

DOCTORAL DISSERTATIONS ON CHINA, 1971-1975

A Bibliography of Studies in Western Languages

Compiled and edited by Frank Joseph Shulman

關於中國之博士論文

西方語言之參考書錄

DOCTORAL DISSERTATIONS ON CHINA, 1971-1975

*A Bibliography of Studies
in Western Languages*

Compiled and edited by
FRANK JOSEPH SHULMAN

UNIVERSITY OF WASHINGTON PRESS
SEATTLE AND LONDON

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Printed in the United States of America

This work has been published as a supplement to *Doctoral Dissertations on China: A Bibliography of Studies in Western Languages, 1945-1970*, compiled and edited by Leonard H. D. Gordon and Frank J. Shulman (Seattle and London: published for the Association for Asian Studies by the University of Washington Press, 1972).

Library of Congress Cataloging in Publication Data

Shulman, Frank Joseph, 1943-
 Doctoral dissertations on China, 1971-1975.

 Supplement to Doctoral dissertations on China ;
 a bibliography of studies in Western languages,
 1945-1970, by L. H. D. Gordon and F. J. Shulman.

 Includes index.

 1. China--Bibliography. 2. Dissertations,
 Academic--Bibliography. I. Gordon, Leonard H. D.,
 1928 - Doctoral dissertations on China.

II. Title.

Z3106.G65 Suppl. [DS706] 016.951 77-15188

ISBN 0-295-95592-9

ISBN 0-295-95593-7 pbk.

Introduction

The vast expansion of Chinese Studies in the West since World War II has been accompanied by a rapid increase in the number of doctoral degrees awarded for work relating to China. Between 1945 and 1970, approximately 2200 dissertations dealing in some way with Chinese affairs were completed in Australia, central and western Europe, and North America. For the most part, they have been recorded in *Doctoral Dissertations on China: A Bibliography of Studies in Western Languages, 1945-1970*, by Leonard H.D. Gordon and Frank J. Shulman (University of Washington Press, 1972). Nearly as many dissertations have been accepted by institutions of higher learning since then. This phenomenal development underscores the necessity for the type of bibliographical control which the present volume--and future five-year supplements to *Doctoral Dissertations on China*--is designed to provide.

Like its parent volume, this bibliography is a comprehensive, interdisciplinary, classified listing of doctoral research dealing in whole or in part with China before and after 1949 as well as with Hong Kong, Mongolia, Tibet, and the overseas Chinese communities. With the exception of Soviet theses, for which adequate up-to-date information has been unavailable, all dissertations that are known to deal in any way with Chinese affairs (including work about the impact of Chinese culture upon the West, about Western academic programs concerned with the study of China, and about China within a broad, global context) have been included. As an underlying principle, the selection of all bibliographical items has been based strictly on the relevance of their contents, not on their intrinsic value or merit. No attempt has been made to assess the quality of the research listed.

The main body of this volume (pp.3-221) contains 1573 entries. These have been arranged along subject lines primarily on the basis of their content and only secondarily in accordance with the academic discipline in which their authors have

INTRODUCTION

specialized. As a result, most dissertations in the social sciences pertaining to China before 1949 are to be found under "History", and all theses concerning Chinese communities outside of East Asia are entered solely under "Overseas Chinese Communities." Throughout the volume, cross-references leading the reader from one section to related entries elsewhere are provided. Within each section and subsection, the dissertations are arranged strictly in chronological order according to the calendar year of their completion or formal acceptance. This approach will help the interested reader discern long-term trends in many areas of doctoral research, especially when he also has *Doctoral Dissertations on China...1945-1970* on hand. An extensive appendix (pp.223-57) lists 228 theses completed before 1971 which were unknown to the authors at the time the parent volume was compiled. This is followed by two tables which (1) summarize the distribution of dissertations on China throughout the entire postwar period by the country in which they were written and by their calendar year of completion, and (2) indicate the distribution of American dissertations by degree-awarding institution during the years 1971-1975. Details on the availability of the doctoral dissertation typescripts are provided on pages 261-76. Three indexes --(a) an alphabetical listing by author; (b) an index by degree-awarding institution (arranged alphabetically on a country-by-country basis); and (c) a modified subject index limited primarily to geographical, literary and personal names--should also be useful for many readers.

