# Synthetic Membranes: Science, Engineering and Applications

edited by P. M. Bungay, H. K. Lonsdale, and M. N. de Pinho

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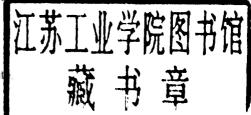
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# Synthetic Membranes: Science, Engineering and Applications

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#### PREFACE

The chapters in this book are based upon lectures given at the NATO Advanced Study Institute on Synthetic Membranes (June 26-July 8, 1983, Alcabideche, Portugal), which provided an integrated presentation of synthetic membrane science and technology in three broad areas.

Currently available membrane formation mechanisms are reviewed, as well as the manner in which synthesis conditions can be controlled to achieve desired membrane structures. Membrane performance in a specific separation process involves complex phenomena, the understanding of which requires a multidisciplinary approach encompassing polymer chemistry, physical chemistry, and chemical engineering. Progress toward a global understanding of membrane phenomena is described in chapters on the principles of membrane transport. The chapters on membrane processes and applications highlight both established and emerging membrane processes, and elucidate their myriad applications.

It is our hope that this book will be an enduring, comprehensive compendium of the state of knowledge in the field of synthetic membranes. We have been encouraged in that hope by numerous expressions of interest in the book, coming from a variety of potential users.

At this time, we wish to acknowledge several individuals and groups whose contributions were essential to the success of this venture: Dr. Craig Sinclair and the NATO Science Committee; Ms. Aida de Sousa, Ms. Judite Fialho and their colleagues at SERV-INTERNACIONAL, Lisbon, Portugal; Dr. Murray Eden, Dr. Robert Dedrick, Ms. Leisa Lyles, and Ms. Inyoung Kwon, of the Biomedical Engineering and Instrumentation Branch, National Institutes of Health; the Department of Chemical Engineering, Instituto Superior Técnico, Lisbon, Portugal; and in particular, the members of the Advanced Study Institute faculty. Corporate sponsors of the ASI in 1983, as well as sponsors of the book, are listed separately; their valued assistance is gratefully acknowledged.

Peter M. Bungay Harold K. Lonsdale Maria Norberta de Pinho

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#### **SYMBOLS**

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The following symbols constitute a common nomenclature for the book. Symbols given specialized meanings in a particular chapter may be found at the end of that chapter. Units are first indicated in terms of base physical quantities: length (L), mass (M), time (t), temperature (T), amount of substance (mol) and electric current (A, ampere). Alternative expressions for units contain derived quantities: energy  $(E = ML^2/t^2)$ , pressure  $(p = M/Lt^2)$  and electric potential (V, volt).

```
activity, mol/L3
\boldsymbol{a}
A
         area. L^2
A_{\nu}
         solvent permeation coefficient (volume), L^2t/M or L/tp
A_{w}
         solvent permeation coefficient (mass), t/L or M/L^2tp
         radius of spherical particle, L
ь
B_i
         solute permeation coefficient, L/t
        concentration, M/L^3 or mol/L^3
c
C
         heat capacity, L^2/t^2T or E/MT
         differential operator
d
d
         diameter (with subscript), L
d_{\scriptscriptstyle h}
         hydraulic diameter, L
d_i
         molecular diameter of species i, L
d_n
         pore diameter, L
d_{t}
         tube diameter. L
\boldsymbol{D}
         diffusion coefficient, L^2/t
D_T
         thermodynamic diffusion coefficient, L^2/t
         base of natural logarithms
е
E
         energy, ML^2/t^2 or E
\Delta \tilde{E}_{a}
         activation energy, ML^2/t^2 mol or E/\text{mol}
Ė
         rate of energy consumption, ML^2/t^3 or E/t
f
         number of degrees of freedom
        friction coefficient, M/t mol or Et/L^2 mol
fü
         Faraday constant, At/mol
         gravitational acceleration, L/t^2
g
        Flory-Huggins interaction parameter of species i and j, ML^2/t^2 mol or
gii
           E/\text{mol}
G
         Gibbs free energy, ML^2/t^2 or E
```

