now considered the "guardian" of quality. Also, the QC department was now separated from manufacturing to give it autonomy and independence.

Quality Assurance. Quality control evolved into quality assurance (QA). The QA department focuses on assuring process and product quality through executing operational audits, supplying training, performing technical analysis, and advising operational areas on quality improvement. QA consults with the departments where the responsibility for quality actually rests.

QC is still alive in some organizations where QA has not evolved. It is considered to be a functional area, which is responsible for inspecting products, calibrating instruments, testing products, and inspecting incoming material.

Company-Wide Quality Management. As the issue of quality becomes more prominent, QA is evolving into a company-wide quality management (CWQM) function. CWQM is also called total quality management (TQM) or total quality control (TQC). The quality organization is the prime facilitator and consultant in this effort. Corporate quality groups are small with more authority but less direct responsibility for quality. For example, the quality organization has authority to stop defective material from leaving the manufacturing door, while the responsibility for the control of quality is pushed to the manufacturing department operator.

The chief executive officer (CEO) often starts and guides the CWQM program. As the quality message permeates the organization, more people become

involved, and slowly a quality ethic and culture develop. The focus of the program is company-wide, customer-oriented, and competitively driven.

Quality no longer resides in one department. It is a company-wide issue essential to the organization's survival. To produce a quality product or deliver a quality service requires the attention and commitment of everyone in the organization. It is the responsibility of the person doing the work, whether it is the receptionist greeting people, the manager supervising employees, the operator fabricating material, or the person delivering flowers. Every element in the organization, from the executive committee that establishes policy to the receptionist at the front desk, contributes to or detracts from the quality effort. The executive committee defines a realistic policy; line management establishes doable objectives; engineers design attractive, reliable, and functional products; receptionists are courteous and prompt; and operators produce defect-free products.

Customer orientation is essential in CWQM programs because the customers' needs change and the organizations must adapt to changing needs. Adapting means designing aesthetic products, producing defect-free products, and delivering products on time, at a profit. Most importantly, an organization must design, produce, and deliver what the customer wants, not what the organization thinks the customer wants.

# **COMPANY-WIDE QUALITY MANAGEMENT**

In a global economy, product manufacturing and service delivery know no boundaries. Corporate management might reside in Germany. An automobile might be designed in Italy. Parts might be made anywhere in the world. The automobile might be assembled in Mexico. It might be marketed and serviced in the United States.

The auto, from conception to manufacturing to delivery, has to embody quality. The **International Standards Organization (ISO)** developed standards (9000–9004) so that there could be a common language and understanding of important terms and concepts in quality.

The American standard "Quality Management and Quality System Elements—Guidelines" (ANSI/ASQC Q94-1987), issued by the American National Standards Institute (ANSI), evolved from the ISO standards. This standard specifies the principal elements of a CWQM system. ANSI standards are technically equivalent to ISO standards.

This book is primarily concerned with the producer of products rather than the deliverer of services. However, in almost every example, a product manufacturer is also a service deliverer. When a meal is ordered in a restaurant, the service component of the meal is as important as the product component, which is the meal itself. The waitress delivers food. The atmosphere is conducive to conversation, and the restaurant has special activities for entertaining children.

If the quality of complex goods and services is to be controlled and assured, a CWQM program is developed. The goal of the program is to measure, detect, reduce, eliminate, and prevent quality deficiencies. Deficiencies can be defective products, discourteous service, late deliveries, or nonserviceable automobiles.