

LNCS 4778

S. Kevin Zhou
Wenyi Zhao
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Analysis and Modeling of Faces and Gestures

Third International Workshop, AMFG 2007
Rio de Janeiro, Brazil, October 2007
Proceedings

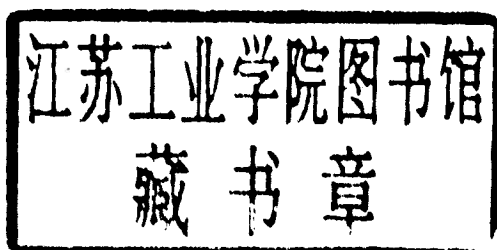


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Library of Congress Control Number: 2007936691

CR Subject Classification (1998): I.4, I.5, I.3.5, I.2.10, I.2.6, F.2.2

LNCS Sublibrary: SL 6 – Image Processing, Computer Vision, Pattern Recognition, and Graphics

ISSN 0302-9743
ISBN-10 3-540-75689-2 Springer Berlin Heidelberg New York
ISBN-13 978-3-540-75689-7 Springer Berlin Heidelberg New York

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Printed in Germany

Typesetting: Camera-ready by author, data conversion by Scientific Publishing Services, Chennai, India
Printed on acid-free paper SPIN: 12174645 06/3180 5 4 3 2 1 0

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Preface

The 2007 IEEE International Workshop on Analysis and Modeling of Faces and Gestures (AMFG) is the third workshop of its type organized in conjunction with ICCV, this time in Rio de Janeiro, Brazil. Our primary goal is to bring together researchers and research groups to review the status of recognition, analysis and modeling of face, gesture, activity, and behavior; to discuss the challenges that we are facing; and to explore future directions.

This year we received 55 submissions. Each paper was reviewed by three program committee members. The whole reviewing process was double blind. However, due to size limit, we were only able to accommodate 22 papers, among which 8 are orals and 14 are posters. The topics covered by these accepted papers include feature representation, 3D face, robust recognition under pose and illumination variations, video-based face recognition, learning, facial motion analysis, body pose estimation, and sign recognition.

A special word of thanks goes to Dr. Feng Zhao, our organizing chair, for his dedication and great efforts in maintaining both the online submission system and workshop website and in handling most of the author contacts. We are indebted to the advisory committee members for their valuable suggestions and to the program committee members for their hard work and timely reviews. Finally, we thank Cognitec System GmbH and Siemens Corporate Research for their sponsorship.

October 2007

S. Kevin Zhou
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AMFG 2007 was held in conjunction with ICCV 2007.

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Learning Personal Specific Facial Dynamics for Face Recognition from Videos

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Abstract. In this paper, we present an effective approach for spatiotemporal face recognition from videos using an Extended set of Volume LBP (Local Binary Pattern features) and a boosting scheme. Among the key properties of our approach are: (1) the use of local Extended Volume LBP based spatiotemporal description instead of the holistic representations commonly used in previous works; (2) the selection of only personal specific facial dynamics while discarding the intra-personal temporal information; and (3) the incorporation of the contribution of each local spatiotemporal information. To the best of our knowledge, this is the first work addressing the issue of learning the personal specific facial dynamics for face recognition.

We experimented with three different publicly available video face databases (MoBo, CRIM and Honda/UCSD) and considered five benchmark methods (PCA, LDA, LBP, HMMs and ARMA) for comparison. Our extensive experimental analysis clearly assessed the excellent performance of the proposed approach, significantly outperforming the comparative methods and thus advancing the state-of-the-art.

Keywords: Facial Dynamics, Local Binary Patterns, Face Recognition, Boosting.

1 Introduction

Psychological and neural studies [1] indicate that both fixed facial features and dynamic personal characteristics are useful for recognizing faces. However, despite the usefulness of facial dynamics, most automatic recognition systems use only the static information as it is unclear how the dynamic cue can be integrated and exploited. Thus, most research has limited the scope of the problem by applying methods developed for still images to some selected frames [2]. Only recently have researchers started to truly address the problem of face recognition from video sequences [3,4,5,6,7,8,9].

