

Frank Buschmann  
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Mariano A. Cilia (Eds.)

LNCS 3013

# Object-Oriented Technology

ECOOP 2003 Workshop Reader

ECOOP 2003 Workshops  
Darmstadt, Germany, July 2003  
Final Reports



Springer

TP311-53  
E19  
2003

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# Object-Oriented Technology

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Darmstadt, Germany, July 21-25, 2003  
Final Reports



E200404160



Springer

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Library of Congress Control Number: 2004108314

CR Subject Classification (1998): D.1-3, H.2, F.3, C.2, K.4, J.1

ISSN 0302-9743

ISBN 3-540-22405-X Springer-Verlag Berlin Heidelberg New York

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Printed in Germany

Typesetting: Camera-ready by author, data conversion by PTP-Berlin, Protago-TeX-Production GmbH  
Printed on acid-free paper SPIN: 11299011 06/3142 5 4 3 2 1 0

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## Preface

This volume represents the seventh edition of the ECOOP Workshop Reader, a compendium of workshop reports from the 17th European Conference on Object-Oriented Programming (ECOOP 2003), held in Darmstadt, Germany, during July 21–25, 2003.

The workshops were held during the first two days of the conference. They cover a wide range of interesting and innovative topics in object-oriented technology and offered the participants an opportunity for interaction and lively discussion. Twenty-one workshops were selected from a total of 24 submissions based on their scientific merit, the actuality of the topic, and their potential for a lively interaction. Unfortunately, one workshop had to be cancelled.

Special thanks are due to the workshop organizers who recorded and summarized the discussions. We would also like to thank all the participants for their presentations and lively contributions to the discussion: they made this volume possible. Last, but not least, we wish to express our appreciation to the members of the organizing committee who put in countless hours setting up and coordinating the workshops.

We hope that this snapshot of current object-oriented technology will prove stimulating to you.

October 2003

Frank Buschmann  
Alejandro Buchmann  
Mariano Cilia

# Organization

ECOOP 2003 was organized by the Software Technology Group, Department of Computer Science, Darmstadt University of Technology under the auspices of AITO (Association Internationale pour les Technologies Objets) in cooperation with ACM SIGPLAN.

The proceedings of the main conference were published as LNCS 2743.



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# Exception Handling in Object Oriented Systems: Towards Emerging Application Areas and New Programming Paradigms

Alexander Romanovsky<sup>1</sup>, Christophe Dony<sup>2</sup>,  
Anand Tripathi<sup>3</sup>, and Jørgen Lindskov Knudsen<sup>4</sup>

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**Abstract.** Exception handling continues to be a challenging problem in object oriented system development. One reason for this is that today's software systems are getting increasingly more complex. Moreover, exception handling is needed in a wide range of emerging application areas, sometimes requiring domain-specific models for handling exceptions. Moreover, new programming paradigms such as pervasive computing, service oriented computing, grid, ambient and mobile computing, web add new dimensions to the existing challenges in this area. The integration of exception handling mechanisms in a design needs to be based on well-founded principles and formal models to deal with the complexities of such systems and to ensure robust and reliable operation. It needs to be pursued at the very start of a design with a clear understanding of the ensuing implications at all stages, ranging from design specification, implementation, operation, maintenance, and evolution. This workshop was structured around the presentation and discussion of the various research issues in this regard to develop a common understanding of the current and future directions of research in this area.

## 1 Summary of Objectives and Results

There are two trends in the development of modern object oriented systems: they are getting more complex and they have to cope with an increasing number of exceptional situations. The most general way of dealing with these problems is by employing exception handling techniques. Many object oriented mechanisms for handling exceptions have been proposed but there still are serious problems in applying them in practice. These are caused by

- complexity of exception code design and analysis
- not addressing exception handling at the appropriate phases of system development
- lack of methodologies supporting the proper use of exception handling
- not developing specific mechanisms suitable for particular application domains and design paradigms.

