TENTH EDITION

AMERICAN GOVERNMENT

WIST XXVVX PROGLATIVE WIN PHILADA BY ORDER O

PASS AND STOWN
PHILADA

ADCOLUT

PoliSim CD-ROM Inside

Visit our COMPANION WEBSITE™

חלים וובחר

CD

Walter E. Volkomer

EDITION

AMERICAN GOVERNMENT

WALTER E. VOLKOMER

Hunter College of the City University of New York



Library of Congress Cataloging-in-Publication Data Volkomer, Walter E. American government / Walter E. Volkomer.—10th ed. Includes bibliographical references and index.

ISBN 0-13-183499-1

1. United States—Politics and government. I. Title.

IK276.V65 2004 320.473—dc21

2003049828

Editorial director: Charlyce Jones Owen Acquisitions editor: Glenn Johnston Editorial assistant: Suzanne Remore VP/Director of production and manufacturing: Barbara Kittle Production editor: Barbara Reilly Prepress and manufacturing manager: Nick Sklitsis

Prepress and manufacturing buyer: Sherry Lewis Creative design director: Leslie Osher Art director: Anne Bonanno Nieglos Interior and cover designer: Laura Gardner Cover image specialist: Karen Sanatar

Cover photo: Getty Images, Inc. Electronic art manager: Guy Ruggiero Line art: Mirella Signoretto Director, Image Resource Center: Melinda Reo Interior image specialist: Beth Boyd Brenzel Manager, rights and permissions: Zina Arabia Photo researcher: Kathy Ringrose Image permission coordinator: Michelina Viscusi Marketing director: Beth Mejia Marketing assistant: Jennifer Bryant Media editor: Kate Ramunda Media production manager: Lynn Pearlman

This book was set in 10/11 Garamond Book by Pine Tree Composition, Inc., and was printed and bound by RR Donnelley & Sons Company. The cover was printed by Phoenix Color Corp.



© 2004, 2001, 1996, 1995, 1992, 1989, 1986, 1983, 1979, 1975 by Pearson Education, Inc. Upper Saddle River, New Jersey 07458

All rights reserved. No part of this book may be reproduced, in any form or by any means, without permission in writing from the publisher.

Printed in the United States of America

10 9 8 7 6 5 4 3 2

ISBN: 0-13-183499-1

Pearson Education LTD., London

Pearson Education Australia PTY, Limited, Sydney

Pearson Education Singapore, Pte. Ltd

Pearson Education North Asia Ltd, Hong Kong

Pearson Education Canada, Ltd., Toronto

Pearson Educación de Mexico, S.A. de C.V.

Pearson Education—Japan, Tokyo Pearson Education Malaysia, Pte. Ltd

Pearson Education, Upper Saddle River, New Jersey

AWERICAN GOVERNWENT

Preface

I t was early in a new century and a new millennium. I was working on the revisions of the tenth edition of this textbook on American government. My thoughts turned to the political system of the United States one hundred years ago. How did it compare with the system that operated at the start of the twenty-first century? Had we improved American democracy in the past hundred years?

A detailed examination of these questions would require the production of a book-length manuscript and I was faced with publishing deadlines for this volume. But I have put together a few thoughts on the state of American democracy then and now. My overall conclusion is that despite some weaknesses in our present system, our political system is markedly improved and far more democratic

today than it was a hundred years ago. Consider the following facts.

In 1900, African Americans in the South lived in a segregated society. Separation of the races existed in both the private and public spheres. Private companies and individuals were free to discriminate and government laws required racial segregation in all public facilities from schools and parks to bathrooms and drinking fountains. The entire system of segregation was given legal sanction by the 1896 decision of the United States Supreme Court in *Plessy v. Ferguson*. This case held that government could require the separation of the races so long as the facilities provided to each group were equal. In reality, "separate but equal" meant separation but not equality for black Americans. It was only with the 1954 Supreme Court case of *Brown v. Board of Education* and the enactment of the Civil Rights Act of 1964 that racial segregation was gradually brought to an end in this country.

