

Lecture Notes in Mathematics

Edited by A. Dold and B. Eckmann

1030

Ulrich Christian

Selberg's Zeta-, L-,
and Eisensteinseries



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PREFACE

This course of lectures was given at the University of Göttingen in the summer-semester 1983.

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Ulrich Christian

INTRODUCTION

In these lecture notes we prove analytic continuation and functional equations for Selberg's Eisensteinseries, Selberg's zetafunctions, Selberg's L-series, and Siegel's Eisensteinseries.

We start with Epstein's zetafunction for a binary quadratic form and Epstein's L-functions which are connected with Epstein's zetafunction like Dirichlet's L-series are connected with Riemann's zetafunction. Then we consider Eisensteinseries for the elliptic modular group which are also closely related to Epstein's zetafunction.

In the next chapters we come to Selberg's zetafunction (see Maaß [33], § 17, Selberg [41], and Terras [45], [46]). Furthermore we consider Selberg's L-series which are connected with Selberg's zetafunctions like Dirichlet's L-series are connected with Riemann's zetafunction.

These functions may be described as follows. Let Y be a real symmetric, positive $n \times n$ matrix and Y^ν positive matrices ($\nu=1, \dots, n$) which are connected by

$$(a) \quad Y = Y; \quad Y^\nu = G_\nu' Y^{\nu+1} G_\nu \quad (\nu = 1, \dots, n-1)$$

with integral $(\nu+1) \times \nu$ matrices G_ν . Here $'$ denotes the transposed matrix. The above mentioned authors then consider the zetafunction

$$(b) \quad \zeta(Y; z_1, \dots, z_{n-1}) = \sum_{\langle Y, \dots, Y \rangle} \frac{1}{\prod_{\nu=1}^{n-1} (\text{Det } Y^\nu)^{-z_\nu}},$$

where the summation is taken over all possible $Y, \dots, Y$ for which (a) holds.

Let $\Omega(n) = GL(n, \mathbb{Z})$ be the group of unimodular $n \times n$ matrices and $\Delta(n)$ the subgroup of upper triangular matrices. The above mentioned authors then show, that the function (b) is closely connected to the function

$$(c) \quad \zeta^*(Y; z_1, \dots, z_{n-1}) = \sum_{U \in \Omega(n)/\Delta(n)} \left(\prod_{\nu=1}^{n-1} (\text{Det}(U' Y U)_\nu)^{-z_\nu} \right),$$

here A_v means generally the left upper $v \times v$ submatrix of a matrix A . The summation is over all cosets $\Omega(n)/\Delta(n)$.

By computing residues Maaß [33], pages 279-299 furthermore obtains analytic continuation of zetafunctions which are more general than (b) and (c).

In the present lecture notes we generalize the functions (b), (c) as follows. Let q be a natural number and $\chi_1, \dots, \chi_{n-1}$ even Dirichletcharacters mod q . In (a) we assume that the elements of G_v below the main-diagonal are divisible by q . Furthermore, we put inside the sum (b) the Dirichletcharacters $\chi_1, \dots, \chi_{n-1}$. It is difficult to describe in the introduction how this has to be done. It is simpler for the function (c) for which we replace $\Omega(n)$ by the subgroup $\Psi(n)$ consisting of all unimodular matrices

$$U \equiv \begin{pmatrix} u_1 & * \\ 0 & u_n \end{pmatrix} \pmod{q}.$$

Then instead of (c) we consider the function

$$(d) \quad \zeta^*(\chi_1, \dots, \chi_{n-1}; Y; z_1, \dots, z_{n-1}) = \sum_{\Psi(n)/\Delta(n)} \prod_{v=1}^{n-1} (\chi_v(u_v) (\text{Det}(U' Y U)_v)^{-z_v}).$$

Under the assumption that all the products $\chi_\mu \dots \chi_v$ ($1 \leq \mu \leq v \leq n-1$) are primitive characters mod q we derive analytic continuation and functional equations for our functions.