In [3], an approach exploiting spatiotemporal information is presented. It is based on modeling face dynamics using identity surfaces. Face recognition is performed by matching the face trajectory that is constructed from the discriminating features and pose information of the face with a set of model trajectories constructed on identity surfaces. Experimental results using 12 training sequences and the testing sequences of three subjects were reported with a recognition rate of 93.9%.

In [4], Li and Chellappa used the trajectories of tracked features to identify persons in video sequences. The features are extracted using Gabor attributes on a regular 2D grid. Using a small database of 19 individuals, the authors reported performance enhancement over the frame to frame matching scheme. In another work, Zhou and Chellappa proposed a generic framework to track and recognize faces simultaneously by adding an identification variable to the state vector in the sequential important sampling method [5].

An alternative to model the temporal structures is the use of the condensation algorithm. This algorithm has been successfully applied for tracking and recognizing multiple spatiotemporal features. Recently, it was extended to video based face recognition problems [6,5]. More recently, the Auto-Regressive and Moving Average (ARMA) model [10] was adopted to model a moving face as a linear dynamical system and perform recognition [7].

Perhaps, the most popular approach to model temporal and spatial information is based on the Hidden Markov models (HMM) which have also been applied to face recognition from videos [8]. The idea is simple: in the training phase, an HMM is created to learn both the statistics and temporal dynamics of each individual. During the recognition process, the temporal characteristics of the face sequence are analyzed over time by the HMM corresponding to each subject. The likelihood scores provided by the HMMs are compared. The highest score provides the identity of a face in the video sequence.

Unfortunately, most of the methods described above use spatiotemporal representations that suffer from at least one of the following drawbacks: (1) the local information which is shown to be important to facial image analysis [11] is not well exploited with holistic methods such as HMMs; (2) while only personal specific facial dynamics are useful for discriminating between different persons, the intra-personal temporal information which is related to facial expression and emotions is also encoded and used; and (3) equal weights are given to the spatiotemporal features despite the fact that some of the features contribute to recognition more than others. To overcome these limitations, we propose an effective approach for face recognition from videos that uses local spatiotemporal features and selects only the useful facial dynamics needed for recognition. The idea consists of looking at a face sequence as a selected set of volumes (or rectangular prisms) from which we extract local histograms of Extended Volume Local Binary Pattern (EVLBP) code occurrences. Our choice of adopting LBP (Local Binary Patterns) for spatiotemporal representation is motivated by the recent results of LBP approach [12] in facial image analysis [13] and also in dynamic texture recognition [14].

In this paper, noticing the limitations of volume LBP operator in handling the temporal information, we first extend the operator and derive a rich set of volume LBP features denoted EVLBP. Then, instead of ignoring the weight of each feature or simply concatenating the local EVLBP histograms computed at predefined locations, we propose an effective approach for automatically determining the optimal size and locations of the local rectangular prisms (volumes) from which EVLBP features should be computed. More importantly, we select only the most discriminative spatiotemporal EVLBP features for face recognition while discard the features which may hinder the recognition process. For this purpose, we use AdaBoost learning technique [15] which has shown its efficiency in feature selection task. The goal is to classify the EVLBP

based spatiotemporal features into intra and extra classes, and then use only the extra-class information for recognition. To the best of our knowledge, this is the first work addressing the issue of learning personal specific facial dynamics for face recognition.

2 Extended Volume LBP Features (EVLBP)

The LBP texture analysis operator, introduced by Ojala *et al.* [16,12], is defined as a gray-scale invariant texture measure, derived from a general definition of texture in a local neighborhood. It is a powerful means of texture description and among its properties in real-world applications are its discriminative power, computational simplicity and tolerance against monotonic gray-scale changes.