Following the success of ECOOP 2000 workshop<sup>1</sup>, this workshop aimed at achieving better understanding of how exceptions should be handled in object oriented (OO) systems, including all aspects of software design and use: novel linguistic mechanisms, design and programming practices, advanced formal methods, etc.

The workshop provided a forum for discussing the unique requirements for exception handling in the existing and emerging applications, including pervasive computing, ambient intelligence, the Internet, e-science, self-repairing systems, collaboration environments. We invited submissions on research in all areas of exception handling related to object oriented systems, in particular: formalisation, distributed and concurrent systems, practical experience, mobile object systems, new paradigms (e.g. object oriented workflows, transactions, multithreaded programs), design patterns and frameworks, practical languages (Java, Ada, Smalltalk, Beta), open software architectures, aspect oriented programming, fault tolerance, component-based technologies.

We encouraged participants to report their experiences of both benefits and obstacles in using exception handling, reporting, practical results in using advanced exception handling models and the best practice in applying exception handling for developing modern applications in the existing practical settings.

The workshop was attended by 18 researchers who participated in the presentation and discussion of ten position papers and one invited talk. These presentations and discussions were grouped into four thematic sessions. The first session (the invited talk and one presentation) addressed engineering systems incorporating exception handling. The focus of the second session (three presentations) was on the specific issues related to object-orientation. In the third session (three presentations) issues related to building novel exception handling mechanisms for distributed and mobile systems were discussed. The topic of the fourth session (three presentations) was exception handling and component based system development.

## 2 Summary of the Call-for-Papers

The call-for-papers for this workshop emphasized its broad scope and our desire to focus the workshop discussions on problems of perceived complexity of using and understanding exception handling: Why programmers and practitioners often believe that it complicates the system design and analysis? What should be done to improve the situation? Why exception handling is the last mechanism to learn and to use? What is wrong with the current practice and education?

We invited the researchers interested in this workshop to submit their position papers aiming at understanding why exception handling mechanisms proposed and available in earlier OO languages (discussed, for example, at ECOOP 1991 Workshop on Ex-

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<sup>1</sup> A. Romanovsky, Ch. Dony, J. L. Knudsen, A. Tripathi. Exception Handling in Object Oriented Systems. In J. Malenfant, S. Moisan, A. Moreira. (Eds.) "Object-Oriented Technology. ECOOP 2000 Workshop Reader". LNCS-1964. pp. 16-31, 2000.

ception Handling and Object-Oriented Programming<sup>2</sup>) are not widely used now. We were interested in papers reporting practical experiences relating both benefits and obstacles in using exception handling, experience in using advanced exception handling models, and the best practices in using exception handling for developing modern applications in existing practical settings.

The original plan was to have up to 20 participants. We asked each participant to present his/her position paper, and discuss its relevance to the workshop and possible connections to work of other attendees. The members of the organizing committee reviewed all submissions. The papers accepted for the workshop sessions were posted on the workshop webpage so the participants were able to review the entire set of papers before attending the workshop.

Additional information can be found on the workshop web page:

<http://www.cs.ncl.ac.uk/~alexander.romanovsky/home.formal/ehoos2003.html>

The proceedings of the workshop are published as a technical report TR 03-028 by Department of Computer Science, University of Minnesota, Minneapolis, USA:

A. Romanovsky, C. Dony, J. L. Knudsen, A. Tripathi. *Proceedings of the ECOOP 2003 Workshop on Exception Handling in Object-Oriented Systems: Towards Emerging Application Areas and New Programming Paradigms*. 2003.

### 3 List of the Workshop Presentations

The workshop started with an invited talk delivered by William Bail (Mitre) on *Getting Control of Exception*.