membrane thickness, L

Henthalpy,  $ML^2/t^2$  or E $\Delta \hat{H}_{\cdot \cdot}$ latent heat of vaporization,  $ML^2/t^2$  or Eelectric current density,  $A/L^2$ i limiting current density,  $A/L^2$  $l_{lim}$ Ι electric current, A flux of species i,  $M/L^2t$  or mol/ $L^2t$  $J_{i}$  $J_{v}$ volumetric flux, L/tthermal conductivity,  $ML/t^3T$  or E/LtTk Boltzmann constant,  $ML^2/t^2T$  or E/TK forward reaction rate constant,  $(mol/L^3)^{1-n}/t$ , n = order of reaction  $k_{c}$ mass transfer coefficient for species i, L/tk. reverse reaction rate constant,  $(\text{mol}/L^3)^{1-n}/t$ , n = order of reactionequilibrium coefficient, various units distribution coefficient for species i,  $a_i/a_i$ , dimensionless K $K_{\bullet}$ solubility product, various units L membrane length, L hydraulic permeability, hydraulic conductivity or filtration coeffi- $L_{n}$ cient,  $L^2t/M$  or L/tp,  $L^3t/M$  or  $L^2/tp$ phenomenological conductance coefficient, various units  $L_{v}$ molal concentration, mol/M m M mass, M M molecular weight, M/mol number of moles n Ň Avogadro constant, (mol)-1  $\Delta p$ pressure drop or loss,  $M/Lt^2$  or p pressure or partial pressure (with subscript),  $M/Lt^2$  or p p P permeability coefficient, various units Pe Peclet number, vd/D, dimensionless heat flux,  $M/t^3$  or  $E/L^2t$  $\boldsymbol{q}$ heat,  $ML^2/t^2$  or E9 2 heat consumption rate,  $ML^2/t^3$  or E/tQ volumetric flow rate,  $L^3/t$ radial coordinate, radial position, or radius (with subscript), L pore radius, L  $r_{\rm p}$ tube radius, Lr, phenomenological resistance coefficient, various units  $r_{u}$ rejection or retention, dimensionless R gas constant,  $ML^2/t^2T$  mol RR resistance coefficient (with subscript), various units  $R_c$ cake resistance,  $M/L^2t$  or pt/Lelectrical resistance, V/A  $R_{e}$  $R_{m}$ membrane resistance,  $M/L^2t$  or pt/LReynolds number, vd/v, dimensionless Re solubility coefficient of gaseous species i, various units  $S_i$ 

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SYMBOLS :

```
entropy, ML^2/t^2T or E/T
S
Sc
        Schmidt number, \nu/D, dimensionless
Sh
        Sherwood number, k_i L/D, dimensionless
t
ŧ.
        transference number (with subscript), dimensionless
        half-life, t
t1/2
T
        temperature, T
T_{\rm b}
        normal boiling point, T
T_c
        critical temperature, T
        glass transition temperature, T
T_{\rm g}
        absolute mobility of species i, t mol/M or L^2 mol/Et
u_i
        velocity, L/t
v
        membrane permeation rate, L/t
v_{\mathrm{m}}
V
         volume, L^3
\tilde{V}_{i}
        partial molar volume of species i, L^3/mol
        channel width, L
w
        mass fraction of species i, dimensionless
Wi
        rectilinear coordinates, L
x, y, z
        mole fraction of species i, dimensionless
x_i
\boldsymbol{X}
         thermodynamic force, E/mol
Y
        yield, dimensionless
         valency of species i (with sign)
z_i
        separation factor, y_i''y_j'/y_i'y_j'', y = c, p, w, etc., dimensionless
\alpha_{ij}
        enrichment factor, y_i''/y_i', y = c, p, w, etc., dimensionless
\beta_i
         surface tension, M/t^2 or E/L^2
γ
         activity coefficient of species i, a_i/c_i, dimensionless
\gamma_i
        mean activity coefficient, \sqrt{\gamma_+\gamma_-}, dimensionless
Y±
         selectivity coefficient, D_i K_i / D_i K_i or D_i s_i / D_i s_i, dimensionless
\Gamma_{ii}
δ
         polarization layer thickness, L
δ
         solubility parameter (with subscript), (E/L^3)^{1/2}
        partial differential operator
9
Δ
         difference operator
        porosity, dimensionless
€
         efficiency (with subscript), dimensionless
η
         viscosity, M/Lt
η
θ
         tortuosity factor, dimensionless
         channel height, L
λ
         chemical potential, ML^2/t^2 mol or E/\text{mol}
μ
         kinematic viscosity, L^2/t
ν
         vibrational frequency, t^{-1}
ν
         number of ions per molecule of electrolyte i
\nu_i
         current utilization, dimensionless
ξ
         osmotic pressure, M/Lt^2 or p
π, Π
```