The original LBP operator forms labels for the image pixels by thresholding the 3×3 neighborhood of each pixel with the center value and considering the result as a binary number. Fig. 1 shows an example of an LBP calculation. The histogram of these $2^8 = 256$ different labels can then be used as a texture descriptor. Each bin (LBP code) can be regarded as a micro-texton. Local primitives which are codified by these bins include different types of curved edges, spots, flat areas etc.

The calculation of the LBP codes can be easily done in a single scan through the image. The value of the LBP code of a pixel (x_c, y_c) is given by:

$$LBP_{P,R} = \sum_{p=0}^{P-1} s(g_p - g_c) 2^p \quad (1)$$

where g_c corresponds to the gray value of the center pixel (x_c, y_c) , g_p refers to gray values of P equally spaced pixels on a circle of radius R , and s defines a thresholding function as follows:

$$s(x) = \begin{cases} 1, & \text{if } x \geq 0; \\ 0, & \text{otherwise.} \end{cases} \quad (2)$$

The occurrences of the LBP codes in the image are collected into a histogram. The classification is then performed by computing histogram similarities. For an efficient representation, facial images are first divided into several local regions from which LBP histograms are extracted and concatenated into an enhanced feature histogram. In such a description, the face is represented in three different levels of locality: the LBP labels for the histogram contain information about the patterns on a pixel-level, the labels

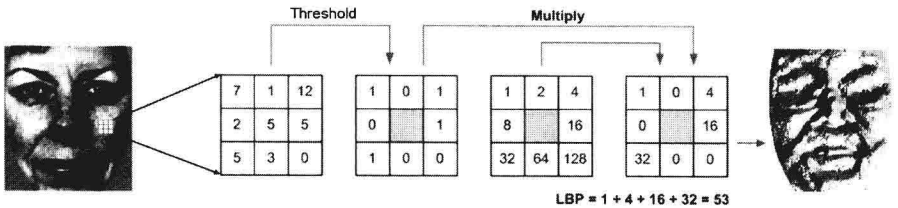


Fig. 1. Example of an LBP calculation

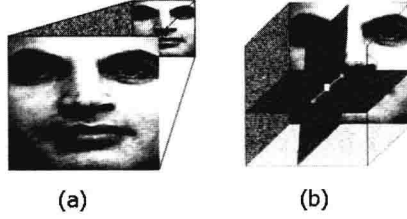


Fig. 2. (a): A face sequence is seen as a rectangular prism and (b): An example of 3D neighborhood of a pixel in Volume LBP

are summed over a small region to produce information on a regional level and the regional histograms are concatenated to build a global description of the face. This locality property, in addition to the computational simplicity and tolerance against illumination changes, are behind the success of LBP approach for facial image analysis [13].

The original LBP operator (and also its later extension to use neighborhoods of different sizes [12]) was defined to deal only with the spatial information. For spatiotemporal representation, Volume LBP operator (VLBP) has been recently introduced in [14]. The idea behind VLBP is very simple. It consists of looking at a face sequence as rectangular prism (or volume) and defining the neighborhood of each pixel in three dimensional space. Fig. 2 explains the principle of rectangular prism and shows an example of 3D neighborhood for Volume LBP.

There are several ways of defining the neighboring pixels in VLBP. In [14], P equally spaced pixels on a circle of radius R in the frame t , and $P + 1$ pixels in the previous and posterior neighboring frames with time interval L were used. This yielded in VLBP operator denoted $VLBP_{L,P,R}$. Fig. 3 (top) illustrates an example of VLBP operator with $P=4$ and $R=1$.