The information furnished for each dissertation entry within both the main body and the appendix appears in the following order:

- (1) the consecutive entry number
- (2) the author's name in full
- (3) the complete title and subtitle of the dissertation, together with a translation of foreign-language titles
- (4) the name of the degree-awarding university or institution in abbreviated form (the full name of each university may be found within the institutional index)
- (5) the calendar year in which the author received his degree or, when unknown, the year in which his dissertation was completed and defended
- (6) the type of degree awarded and the name either of the academic department/school for which the thesis was written or the author's field of specialization (this usually is expressed as "Ph.D. in X," "Ed.D. in Y," "Doctorat de 3^e cycle en X," "Dr., Y Fakultät," etc.)

INTRODUCTION

- (7) the number of pages in the microfilm copy of the dissertation as noted in *Dissertation Abstracts International* or the pagination of the actual dissertation typescript (whichever is most readily at hand)
- (8) the *Dissertation Abstracts International* (DAI) volume, issue and page numbers citing the location of a readily available six hundred word summary whenever this is applicable
- (9) the University Microfilms International order number (UM) where appropriate, or a statement explaining how to obtain a copy of the dissertation from some other source
- (10) details about the publication of a book-length manuscript by the author that is either based on the dissertation or is closely related to his doctoral research (to the extent that this information has been readily available prior to the completion of this bibliography)
- (11) a brief annotation where the title of a dissertation has failed to specify its relevance for this bibliography, where it has been necessary to indicate the appropriateness for entering a particular dissertation under one section rather than under another, or where other special circumstances have dictated the inclusion of information describing a dissertation's contents and scope

Regretfully, in a few cases (especially where French dissertations are concerned), certain basic bibliographical information has not become available in time for inclusion within this volume.

In compiling any bibliography of this nature, the author must impose continually upon the cooperation, goodwill and patience of his colleagues and associates. Many individuals--among them university reference librarians and faculty members--have contributed to this book. Especially noteworthy assistance has been received from: The Association for Asian Studies, Inc. (Ann Arbor, Michigan), which permitted the publication of bibliographical information that first appeared in the author's ongoing column of doctoral research within various AAS publications; Enid Bishop (Australian National University Library) and Barry C. Bloomfield (SOAS Library, University of London), who supplied important details about theses at their respective institutions; Harley Holden (Harvard University Archives), who enabled the author to work within the Archives and answered numerous inquiries by mail over the years;

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Eva Kraft (Ostasienabteilung, Staatsbibliothek Preussischer Kulturbesitz), Gert Naundorf (Institut für Sinologie der Julius-Maximilians-Universität Würzburg), and Wolfgang Seuberlich (Marburg/Lahn), who frequently alerted the author to pertinent German doctoral research; Michel Popoff (Bibliothèque de la Sorbonne, Paris) and Mlle. E. Dartiguenave (Centre de Documentation Sciences Humaines, C.N.R.S., Paris), who greatly facilitated the author's efforts in June 1974 to cover French doctoral theses; the Scientific Information Service, Institute for World Economics of the Hungarian Academy of Sciences (Budapest), which provided the author with a listing of dissertations from which all of the Hungarian entries within the present volume have been drawn; the staff of The University of Michigan's Harlan Hatcher Library, who helped in many ways before the author moved to College Park from Ann Arbor; Carl Jacobson and Margaret Yamashita, who contributed their time when the initial compilation was underway; Hans Wellisch (University of Maryland, College Park), Irene Eber (Hebrew University of Jerusalem) and Robert Ruhlmann (Université de Paris), who assisted the author in translating the titles of selected German, Polish, and French-language dissertations; Kuang-yao Fan, Connie Tomita Galmeijer and Ellen A. Nollman (East Asia Collection, University of Maryland Libraries), who prepared the Chinese-language introduction to this volume and helped out in many other ways as the bibliography was being completed for publication; Beverly L. Hughes (Greenbelt, Maryland), who patiently and carefully typed portions of the camera-ready copy; and the Center for Japanese Studies at The University of Michigan and the administration of the University of Maryland Libraries, which provided essential office space and typing facilities. To all of these individuals and institutions, the author expresses his most sincere appreciation. This bibliography could not have been prepared in its present form without their help.

