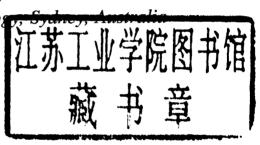


INFRARED SPECTROSCOPY: FUNDAMENTALS AND APPLICATIONS

Barbara H. Stuart

University of Technolog





Copyright © 2004

John Wiley & Sons Ltd, The Atrium, Southern Gate, Chichester, West Sussex PO19 8SQ, England

Telephone (+44) 1243 779777

Email (for orders and customer service enquiries): cs-books@wiley.co.uk Visit our Home Page on www.wileyeurope.com or www.wiley.com

All Rights Reserved. No part of this publication may be reproduced, stored in a retrieval system or transmitted in any form or by any means, electronic, mechanical, photocopying, recording, scanning or otherwise, except under the terms of the Copyright, Designs and Patents Act 1988 or under the terms of a licence issued by the Copyright Licensing Agency Ltd, 90 Tottenham Court Road, London W1T 4LP, UK, without the permission in writing of the Publisher. Requests to the Publisher should be addressed to the Permissions Department, John Wiley & Sons Ltd, The Atrium, Southern Gate, Chichester, West Sussex PO19 8SQ, England, or emailed to permireg@wiley.co.uk, or faxed to (+44) 1243 770620.

This publication is designed to provide accurate and authoritative information in regard to the subject matter covered. It is sold on the understanding that the Publisher is not engaged in rendering professional services. If professional advice or other expert assistance is required, the services of a competent professional should be sought.

Other Wiley Editorial Offices

John Wiley & Sons Inc., 111 River Street, Hoboken, NJ 07030, USA

Jossey-Bass, 989 Market Street, San Francisco, CA 94103-1741, USA

Wiley-VCH Verlag GmbH, Boschstr. 12, D-69469 Weinheim, Germany

John Wiley & Sons Australia Ltd, 33 Park Road, Milton, Queensland 4064, Australia

John Wiley & Sons (Asia) Pte Ltd, 2 Clementi Loop #02-01, Jin Xing Distripark, Singapore 129809

John Wiley & Sons Canada Ltd, 22 Worcester Road, Etobicoke, Ontario, Canada M9W 1L1

Wiley also publishes its books in a variety of electronic formats. Some content that appears in print may not be available in electronic books.

Library of Congress Cataloging-in-Publication Data

Stuart, Barbara (Barbara H.)

Infrared spectroscopy: fundamentals and applications / Barbara H.

Stuart.

p. cm.-(Analytical techniques in the sciences)

Includes bibliographical references and index.

ISBN 0-470-85427-8 (cloth: acid-free paper)-ISBN 0-470-85428-6 (pbk. :

acid-free paper)

1. Infrared spectroscopy. I. Title. II. Series.

QD96.15S76 2004

543'.57-dc22

2003027960

British Library Cataloguing in Publication Data

A catalogue record for this book is available from the British Library

ISBN 0-470-85427-8 (Cloth) ISBN 0-470-85428-6 (Paper)

Typeset in 10/12pt Times by Laserwords Private Limited, Chennai, India Printed and bound in Great Britain by Antony Rowe Ltd, Chippenham, Wiltshire This book is printed on acid-free paper responsibly manufactured from sustainable forestry in which at least two trees are planted for each one used for paper production.

INFRARED SPECTROSCOPY: FUNDAMENTALS AND APPLICATIONS

Analytical Techniques in the Sciences (AnTS)

Series Editor: David J. Ando, Consultant, Dartford, Kent, UK

A series of open learning/distance learning books which covers all of the major analytical techniques and their application in the most important areas of physical, life and materials sciences.

Titles Available in the Series

Analytical Instrumentation: Performance Characteristics and Quality Graham Currell, University of the West of England, Bristol, UK