Voting rights in the United States were also restricted in 1900. Despite the Fifteenth Amendment to the Constitution that protected African Americans from being denied the right to vote because of their race, very few voted in the American South. Devious legal schemes, intimidation, and violence kept most African Americans from voting in this region of the nation. It was not until after the passage of the Voting Rights Act of 1965 that blacks were freely able to vote in

the South.

Similarly, few women voted in the United States at the beginning of the twentieth century. Although women had been granted the right to vote in a number of states, no constitutional provision existed to bar the states from denying them the right to vote. That changed in 1920 with the adoption of the Nineteenth Amendment. In the decades since this change occurred, more and more women have participated in American politics. Indeed, in recent presidential elections, more women have voted than men.

In 1900, United States senators were chosen by the state legislatures. Most often this meant that a few influential state political leaders made these important

decisions. In 1913, the Seventeenth Amendment to the Constitution was adopted, making United States senators popularly elected by the voters in each state.

Finally, in 1900, there was little in the way of social legislation to protect Americans when they became unemployed, disabled, ill, or when they retired. They were forced to depend on relatives or on charity provided by churches and other private organizations. The country did not even have child labor laws to protect children from working long hours in factories and mines. Although some European countries had established social security systems by 1900—Germany, for example—it was not until 1935 that the United States adopted legislation that established the Social Security retirement system. Later in the same decade, Congress also enacted laws that established the maximum number of hours a person could work each week, created a minimum wage, outlawed child labor, and formulated a program of unemployment insurance. And it was not until the 1960s that Congress passed legislation that provided government programs of medical care for the elderly and the poor.

The fact that in the twentieth century the United States eliminated much of the blight of racial and gender discrimination and created a safety net of social legislation does not mean that we have solved all of our problems. Much remains to be done to eliminate the remaining traces of discrimination in our society. Further, perhaps 30 percent of the people living in the United States do not have any medical insurance. We are a rich nation that should be able to provide health protection for all of our citizens.

To enumerate two problems—discrimination and the lack of medical insurance for many people—that have not yet been solved is not to suggest that there are no other difficulties confronting the nation in this new century. The economic gap between rich and poor that exists in the United States today is not a healthy condition for our democracy. Other shortcomings in our society exist that have not yet been identified. Their discovery is the work of coming generations, including the generation that is currently attending America's colleges and universities.

In writing each edition of this textbook I have always attempted to keep students in the forefront of my thinking. It has been my goal to write a book that is both readable and interesting to undergraduates. Without these qualities, there is small hope that its readers will develop a concern for this nation's governmental system and its public problems. Although I have attempted to interest students in American government, I have never been willing to lower the intellectual level of the book below what I believe to be appropriate for an introductory college level course.

I would like to thank Professor Cynthia A. Roberts, a colleague of mine in the Political Science Department of Hunter College, for providing invaluable help to me in the writing of the chapter on foreign affairs. My work has also been aided by the contributions of the Prentice Hall reviewers who offered suggestions for improving the quality of the book: Janet Campbell, Mt. Hood Community College; Paul Goren, Arizona State University; Thomas Keating, Arizona State University; E. Terrence Jones, University of Missouri-St. Louis; David Steiniche, Missouri Western State College; Robert Ballinger, South Texas Community College; Joanna L. Briganti, Monroe County Community College; Jennifer B. Clark, South Texas Community College; Chris Bourdouvalis, Augusta State University; Steven J. Shone, South Texas Community College; and Ann Kelleher, Pacific Lutheran University. Needless to say, I assume all responsibility for any errors of fact that might be present in this text-

LIST OF SUPPLEMENTS

For the Instructor

INSTRUCTOR'S MANUAL For each chapter, a summary, review of major concepts, lecture suggestions and topic outlines, suggestions for classroom discussions, additional resource materials, and a detailed content outline for lecture planning are provided.

TEST ITEM FILE Thoroughly reviewed and revised to ensure the highest level of quality and accuracy, the test item file offers over 1000 multiple-choice, true/false, and essay questions covering factual, conceptual, and applied information from the text.