Corrections and additions to this volume as well as contributions to forthcoming five-year supplements will always be very welcome. The author hopes that readers will take the initiative to alert him to pertinent research by writing him at the East Asia Collection, McKeldin Library, University of Maryland, College Park, Maryland 20742 (USA).

Frank Joseph Shulman

College Park, Maryland

December 1977

前言

Leonard H.D. Gordon

Frank J. Shulman

*Doctoral Dissertations on China: A Bibliography of
Studies in Western Languages, 1945-1970*

(pp.3-221)

sertations on China...1945

Doctoral Dis-

"Ph.D. in X,"
"Ed.D. in Y," "Doctorat de 3^e cycle en X," "Dr.,
Y Fakultät"

Dissertation Abstracts International (DAI)

UM (University Microfilms International)

Frank Joseph Shulman
East Asia Collection, McKeldin Library
University of Maryland
College Park, Maryland 20742 (USA)

Abbreviations

- DAI; DA *Dissertation Abstracts International* (before July 1969, *Dissertation Abstracts*) with volume, issue and page numbers of the published abstract.
Example: DAI 36, no.2 (Aug. 1975): 960-61-A
(A 600 word summary of the thesis will be found on pages 960-A and 961-A of the August 1975--volume 36, no.2--issue of *Dissertation Abstracts International*)
- UM University Microfilms International order number for use when purchasing microfilm and xerographic copies of dissertation typescripts that are available from University Microfilms International.
Example: UM 75-12,310.
- ... Indicates that the title of the book is identical with the title of the dissertation, which is provided in full within the same entry.
Example: Published as Tseng...Bureaucracy.
(The title of the book is the same as that of the dissertation: *Tseng Kuo-fan's Private Bureaucracy*.)
- A.D. Doctor of Arts
- Akad.avh. Akademisk avhandling (Swedish: Academic Thesis)
- D.B.A. Doctor of Business Administration
- D.Crim. Doctor of Criminology
- D.Eng. Doctor of Engineering
- D.L.S. Doctor of Library Science
- D.Min. Doctor of Ministry

ABBREVIATIONS

| | |
|---------|--|
| D.Miss. | Doctor of Missiology |
| D.N.S. | Doctor of Nursing Science |
| D.Phil. | Doctor of Philosophy (used in Great Britain) |
| D.Sc. | Doctor of Science |
| D.S.S. | Doctor of Social Science |
| D.S.W. | Doctor of Social Welfare |
| Dr.P.H. | Doctor of Public Health |
| Dr.U. | Doctor of the University (used in Hungary) |
| Ed.D. | Doctor of Education |
| J.S.D. | Doctor of Juridical/Judicial Sciences |
| kand. | Kandidat (Candidate's degree) |
| LL.D. | Legum Doctor (Doctor of Laws) |
| M.D. | Doctor of Medicine) |
| Ph.D. | Doctor of Philosophy |
| S.J.D. | Scientiae Juridicae Doctor (Doctor of Juridical Sciences) |
| Th.D. | Doctor of Theology |

The Wade-Giles transcription system has been used for Chinese names and terms within the translations of European-language dissertation titles, the annotations, and the modified subject index.

Is the scholar human?

-- Vitali Rubin *

DEDICATED TO

Lucien Bianco
Wm. Theodore de Bary
Irene Eber
David N. Keightley
Steven I Levine
Rhoads Murphey
Harold Z. Schiffrin
and all others who
responded to an
appeal that could
not go ignored.

* "An Open Letter to American Sinologists."
New York Review of Books.
October 5, 1972. p.36.

About the Author

Frank Joseph Shulman is director of the East Asia library collection at the University of Maryland (College Park). Among his publications are several reference works including *Japan and Korea: An Annotated Bibliography of Doctoral Dissertations in Western Languages, 1877-1969* (1970), *The Allied Occupation of Japan, 1945-1952: An Annotated Bibliography of Western-Language Materials* (1974), and *East Asian Resources in American Libraries* (1977). Mr. Shulman has also served as an assistant editor of the Association for Asian Studies' *Bibliography of Asian Studies* and as bibliographer/librarian of The University of Michigan's Center for Japanese Studies. He is currently working on additional guides to doctoral research about Asia and on a bibliography of book reviews about China, Japan and Korea.

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