For these functions we prove results that may be described as follows. Choose even Dirichletcharacters $\psi_1, \dots, \psi_n$ with $\psi_{v+1}^{-1} \psi_v = \chi_v$ ($v = 1, \dots, n-1$); introduce new variables $s_1, \dots, s_n$ by $z_v = s_{v+1} - s_v + \frac{1}{2}$ ($v = 1, \dots, n-1$) and put $\psi = (\psi_1, \dots, \psi_n)$; $s = (s_1, \dots, s_n)$. Let $L(\chi, s)$ be Dirichlet's L-series and put

$$\xi(\chi, s) = \left(\frac{\pi}{q}\right)^{-\frac{s}{2}} \Gamma\left(\frac{s}{2}\right) L(\chi, s).$$

If then all characters $\psi_v^{-1} \psi_\mu$ ($1 \leq \mu < v \leq n$) are primitive, the function

$$\lambda(\psi, Y, s) = \left(\prod_{1 \leq \mu < \nu \leq n} \xi(\psi_\nu^{-1} \psi_\mu, 2(s_\nu - s_\mu) + 1) \right) \times \\ (\text{Det } Y)^{s_n - \frac{1}{n}(s_1 + \dots + s_n) + \frac{n-1}{4}} \zeta^*(\chi, Y, z)$$

can be holomorphically continued to all $s \in \mathbb{C}^n$. Furthermore $\lambda(\psi, Y, s)$ satisfies certain functional equations which we shall now describe.

Let

$$\begin{aligned} \tilde{s} &= (s_n, \dots, -s_1); \quad \tilde{\psi} = (\psi_n^{-1}, \dots, \psi_1^{-1}); \quad \check{s} = (-s_{n-1}, \dots, -s_1, -s_n); \\ \check{\psi} &= (\psi_{n-1}^{-1}, \dots, \psi_1^{-1}, \psi_n^{-1}); \quad \check{s} = (s_n, s_1, \dots, s_{n-1}); \\ \hat{\psi} &= (\psi_n, \psi_1, \dots, \psi_{n-1}); \quad \hat{s} = (s_{n-1}, s_1, \dots, s_{n-2}, s_n); \\ \hat{\psi} &= (\psi_{n-1}, \psi_1, \dots, \psi_{n-2}, \psi_n). \end{aligned}$$

Form the Gaussian sum

$$G(\chi) = q^{-\frac{1}{2}} \sum_{d \bmod q} \chi(d) \exp\left(\frac{2\pi i d}{q}\right)$$

and put

$$\eta(\psi) = \prod_{u=1}^{n-1} G(\chi_u).$$

Form the $n \times n$ matrices

$$W(n) = \begin{pmatrix} 0 & & 1 \\ & \ddots & \\ 1 & & 0 \end{pmatrix}, \quad Q(n) = \begin{pmatrix} W(n-1) & 0 \\ 0 & q \end{pmatrix}, \quad P(n) = q^{\frac{1}{n}} W(n) Q^{-1}$$

and the

$$r = q^{n-2}$$

matrices

$$K_\rho = \begin{pmatrix} p^{-1}(n-1) & 0 \\ & 1 - \frac{1}{n} \\ 0 & q \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & E^{(n-2)} & 0 \\ 0 & L & 1 \end{pmatrix} \quad (\rho=1, \dots, r)$$

where $L = (l_2, \dots, l_{n-1})$ runs over all r residue classes mod q . Set

$$\tilde{Y} = W(n) Y^{-1} W(n), \quad \check{Y} = (Y[Q(n)])^{-1}.$$

Then the following functional equations hold:

$$\begin{aligned} \lambda(\tilde{\psi}, \tilde{Y}, \tilde{s}) &= \lambda(\psi, Y, s), \\ \lambda(\psi, Y, s) &= \eta(\psi)_q \lambda(\tilde{\psi}, \tilde{Y}, \tilde{s}), \\ \lambda(\psi, Y, s) &= \eta(\psi)_q \lambda(\zeta\psi, P(n)^{-1} Y P(n)^{-1}, \zeta s), \\ \lambda(\psi, Y, s) &= \eta^{-1}(\psi)_q \sum_{\rho=1}^r \lambda(\hat{\psi}, K'_\rho Y K_\rho, \hat{s}). \end{aligned}$$

The transformations ζ and $\hat{}$ generate the symmetric group $\mathfrak{S}_n$.

As in the case of Riemann's zetafunction and Dirichlet's L-series however the L-series have less poles than the zetafunctions. Therefore in the case $q > 1$ poles and residues of type Maaß [33], pages 279-299 do not exist. So it is impossible to get any results about more general series by computing residues. For this reason we start already with more general series and derive the analytic continuation and functional equations for them.