Fundamentals of Electroanalytical Chemistry

Paul M. S. Monk, Manchester Metropolitan University, Manchester, UK

Introduction to Environmental Analysis

Roger N. Reeve, University of Sunderland, Sunderland, UK

Polymer Analysis

Barbara H. Stuart, University of Technology, Sydney, Australia

Chemical Sensors and Biosensors

Brian R. Eggins, University of Ulster at Jordanstown, Northern Ireland, UK

Methods for Environmental Trace Analysis

John R. Dean, Northumbria University, Newcastle, UK

Liquid Chromatography-Mass Spectrometry: An Introduction

Robert E. Ardrey, University of Ruddersfield, Huddersfield, UK

The Analysis of Controlled Substances

Michael D. Cole, Anglia Polytechnic University, Cambridge, UK

Infrared Spectroscopy: Fundamentals and Applications

Barbara H. Stuart, University of Technology, Sydney, Australia

Forthcoming Titles

Practical Inductively Coupled Plasma Spectroscopy

John R. Dean, Northumbria University, Newcastle, UK

Techniques of Modern Organic Mass Spectrometry

Robert E. Ardrey, University of Huddersfield, Huddersfield, UK

Series Preface

There has been a rapid expansion in the provision of further education in recent years, which has brought with it the need to provide more flexible methods of teaching in order to satisfy the requirements of an increasingly more diverse type of student. In this respect, the *open learning* approach has proved to be a valuable and effective teaching method, in particular for those students who for a variety of reasons cannot pursue full-time traditional courses. As a result, John Wiley & Sons, Ltd first published the Analytical Chemistry by Open Learning (ACOL) series of textbooks in the late 1980s. This series, which covers all of the major analytical techniques, rapidly established itself as a valuable teaching resource, providing a convenient and flexible means of studying for those people who, on account of their individual circumstances, were not able to take advantage of more conventional methods of education in this particular subject area.

Following upon the success of the ACOL series, which by its very name is predominately concerned with Analytical *Chemistry*, the *Analytical Techniques in the Sciences* (AnTS) series of open learning texts has been introduced with the aim of providing a broader coverage of the many areas of science in which analytical techniques and methods are now increasingly applied. With this in mind, the AnTS series of texts seeks to provide a range of books which will cover not only the actual techniques themselves, but *also* those scientific disciplines which have a necessary requirement for analytical characterization methods.

Analytical instrumentation continues to increase in sophistication, and as a consequence, the range of materials that can now be almost routinely analysed has increased accordingly. Books in this series which are concerned with the *techniques* themselves will reflect such advances in analytical instrumentation, while at the same time providing full and detailed discussions of the fundamental concepts and theories of the particular analytical method being considered. Such books will cover a variety of techniques, including general instrumental analysis,

spectroscopy, chromatography, electrophoresis, tandem techniques, electroanalytical methods, X-ray analysis and other significant topics. In addition, books in the series will include the *application* of analytical techniques in areas such as environmental science, the life sciences, clinical analysis, food science, forensic analysis, pharmaceutical science, conservation and archaeology, polymer science and general solid-state materials science.

Written by experts in their own particular fields, the books are presented in an easy-to-read, user-friendly style, with each chapter including both learning objectives and summaries of the subject matter being covered. The progress of the reader can be assessed by the use of frequent self-assessment questions (SAQs) and discussion questions (DQs), along with their corresponding reinforcing or remedial responses, which appear regularly throughout the texts. The books are thus eminently suitable both for self-study applications and for forming the basis of industrial company in-house training schemes. Each text also contains a large amount of supplementary material, including bibliographies, lists of acronyms and abbreviations, and tables of SI Units and important physical constants, plus, where appropriate, glossaries and references to literature sources.

It is therefore hoped that this present series of textbooks will prove to be a useful and valuable source of teaching material, both for individual students and for teachers of science courses.

Dave Ando Dartford, UK

Preface

Infrared spectroscopy is one of the most important and widely used analytical techniques available to scientists working in a whole range of fields. There are a number of texts on the subject available, ranging from instrumentation to specific applications. This present book aims to provide an introduction to those needing to use infrared spectroscopy for the first time, by explaining the fundamental aspects of the technique, how to obtain a spectrum and how to analyse infrared data obtained for a wide number of materials.

This text is not intended to be comprehensive, as infrared spectroscopy is extensively used. However, the information provided here may be used as a starting point for more detailed investigations. The book is laid out with introductory chapters covering the background theory of infrared spectroscopy, instrumentation and sampling techniques. Scientists may require qualitative and/or quantitative analysis of infrared data and therefore a chapter is devoted to the approaches commonly used to extract such information.

Infrared spectroscopy is a versatile experimental technique. It can be used to obtain important information about everything from delicate biological samples to tough minerals. In this book, the main areas that are studied using infrared spectroscopy are examined in a series of chapters, namely organic molecules, inorganic molecules, polymers, and biological, industrial and environmental applications. Each chapter provides examples of commonly encountered molecular structures in each field and how to approach the analysis of such structures. Suitable questions and problems are included in each chapter to assist in the analysis of the relevant infrared spectra.