We noticed in our experiments on face recognition from videos that $VLBP_{L,P,R}$ does not encode well enough the temporal information in the face sequences since the operator considers neighboring points only from three frames and therefore the information in the frames with time variance less than L are missed out. In addition, a fixed number of neighboring points (i.e. P) are taken from each of the three frames, yielding in a less flexible operator with large set of neighboring points. To overcome these limitations, we introduce here an extended set of VLBP patterns by considering P points in *frame* t , Q points in the *frames* $t \pm L$ and S points in the *frames* $t \pm 2L$. This yields in Extended Volume LBP (EVLBP) operator that we denote by $EVLBP_{L,(P,Q,S),R}$.

By setting

$$\begin{cases} Q = P + 1 \\ S = 0 \end{cases} \quad (3)$$

$EVLBP_{L,(P,Q,S),R}$ will be equivalent to $VLBP_{L,P,R}$. Therefore, $VLBP_{L,P,R}$ can be seen as a special case of $EVLBP_{L,(P,Q,S),R}$. Fig. 3 (bottom) illustrates an example of Extended Volume LBP operator with $P=4$, $Q=S=1$ and $R=1$ ($EVLBP_{L,(4,1,1),1}$), while Fig. 3 (top) illustrates an example of $VLBP_{L,4,1}$ operator which is equivalent to $EVLBP_{L,(4,5,0),1}$.

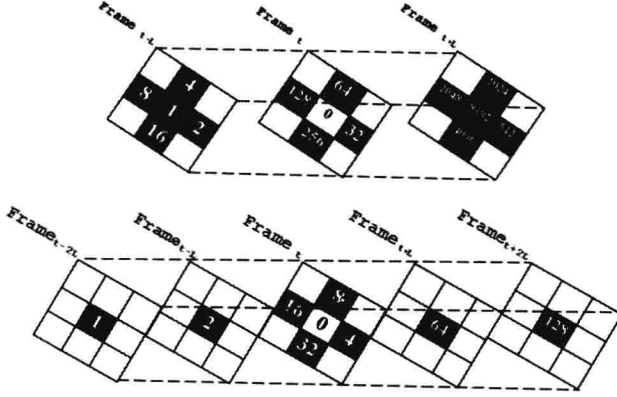


Fig. 3. Top: $VLBP_{L,4,1}$. Bottom: $EVLBP_{L,(4,1,1),1}$

Once the neighborhood function is defined, we divide each face sequence into several overlapping rectangular prisms of different sizes, from which we extract local histograms of EVLBP code occurrences. Then, instead of simply concatenating the local histograms into a single histogram, we use AdaBoost learning algorithm for automatically determining the optimal size and locations of the local rectangular prisms, and more importantly for selecting the most discriminative EVLBP patterns for face recognition while discarding the features which may hinder the recognition process.

3 Learning EVLBP Features for Face Recognition

To tackle the problem of selecting only the spatiotemporal information which is useful for recognition while discarding the information related to facial expressions and emotions, we adopt AdaBoost learning technique [15] which has shown its efficiency in feature selection tasks. The idea is to separate the facial information into intra and extra classes, and then use only the extra-class EVLBP features for recognition.

First, we segment the training face sequences into several overlapping shots of F frames each in order to increase the number of training data. Then, we consider all combinations of face sequence pairs for the intra and extra classes. From each pair $(sequence_i^1, sequence_i^2)$, we scan both face sequences with rectangular prisms of different sizes. At each stage, we extract the EVLBP histograms from the local rectangular prisms and compute the χ^2 (Chi-square) distances between the two local histograms. χ^2 dissimilarity metric for comparing a target histogram ξ to a model histogram ψ is defined by:

$$\chi^2(\xi, \psi) = \sum_{j=0}^{l-1} \frac{(\xi_j - \psi_j)^2}{\xi_j + \psi_j}, \quad (4)$$

where l is the length of feature vector used to represent the local rectangular prisms.

Thus, for each pair of face sequences, we obtain a feature vector X_i whose elements are χ^2 distances. Let us denote $Y_i \in \{+1, -1\}$ the class label of X_i where $Y_i = +1$