PRENTICE HALL TEST GENERATOR A computerized version of the test item file, this program allows full editing of the questions and the addition of instructor-generated test items. Other special features include random generation, scrambling question order, and test preview before printing. Available in Windows and Macintosh formats.

AMERICAN GOVERNMENT TRANS-PARENCIES, SERIES VI AND VII Over 100 four-color transparency acetates reproduce illustrations, charts, and maps taken from the text as well as from additional sources.

PRENTICE HALL CUSTOM VIDEO: HOW A BILL BECOMES A LAW This 25-minute video chronicles an environmental law in Massachusetts—from its start as one citizen's concern to its passage in Washington, D.C. Students see step-by-step the process of how a bill becomes a law. Contact your local Prentice Hall representative for details.

FILMS FOR THE HUMANITIES AND SOCIAL SCIENCES With a qualifying order of textbooks from Prentice Hall, you may select from a high quality library of political science videos from Films for the Humanities and Social Sciences. Contact your local Prentice Hall representative for a complete listing.

For the Student

REVIEW GUIDE AND LECTURE COM- PANION This study aid includes chapter

review tests and lecture notetaking pages designed to reinforce information in the text. It provides a thorough study and review resource to help students develop a greater understanding of American government and politics.

THE PRENTICE HALL GUIDE TO EVALUATING ONLINE RESOURCES WITH RESEARCH NAVIGATOR™ This timely supplement provides an introduction to the Internet and the numerous political sites on the World Wide Web. The guide keeps students and instructors abreast of the latest news and information and helps students create top quality research papers. The guide is available free to students upon adoption and purchase of *American Government*. Contact your local Prentice Hall representative for information.

Media Resources

COMPANION WEBSITE™ This unique resource tool makes it easy for students and instructors to integrate the text with an online experience. The site is a comprehensive resource that is organized according to the chapters within the text. It features a variety of learning and teaching modules, including self-tests, surveys, destinations to other sites, news updates, and a faculty section with illustrations from the text available for downloading into PowerPoint slides and other resources. Address: http://www.prenhall.com/volkomer.

POLISIM VERSION 2.0 This new CD-ROM of multi-level simulations requires students to make politically charged decisions based on the evaluation of real data and information obtained from a variety of authentic sources. Using information such as real election results, demographics, maps, and voting score cards of actual senators, students complete simulations in a highly interactive, full multimedia environment. The **PoliSim2** CD-ROM is included free with all new copies of *American Government* and is referenced to chapters on tabs included in the textbook.

RESEARCH NAVIGATORTM This exciting new Internet resource helps students make the most of their research time. From finding the

XX PREFACE

right articles and journals to citing sources, drafting and writing effective papers, and completing research assignments, **Research**Navigator™ simplifies and streamlines the entire process. Free access to the site is available

when packaged with *American Government*. Contact your local sales representative for more details or take a tour on the web at http://www.researchnavigator.com.

PoliSim2

Think Politics Is Just a Game? Think Again.

Prentice Hall is proud to bring you—included free with this textbook— PoliSim: Simulations in American Government, Version 2.0. With

this unique CD-ROM, you will experience the power of real politics with fully animated and interactive simulations in American Government. **PoliSim2** presents you with politically charged situations where the outcome depends on the choices YOU make.

PoliSim2 challenges you with multi-level simulations that require you to make simple and complex decisions based on information drawn from

- · REAL election results
- · REAL demographics, including gender, age, education level, race, and income data
- REAL maps, including ones that show political persuasion (Democratic and Republican) for specific areas
- REAL score cards from actual Senate members showing their votes on public interest issues ... and much more!

Based on real situations discussed in the textbook, **PoliSim2** encourages you to experience hands-on application of the concepts presented. **PoliSim2** gives you the political power to

- Act as one of America's founding fathers to make decisions that impact the country's political development for centuries to come
- · Balance the nation's budget to gain popularity in the public eye
- Spend foreign aid dollars most effectively to reduce wars, terrorism, drug trade, and brutal dictatorship, and to improve the U.S. standing from an international perspective
- Get legislation passed by lobbying the Senate on a realistic budget and time frame—and use links to actual U.S. senators' websites that include their real views . . . and much more!