I very much hope that those learning about and utilizing infrared spectroscopy will find this text a useful and valuable introduction to this major analytical technique.

Barbara Stuart University of Technology, Sydney, Australia

Acronyms, Abbreviations and Symbols

ANN artificial neural network
ATR attenuated total reflectance
CLS classical least-squares
D₂O deuterium oxide
DAC diamond anvil cell
DNA deoxyribonucleic acid
DOP dioctyl phthalate

DRIFT diffuse reflectance infrared technique

DTGS deuterium triglycine sulfate

EGA evolved gas analysis en ethylenediamine FFT fast Fourier-transform FPA focal plane array

FTIR Fourier-transform infrared (spectroscopy)
GC-IR gas chromatography-infrared (spectroscopy)
GC-MS gas chromatography-mass spectrometry

HDPE high-density polyethylene ILS inverse least-squares KRS-5 thallium-iodide

LC liquid chromatography
LDA linear discriminant analysis
LDPE low-density polyethylene
MBP myelin basic protein
MCT mercury cadmium telluride
MIR multiple internal reflectance

MMA methyl methacrylate

NMR nuclear magnetic resonance (spectroscopy)

PAS photoacoustic spectroscopy PCA principal component analysis

PE polyethylene

PEO poly(ethylene oxide)

PET poly(ethylene terephthalate)

PLS partial least-squares

PMMA poly(methyl methacrylate)

PP polypropylene

PTFE polytetrafluoroethylene

PU polyurethane

PVC poly(vinyl chloride)
PVIE poly(vinyl isobutylether)
PVPh poly(vinyl phenol)

PVPh poly(vinyl phenol) RNA ribonucleic acid

SFC supercritical fluid chromatography

SNR signal-to-noise ratio TFE trifluoroethanol

TGA thermogravimetric analysis

TGA-IR thermogravimetric analysis-infrared (spectroscopy)

A absorbance

 A_{\parallel} absorbance parallel to chain axis

 A_{\perp} absorbance perpendicular to chain axis

B magnetic vector (magnitude) $B(\bar{\nu})$ spectral power density

c speed of light; concentration

 $d_{\rm p}$ penetration depth D optical path difference

E energy; electric vector (magnitude)

h Planck constant

k force constant; molar absorption coefficient

I transmitted light I_0 incident light $I(\delta)$ intensity at detector

l pathlength

L cell pathlength

n number of peak-to-peak fringes; refractive index;

number of moles

P pressure

R reflectance; universal gas constant

T transmittance; temperature

V	volume
δ	pathlength
ε	molar absorptivity
θ	angle of incident radiation
λ	wavelength
μ	reduced mass
ν	frequency
$\bar{\nu}$	wavenumber

About the Author

Barbara Stuart, B.Sc. (Sydney), M.Sc. (Sydney), Ph.D. (London), D.I.C., MRACI, MRSC, CChem

After graduating with a B.Sc. degree from the University of Sydney in Australia, Barbara Stuart then worked as a tutor at this university. She also carried out research in the field of biophysical chemistry in the Department of Physical Chemistry and graduated with an M.Sc. in 1990. The author then moved to the UK to carry out doctoral studies in polymer engineering within the Department of Chemical Engineering and Chemical Technology at Imperial College (University of London). After obtaining her Ph.D. in 1993, she took up a position as a Lecturer in Physical Chemistry at the University of Greenwich in South East London. Barbara returned to Australia in 1995, joining the staff at the University of Technology, Sydney, where she is currently a Senior Lecturer in the Department of Chemistry, Materials and Forensic Science. She is presently conducting research in the fields of polymer spectroscopy, materials conservation and forensic science. Barbara is the author of three other books published by John Wiley and Sons, Ltd, namely Modern Infrared Spectroscopy and Biological Applications of Infrared Spectroscopy, both in the ACOL series of open learning texts, and Polymer Analysis in this current AnTS series of texts.