After that following position papers were discussed:

1. Ricardo de Mendonça da Silva, Paulo Asterio de C. Guerra, and Cecília M. F. Rubira (U. Campinas, Brazil). *Component Integration using Composition Contracts with Exception Handling*.
2. Darrell Reimer and Harini Srinivasan (IBM Research, USA). *Analyzing Exception Usage in Large Java Applications*.
3. Peter A. Burh and Roy Krischer (U. Waterloo, Canada). *Bound Exceptions in Object Programming*.
4. Denis Caromel and Alexandre Genoud (INRIA Sophia Antipolis, France). *Non-Functional Exceptions for Distributed and Mobile Objects*.
5. Tom Anderson, Mei Feng, Steve Riddle, and Alexander Romanovsky (U. Newcastle, UK). *Error Recovery for a Boiler System with OTS PID Controller*.
6. Joseph R. Kiniry (U. Nijmegen, Netherlands). *Exceptions in Java and Eiffel: Two Extremes in Exception Design and Application*.

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<sup>2</sup> Dony, Ch., Purchase, J., Winder. R.: Exception Handling in Object-Oriented Systems. Report on ECOOP '91 Workshop W4. OOPS Messenger 3, 2 (1992) 17-30

7. Giovanna Di Marzo Serugendo (U. Geneva, Switzerland) and Alexander Romanovsky (U. Newcastle, UK). *Using Exception Handling for Fault-Tolerance in Mobile Coordination-Based Environments*.
8. Frederic Souchon, Christelle Urtado, Sylvain Vauttier (LGI2P Nimes, France), and Christoope Dony (LIRMM Montpellier, France). *Exception Handling in Component-based Systems: a First Study*.
9. Johannes Siedersleben (SD&M Research, Germany). *Errors and Exceptions – Rights and Responsibilities*.
10. Robert Miller and Anand Tripathi (U. Minnesota, USA). *Primitives and Mechanisms in the Guardian Model for Exception Handling in Distributed Systems*.

## 4 Summary of Presentations

The first sessions focused on software engineering issues in exception handling. It included two talks. William Bail (Mitre) presented the invited lecture titled “*Getting control of exceptions*”. In his talk he noted that the past developments in this field have allowed programmers to define and use exceptions and this has led a significant advantage in being able to write more reliable software. While not explicitly helping us avoid errors, they enable us to detect their presence and control their effects. Yet they act in opposition to much of what we have learned is good software design - simple structures with well-defined control flows. In addition, they complicate the process of performing formal analyses of systems. This talk elaborated on this issue and projected some potential ideas to help reconcile these challenges, especially with the use of OO concepts. The second talk in the first session was given by Johannes Siedersleben (SD&M Research, Germany). He presented the paper “*Errors and Exceptions - Rights and Responsibilities*”. The talk emphasized the strict separation of errors to be handled by the application and the ‘true’ exceptions which require recovering and restart mechanisms. It suggested the use of the term “emergency” for the exceptions of the second type because in many programming languages, exceptions can and are used for many non-exceptional situations. The paper also describes a component-based strategy to handle emergencies using so called safety facades.

The theme of the second session centered on exception handling in OO Systems. It included three papers. The first talk in this session was by Harini Srinivasan (IBM Research, USA), who presented the paper “*Analyzing Exception Usage in Large Java Applications*”. This talk emphasized that proper exception usage is necessary to minimize time from problem appearance to problem isolation and diagnosis. It discusses some common trends in the use of exceptions in large Java applications that make servicing and maintaining these long running applications extremely tedious. The talk also proposes some solutions to avoid or correct these misuses of exceptions. The second presentation was by Roy Krischer (U. Waterloo, Canada) on the paper entitled “*Bound Exceptions in Object Programming*”. Many modern object-oriented languages do not incorporate exception handling within the object execution environment. Specifically, no provision is made to involve the object raising an exception in the catching mechanism in order to allow discrimination among multiple objects raising the same exception. The notion of bound exceptions is introduced, which as-