PoliSim2 Contents

The Map of Freedom

The Constitution

Political Culture and Ideology

Political Opinion

The Political Horizon

Interest Groups

Election 2004

The Great American Divide

Running for Congress

Who's Got the Power?

Presidential Greatness: How Do We Judge Them?

Bureaucracy

Balancing the Nation's Checkbook: What Can You Get for \$4 Trillion?

Civil Liberties

Travel the Civil Rights Timeline

The Impact of Foreign Aid

Read this text. Experience the simulations on the free **PoliSim2** CD-ROM that accompanies this text. Become an informed decision-maker who considers the impact of political decisions before they are made. After all, isn't that what politics is all about?

Brief Contents

PART I THE FRAMEWORK OF GOVERNMENT

1 Politics, Democracy, and the American People

2 From Colonialism to Constitutionalism 20

3 The Federal System 46

PART II POLITICS AND THE AMERICAN PEOPLE

4 Public Opinion and the Mass Media 70

5 Political Parties and Interest Groups 98

6 Nominations and Elections 128

PART III THE INSTITUTIONS OF GOVERNMENT

7 Congress 160

8 The Chief Executive 192

9 The Federal Bureaucracy 226

10 The Judiciary 252

PART IV THE RIGHTS OF THE INDIVIDUAL

11 Civil Liberties 282

12 Civil Rights 310

PART V PUBLIC POLICY

13 Public Policy—What Government Does 338

14 Foreign Policy 360

APPENDICES

A The Declaration of Independence 387

B The Articles of Confederation 389

C The Antifederalists 394

D The Federalist 398

E The Constitution of the United States of America 405

F Amendments to the Constitution 412

G Presidents and Vice Presidents of the United States 418

Contents

PREFACE xvii

PART I THE FRAMEWORK OF GOVERNMENT

1	Politics, Democracy, and the American People 1
	The Nature of Politics 1
	Politics and Power 2
	The Bases of Political Power 2
	Politics and Government 3
	The Meaning of Democracy 4
	CLOSE-UP: "BALLOT INITIATIVES" 5
	The Bases of Democracy 5
	Democracy and Diversity 14
	CLOSE-UP: "HISPANIC AMERICANS" 16
	Conclusion 17 Questions for Thought 17 Internet Activity 17 Key Terms 18 Suggested Reading 18
	Activity 17 Key Terms 18 Suggested Reading 18 Notes 18

2 From Colonialism to Constitutionalism 20

The Road to Independence 22
Early Attempts at Cooperation 22
The First Continental Congress 23
The Revolution 23
The Declaration of Independence 24
The Articles of Confederation 24
The Constitutional Convention 26
The Delegates 28
The Issues 29
Ratification 32
The United States Constitution 35
Federalism 36
Separation of Powers 36

Checks and Balances: Shared Powers 36 Iudicial Review 38 Popular Sovereignty National Supremacy 39 Constitutional Change and Development 40 Amending the Constitution 40 CLOSE-UP: "A SECOND METHOD OF PROPOSING AMENDMENTS" Other Means of Constitutional Development CLOSE-UP: "THE TWENTY-SEVENTH AMENDMENT" 43 Questions for Thought 44 Conclusion · 44 Internet Key Terms 45 Suggested Reading 45 Activity 44 Notes 45 The Federal System 46 Federalism in the Constitution 49 Powers of the National Government 49 CLOSE-UP: "COERCION BY CONGRESS" 50 Limitations on the National Government Powers of the States 52 Concurrent Powers 52 Limitations on the States 52 Interstate Relations 53 CLOSE-UP: "GAY MARRIAGE AND FULL FAITH AND CREDIT" Mutual Obligations of the National and State Governments 55 CLOSE-UP: "LIFE, DEATH, AND THE FEDERAL SYSTEM" National Supremacy 57 Federalism in Theory and Practice 58 The Growth of the Grant System Centralized Federalism 61 The New Federalism 62 The Supreme Court and Contemporary Federalism 65 Questions for Thought 67 Internet Conclusion 66 Suggested Reading 68 Activities 67 Key Terms 68 Notes 68