Contents

Series Preface	ix
Preface	xi
Acronyms, Abbreviations and Symbols	xiii
About the Author	xvii
1 Introduction	1
1.1 Electromagnetic Radiation	2
1.2 Infrared Absorptions	5
1.3 Normal Modes of Vibration	6
1.4 Complicating Factors	11
1.4.1 Overtone and Combination Bands	11
1.4.2 Fermi Resonance	12
1.4.3 Coupling	12
1.4.4 Vibration-Rotation Bands	12
References	13
2 Experimental Methods	15
2.1 Introduction	15
2.2 Dispersive Infrared Spectrometers	16
2.3 Fourier-Transform Infrared Spectrometers	18
2.3.1 Michelson Interferometers	18
2.3.2 Sources and Detectors	19
2.3.3 Fourier-Transformation	20
2.3.4 Moving Mirrors	21
2.3.5 Signal-Averaging	22

		2.3.6 Advantages	23
		2.3.7 Computers	23
		2.3.8 Spectra	24
	2.4	Transmission Methods	25
		2.4.1 Liquids and Solutions	25
		2.4.2 Solids	28
		2.4.3 Gases	31
		2.4.4 Pathlength Calibration	32
	2.5	Reflectance Methods	33
		2.5.1 Attenuated Total Reflectance Spectroscopy	33
		2.5.2 Specular Reflectance Spectroscopy	35
		2.5.3 Diffuse Reflectance Spectroscopy	36
		2.5.4 Photoacoustic Spectroscopy	37
	2.6	Microsampling Methods	38
	2.7	Chromatography-Infrared Spectroscopy	41
		Thermal Analysis-Infrared Spectroscopy	42
		Other Techniques	43
	Ref	erences	44
3	Spe	ectral Analysis	45
	3.1	Introduction	45
	3.2	Group Frequencies	46
		3.2.1 Mid-Infrared Region	46
		3.2.2 Near-Infrared Region	47
		3.2.3 Far-Infrared Region	48
	3.3	Identification	48
	3.4	Hydrogen Bonding	49
	3.5	Spectrum Manipulation	51
		3.5.1 Baseline Correction	51
		3.5.2 Smoothing	51
		3.5.3 Difference Spectra	52
		3.5.4 Derivatives	53
		3.5.5 Deconvolution	54
		3.5.6 Curve-Fitting	56
		Concentration	57
	3.7	Simple Quantitative Analysis	59
		3.7.1 Analysis of Liquid Samples	59
		3.7.2 Analysis of Solid Samples	62
		Multi-Component Analysis	63
		Calibration Methods	67
	Ref	ferences	70

Contents		
4	4 Organic Molecules	71
	4.1 Introduction	71
	4.2 Aliphatic Hydrocarbons	71
	4.3 Aromatic Compounds	74
	4.4 Oxygen-Containing Compounds	76
	4.4.1 Alcohols and Phenols	76
	4.4.2 Ethers	76
	4.4.3 Aldehydes and Ketones	76
	4.4.4 Esters	78
	4.4.5 Carboxylic Acids and Anhyo	drides 79
	4.5 Nitrogen-Containing Compounds	80
	4.5.1 Amines	80
	4.5.2 Amides	80
	4.6 Halogen-Containing Compounds	82
	4.7 Heterocyclic Compounds	83
	4.8 Boron Compounds	83
	4.9 Silicon Compounds	83
	4.10 Phosphorus Compounds	84
	4.11 Sulfur Compounds	85
	4.12 Near-Infrared Spectra	86
	4.13 Identification	88
	References	93
5	5 Inorganic Molecules	95
	5.1 Introduction	95
	5.2 General Considerations	96
	5.3 Normal Modes of Vibration	98
	5.4 Coordination Compounds	102
	5.5 Isomerism	104
	5.6 Metal Carbonyls	105
	5.7 Organometallic Compounds	107
•	5.8 Minerals	107
	References	110
6	6 Polymers	113
	6.1 Introduction	113
	6.2 Identification	114
	6.3 Polymerization	123
	6.4 Structure	124
	6.5 Surfaces	130

viii	Infrared Spectroscopy: Fundamentals	s and Applications
6.6 Degradation References		132 135
7 Biological Applic	ations	137
7.1 Introduction		137
7.2 Lipids		138
7.3 Proteins and I	Peptides	141
7.4 Nucleic Acids		151
7.5 Disease Diagr	nosis	152
7.6 Microbial Cel	ls	155
7.7 Plants		158
7.8 Clinical Chem	nistry	161
References		163
8 Industrial and E	nvironmental Applications	167
8.1 Introduction		167
8.2 Pharmaceutica	al Applications	168
8.2 Pharmaceutical Applications8.3 Food Science	174	
8.4 Agricultural A	applications	178
8.5 Pulp and Pape	er Industries	179
8.6 Paint Industry		180
8.7 Environmenta	l Applications	183
References		185
Responses to Self-A	ssessment Questions	187
Bibliography		205
Glossary of Terms		211
SI Units and Physic	cal Constants	215
Periodic Table		219

Index

221