sociates a 'responsible' object with an exception during propagation and allows the catch clause to match on both the responsible object and exception. Multiple strategies for determining the responsible object were discussed in this talk, along with extending bound exceptions to resumption and non-local propagation among coroutines/tasks. The third speaker in this session was Joseph R. Kiniry (U. Nijmegen, Netherlands) who presented his paper "*Exceptions in Java and Eiffel: Two Extremes in Exception Design and Application*". His focus was on analysing the exception handling mechanisms in the Java and Eiffel languages and on contrasting the style and the semantics of exceptions in these two languages. The talk showed how the exception semantics impacts programming (technically) and programmers (socially). According to the author the primary result of this analysis is that Java checked exceptions are technically adequately designed but are socially a complete failure. This position is supported by an analysis of hundreds of thousands of lines of Java and Eiffel code.

The third session had three talks on exception handling in mobile and distributed systems. Alexandre Genoud (INRIA Sophia Antipolis, France) presented the paper "*Non-Functional Exceptions for Distributed and Mobile Objects*". He proposed the notion of non-functional exceptions to signal failures occurring in non functional properties (distribution, transaction, security, etc.). He described a hierarchical model based on mobile exception handlers. Such handlers, attached to distribution-specific entities (proxies, futures), are used to create middleware-oriented handling strategies. The handling of exceptions can indifferently be at non-functional or application-level. The second speaker in this session was Alexander Romanovsky (U. Newcastle upon Tyne, UK), who presented the paper "*Using Exception Handling for Fault-Tolerance in Mobile Coordination-Based Environments*". Mobile agent-based applications very often run on a mobile coordination-based environment, where programs communicate asynchronously through a shared memory space. The aim of this paper is to propose an exception handling model suitable for such environments. It is our view that it is conceptually wrong to treat such exceptions as usual events or tuples. This is why in the model proposed a local handler agent is created each time when an exception is signalled: this guarantees handling, allows exceptions and handlers to be dynamically associated and decreases the overall overheads. The third talk in this session was presented by Anand Tripathi (University of Minnesota, Minneapolis, USA) on "*Primitives and Mechanisms in the Guardian Model for Exception Handling in Distributed Systems*." In this talk he elaborated on notion of the guardian for encapsulating exception handling policies in a distributed application. He presented the core set of primitives of the guardian model which allow the programmer to specify and control the recovery actions of cooperating processes so that each process performs the required exception handling functions in the right context. This talk elaborated on how the various other existing models for distributed exception handling can be implemented using the guardian model.

The fourth session in the workshop focused on exception handling issues related to software and systems composition. Paulo Asterio de C. Guerra (U. Campinas, Brazil), presented the paper "*Component Integration using Composition Contracts with Exception Handling*". He outlined an architectural solution for the development of dependable software systems out of concurrent autonomous component-systems. The solution is based on the concepts of coordination contracts and Coordinated Atomic (CA) Actions, which are adapted to a service-oriented approach. The second talk in

this session was by Mei Feng (U. Newcastle upon Tyne, UK) on the paper “*Error Recovery for a Boiler System with OTS PID Controller*”. The talk presented the protective wrapper development for the model of the system in such a way that they allow detection and tolerance of typical errors caused by unavailability of signals, violations of range limitations, and oscillations. In the presentation the case study demonstrated how forward error recovery based on exception handling can be systematically incorporated at the level of the protective wrappers. The last talk of this session was given by Christelle Urtadeo (LGI2P – Ecole des Mines d’Ales, Nimes, France) on “*Exception Handling in Component Based Systems: A First Study*”. Christelle Urtado presented a preliminary study on exception handling in component-based systems written by F. Souchon, C. Urtado, S. Vauttier and C. Dony. The talk focused on the category of components that interact in a contract-based manner and communicate asynchronously. According to the authors, exception handling for such components should provide four features: handler contextualization, concurrent activity coordination, exception concertation and exception handling support for broadcasted requests. These requirements have already shown to be pertinent in a similar context: the SaGE exception handling system that has been designed by the authors for multi-agent systems. The speaker has used SaGE implementation to exemplify how exception handling should be managed for the considered components.