PART II POLITICS AND THE AMERICAN PEOPLE

4 Public Opinion and the Mass Media 70
The American Political Culture 71
Political Socialization 72
The Family 72

72

The School 72
The Peer Group

The Media 73 Social Class: Income, Occupation, and Education 73 Race and Religion 74 Place of Residence 74 History and Political Events 74
The Nature of Public Opinion 74
Measuring Public Opinion 76 Scientific Polling 77 How Polls Are Conducted 77
Close-Up: "Television and the 2000 Election in Florida" 80
Criticisms of Public-Opinion Polling 80
The Nature of the Media 82 Roles of the Media 84 The Media and Elections 85 Television Advertising 86 The Question of Media Bias 88 The New Media 89 The Internet 90 Proposals for Reform 91 CLOSE-UP: "Internet Voting: Is It the Wave of the Future?" 92 Proposals for Reform 93 How Americans Participate 94
Conclusion 95 Questions for Thought 96 Internet Activities 96 Key Terms 96 Suggested Reading 96 Notes 97
Political Parties and Interest Groups 98
Political Parties 99
The American Two-Party System 101 Party Competition 103 The Nature of American Political Parties 104 Minor Parties 105 CLOSE-UP: "MINOR PARTIES—PAST AND PRESENT" 106
The Structure of Political Parties 107 The National Parties 107 The State and Local Parties 107 December 109
Decentralization of Party Power 109 Parties and Votors: The Decline of Party Identification 110
Parties and Voters: The Decline of Party Identification 110
The Party in the Legislature 112 Reform or Status Quo? 113
Property Appropriate 2012 Contract States of the States of
The Growth of Interest Groups 114 The Structure of Interest Groups 115

5

Types of Interest Groups 115
Funding of Interest Groups 122
How Powerful Are Interest Groups? 123
CLOSE-UP: "New Rules for Lobbyists" 124
Conclusion 125 Questions for Thought 125 Internet
Activities 126 Key Terms 126 Suggested Reading 126
Notes 127

6 Nominations and Elections 128

Nomination Procedures 129

Nominating a Presidential Candidate 131

Choosing the Delegates 131
The Preconvention Campaign 133
The National Convention 134

The Campaign 136
Campaign Financing 137
Campaign Finance Legislation 137
Campaign Strategy 141

Television Debates 143

The Election 144

Registration 144

Balloting 144

Electing the President and Vice President: The Electoral College 145

CLOSE-UP: "THE ELECTORAL COLLEGE" 146

Congressional Elections 149

How Americans Vote (and Don't Vote) 151 Who Votes? 151 How Do Americans Vote? 153

Conclusion 157 Questions for Thought 157 Internet Activities 158 Key Terms 158 Suggested Reading 158

PART III THE INSTITUTIONS OF GOVERNMENT

7 Congress 160

Notes 158

The Functions of Congress 161
Legislative Functions: Expressed Powers 162
Legislative Functions: Implied Powers 166
Representation 167
CLOSE-UP: "JEANNETTE RANKIN" 167
Other Constitutional Functions 168
The Congressional District 170
CLOSE-UP: "POLITICS AND THE CENSUS" 171