ρ	density or mass concentration (with subscript), M/L3
σ .	reflection coefficient, dimensionless
Σ	summation operator
τ	time constant or transition time, t
φ	volume fraction, dimensionless
φ <sub>f</sub>	fraction free volume, dimensionless
X	Flory-Huggins interaction parameter, dimensionless
Ψ	electric potential, V

solute permeability coefficient,  $t \mod LM$ ,  $t \mod M$ 

# Diacritical Marks

per mole per unit mass average value time rate of change

#### **Superscripts**

value in the membrane indicated by absence of superscript value in feed stream or on high pressure side of membrane; value in phase external to the membrane value in extract, permeate, product or on low pressure side of

membrane

standard reference state

#### Subscripts

particular component	S	
interior of stream out	side of boundary	layer
general species index	or solute species	si
membrane-solution in	terface	E.
inlet	-1•	
species $j$		
liquid		•
membrane or confine	d to membrane	· · · · · · · · · · · · · · · · · · ·
observed or apparent		
outlet ·		
product, permeate, or	permeant	
retentate or reject	444	
solution		
tube		
vapor		£.
water or solvent		
initial value		
	interior of stream out general species index membrane-solution in inlet species j liquid membrane or confined observed or apparent outlet product, permeate, or retentate or reject solution tube vapor water or solvent	species j liquid membrane or confined to membrane observed or apparent outlet product, permeate, or permeant retentate or reject solution tube vapor water or solvent

#### ABBREVIATIONS

Chemical Names:

CA cellulose acetate

CE cellulose ester

CN cellulose nitrate

CTA cellulose triacetate

DMAc dimethyl acetamide

DMF dimethyl formamide

DMSO dimethyl sulfoxide

EDTA ethylenediaminetetraacetic acid

NMP N-methy-2-pyrrolidone

NR natural rubber

PA polyamide

PC polycarbonate

PE polyethylene

PI polyimide

PS polystyrene

PO polyolefin

PAN polyacrylonitrile

PBD cis-polybutadiene

PEA polyethylacrylate

PEI polyethyleneimine

PEM polyethylmethacrylate

**PMA** polymethylacrylate

PPN polyphosphonate

PPr polypropylene

**PSF** polysulfone

PVA polyvinyl alcohol

**PVC** polyvinyl chloride

**PVP** polyvinylpyrrolidone

PDMS polydimethylsiloxane

PTFE

polytetrafluoroethylene

PVDF polyvinylidene flouride

SR silicone rubber

TDI toluene diisocyanate

THF tetrahvdrofuran

VAMA vinylacetate/methylacrylate copolymer

xvi ABBREVIATIONS

#### Processes:

DO direct osmosis
ED electrodialysis
IE/IX ion exchange
ME multiple effect
MF microfiltration

MSF multistage flash evaporation PRO pressure-retarded osmosis RED reverse electrodialysis

RO reverse osmosis UF ultrafiltration VC vapor compression

#### Other:

GPD gallons per day GSFD gallons/ft²/day

TDS total dissolved solids

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#### SYNTHETIC MEMBRANES AND THEIR PREPARATION

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In recent years, separations with synthetic membranes have become increasingly important processes in the chemical industry, in food and wastewater processing, and in medical treatment. Synthetic membranes made from a variety of polymers are used in processes such as microfiltration, ultrafiltration, reverse osmosis, electrodialysis, and gas separations. In this paper, the different membrane structures, their function and application in various separation processes are described. The preparation procedures of the various membrane types are discussed with special emphasis on symmetric and asymmetric membranes obtained by the phase inversion process.

#### 1. INTRODUCTION

- 1.1. Definition of a Membrane
- 1.2. Fluxes and Driving Forces in Membrane Separation Processes

# 2. CLASSIFICATION OF MEMBRANES: STRUCTURES AND METHODS OF PREPARATION

2.1. Microporous Media Sintered membranes Stretched membranes