## 5 Summary of Discussions

The workshop has gathered a rich collection of contributions covering many of the subjects that constitute the exception handling and fault tolerance domains. Presentations had generated numerous questions and exchanges. The main goal of the concluding 45 minutes general discussion was to bring to the fore the main conclusions, results, challenging issues and research directions implicitly or explicitly expressed during papers presentations and discussions on the four main subjects addressed during the workshop:

- Software engineering issues in exception handling,
- Exception handling issues in today's standard object-oriented systems
- Reliable mobile, distributed, concurrent systems
- Reliable component-based systems.

Discussion on the first issue led the attendees to a primary and major conclusion that after almost thirty years of research and many years of experimentation with many different languages, it appears that our knowledge of language primitives for exception handling is somehow high but that there is a huge need for standard definitions and for standard analysis, design and programming patterns. Indeed, the primitive for exception handling in today's languages are, in one way or another, evolutions of those proposed in the seminal paper by John Goodenough written in 1975. They are primitives for signaling, catching, and handling of exception based different execution models such as entailing termination (or retry), resignaling (or propagation), or resumption. As far as these crucial concepts have been correctly extracted from the foundational research, important progress have been made in their understanding, adaptation, development and implementation. Future research efforts will need to

adapt these primitives to tomorrow's needs. Unfortunately, there has never been a basic agreement, a norm, on the definition of the terms "exception", "exception handling", "fault tolerance".

Early terms such as "domain", "range" or "monitoring" exceptions as proposed in Goodenough's paper are not standardised mainly because they do not reflect the concept complexity. Researchers thus have to choose or to re-invent ever again their own definitions : consider the following terms, all coming from previous works, which have been used in our workshop contributions to denote either the same thing or subtly different things : exception, error, warning, condition, alarm, emergency, etc. There is neither a general agreement on the standard patterns to handle exceptions or to write fault-tolerant or defensive programs. When architectural solutions exist, they are not known of today's developers because there is no reference book where such patterns are described. It is interesting to note that this issue was quoted as an open issue in our ECOOP 2000 workshop conclusion, and that no significant advance has been made in that direction. However, this year, new interesting papers have reported experiences on how developers, either experienced or not, deal with exceptions, how they sometimes reinvent known solutions and make known mistakes, how they sometimes misuse or misunderstand language constructs. Everybody has thus agreed to stress the need for dedicated design patterns and to present this as a major issue.

The second main point in the discussion concerned the use of exception handling techniques in today's object-oriented systems in general and more particularly in Java as far as this language has been at the heart of the debates. Java is today "de facto" a vast field of experimentation for exception handling because it makes it mandatory for programmers to deal with exceptions, especially for the so-called "checked exceptions" (see section 4). Dealing with exception at a large scale, as experienced for example by Ada developers, had never been done by object-oriented developers because no language before Java mandated it. As William Bail noticed in the workshop introductory talk, the history of exceptions is a love/hate relationship and programmers generally do not like to handle exception for various good or wrong reasons: it makes programs longer to write, breaks code harmony, is boring, seems useless, or seems to slow execution time down.

The discussion thus focused on issues connected to Java design choices and constraints (e.g. static typing) related to exception handling. Some of these issues are already well known and sometimes related solutions exists and have been published years ago; they have however been considered here in the light of new experience reports. A first one is that handling (putting the system back into a coherent state within catch clauses body) cannot be performed in a generic way by sending messages to the exception object. Examples have been presented showing how it can impose to write several catch clauses where one would be enough instead. Besides, the exception objects do not contain enough information.

More generally, and William Bail also indirectly quoted this in his introductory talk, Java's exception handling system is certainly and for many reasons not enough object-oriented. A connected problem is that a *try block* with an empty *catch clauses* (entailing termination) can be understood by beginners, or used by developers in a