The Two Houses: Similarities and Differences 172

CLOSE-UP: "THE FIRST BLACK SENATOR" 173

The Members of Congress 173

Congressional Leadership 174

Senate Leadership 177

The Committee System 179

Types of Committees 179

Committee Assignments 181

Committee Chairs 182

The Major Committees 183

The Legislative Bureaucracy 184

The Legislative Process 184

The Introduction of a Bill 184

The Committee Stage 186

The Calendar 187

Floor Procedure 187

CLOSE-UP: "THE FILIBUSTER" 188

Presidential Approval or Disapproval 189

Conclusion 189 Questions for Thought 190 Internet

Activities 190 Key Terms 190 Suggested Reading 190 Notes 191

8 The Chief Executive 192

CLOSE UP: "A PRESIDENT BY ANY OTHER NAME . . . " 194

Selection and Removal of the President 194

Who May Become President? 194

Succession and Disability 195

Impeachment and Removal 197

CLOSE-UP: "A President Impeached . . . But Not Convicted" 197

The President's Roles and Powers 198

Chief of State 198

Roles Related to Foreign Affairs 199

Commander-in-Chief 202

Chief Administrator 204

CLOSE-UP: "THE USA PATRIOTS ACT OF 2001" 205

Chief Legislator 206

Party Leader 209

National Opinion Leader 210

Manager of the Economy 211

Limits on the President's Powers 212

Judicial Review 213

The War Powers Resolution 213

CLOSE-UP: "THE WATERGATE SCANDAL" 214

The Budget and Impoundment Control Act 216 The Bureaucracy 216 The Media 217 Public Opinion 217 The Personal Dimension 218 Beliefs, Motivations, Skills 218 Presidential Character 220 The Vice President Questions for Thought 223 Internet Conclusion 222 Suggested Reading 224 Key Terms 224 Activities 223 Notes 224 226 9 The Federal Bureaucracy The Organization of the Executive Branch 228 Line Agencies 228 CLOSE-UP: "THE HOMELAND SECURITY DEPARTMENT" CLOSE-UP: "THE FEDERAL RESERVE SYSTEM" Staff Agencies 232 CLOSE-UP: "THE 'EAST WING'" 235 The Federal Bureaucrats 237 The Size of the Bureaucracy 237 Who Are the Bureaucrats? 238 Sources of Bureaucratic Power Size 242 Expertise 242 The Agency/Committee/Interest Group Triangle 242 Delegation of Power by Congress 243 Restraints on the Bureaucracy 243 The Powers of the President 244 The Powers of Congress 245 Whistleblowers 248 Other Restraints 248 Questions for Thought 250 Conclusion 249 Suggested Reading 251 Key Terms 250 Activities 250 Notes 251 10 The Judiciary The Law 254 Criminal and Civil Law 256 The Adversary System 256

The Role of the Courts 257
The Role of the Judge 257
The Dual Court System 257

CLOSE-UP: "THE SUPREME COURT AS A TRIAL COURT" 259

The State Courts 259
The Federal Courts 260

The Supreme Court 263

Oral Arguments 263
Conferences 264
Opinions 264

CLOSE-UP: "DISSENTING OPINIONS" 265

The Role of the Chief Justice 265
Bringing a Case before the Court 266
The Court's Workload 267

Selection of Federal Judges 267

Selecting Judges for Lower Federal Courts
Judicial Appointments, 1980-2002 268
Appointing Supreme Court Justices 269
Confirmation and Tenure 270

The Functions of the Judiciary 272

Judicial Review 273 Restrictions on the Court 275

CLOSE-UP: "IMPEACHMENT OF FEDERAL JUDGES" 275

CLOSE-UP: "FDR's 'COURT-PACKING' SCHEME" 277

The Supreme Court: A Brief History 278

Conclusion 279 Questions for Thought 279 Internet
Activities 279 Key Terms 280 Suggested Reading 280
Notes 280

PART IV THE RIGHTS OF THE INDIVIDUAL

11 Civil Liberties 282

Applying the Bill of Rights to the States 284

Freedom of Religion 284

The Free Exercise Clause 284
The Establishment Clause 286

Freedom of Speech, Press, Assembly, and Petition 288

Censorship: The Prior Restraint Rule 289 Sedition: Advocacy of Illegal Acts 290

CLOSE-UP: "CAN RACIST SPEECH BE PROHIBITED?" 290

Protecting Public Order: The First Amendment in Public Places 291 Protecting Public Morals: Obscenity 292

CLOSE-UP: "FREE SPEECH AND THE INTERNET" 293

Libel and Slander 293
The First Amendment and Campaign Spending 294