Let $\mathfrak{z}(n) = \{Z = Z' = X + iY, Y > 0\}$ Siegel's upper halfplane of degree n and $\Gamma(n) = \text{Sp}(n, \mathbb{Z})$ Siegel's modular group of degree n . Set

$$(e) \quad M = \begin{pmatrix} A & B \\ C & D \end{pmatrix} \in \Gamma(n)$$

with $n \times n$ matrices A, B, C, D and

$$(f) \quad M(Z) = (AZ + B)(CZ + D)^{-1} = X_M + iY_M.$$

Set

$$(g) \quad M\{Z\} = CZ + D.$$

Let $s = (s_1, \dots, s_n)$ a complex variable row and $Z \in \mathfrak{z}(n)$. We consider Selberg's Eisensteinseries

$$(h) \quad \mathcal{E}(n, r, Z, s) = \sum_{M \in \Gamma_B \backslash \Gamma(n)} (\text{Det } M\{\bar{Z}\})^{2r} (\text{Det } Y_M)^{s_n + \frac{n}{2} + r} \prod_{v=1}^{n-1} (\text{Det}(Y_M)_v)^{s_v - s_{v+1} - \frac{1}{2}}.$$

Here $\Gamma_B(n)$ is the Borel subgroup of $\Gamma(n)$ consisting of all $2n \times 2n$ matrices

$$(i) \quad M = \begin{pmatrix} U' & SU^{-1} \\ 0 & U^{-1} \end{pmatrix}$$

with integral $n \times n$ matrices U, S . Here $S = S'$ and U is an upper triangular matrix. For the functions (h) we prove again analytic continuation and functional equations by applying a method of Diehl [11]. Since the functional equations are very similar to those of Selberg's zetafunctions I do not write them down here.

Finally consider Siegel's Eisensteinseries

$$(j) \quad E(n, r, Z, w) = \sum_{M \in \Gamma_n(n) \backslash \Gamma(n)} (\text{Det } M\{Z\})^{-2r} (\text{Det } Y_M)^{w-r}.$$

Here w is a complex variable and $\Gamma_n(n)$ the group of matrices (i) where now U is arbitrarily unimodular. We show that Siegel's Eisensteinseries may be obtained by computing residues of Selberg's Eisensteinseries. Hence the analytic continuation and the functional equations of Selberg's Eisensteinseries give us analytic continuation and a functional equation for Siegel's Eisensteinseries.

Especially we get the following results

A) It is $E(n, r, Z, w)$ holomorphic at $w = r$ for

$$(k) \quad r = 1, 2, \left[\frac{n-1}{2}\right], \left[\frac{n+1}{2}\right],$$

so for these values of r the Eisensteinseries $E(n, r, Z, w)$ has Hecke summation.

B) It is $E(n, 1, Z, 1) = 0$ ($n \geq 3$).

C) Let

$$(1) \quad S(r) = \left\{ \begin{array}{ll} r-2 & (3 \leq r < \frac{n+2}{4}) \\ [\frac{n-1}{2}]-r & (\frac{n+2}{4} \leq r \leq [\frac{n-3}{2}]) \end{array} \right\} .$$

If $3 \leq r \leq [\frac{n-3}{2}]$, the Eisensteinseries $E(n, r, Z, w)$ has at $w = r$ a pole of order $S(r)$ at the most.

All functions considered in this lecture play an important rôle in the theory of Siegel's modular functions but it seems to me that they are also interesting for themselves. They are eigenfunctions of invariant differential operators (see Selberg [39] till [42] and Maaß [33]) and they may be used to describe the continuous spectrum of those differential operators. Furthermore, as we have seen they may be used to get analytic continuation of Siegel's Eisenstein-series. It is an important open question if $E(n, r, Z, w)$ is holomorphic at $w = r$ also for $3 \leq r \leq [\frac{n-3}{2}]$.

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CHAPTER I. EPSTEIN'S ZETA FUNCTIONS OF A BINARY QUADRATIC FORM

In the first chapter we consider Epstein's zetafunction for binary quadratic forms. To this zetafunction we associate L-series in the same way as Dirichlet's L-series are associated to Riemann's zetafunction. Furthermore we consider Eisensteinseries for the elliptic modular group which are closely related to Epstein's zetafunction. For all these functions we prove analytic continuation and functional equations with the aid of thetafunctions.

§ 1. PRELIMINARIES

§ 1 contains some preliminary definitions and results on thetafunctions for binary quadratic forms.

A matrix $K = (k_{\mu\nu})$ of ρ rows and σ columns is called a $\rho \times \sigma$ matrix; $\text{Rk } K$ is the rank, K' the transposed and $\bar{K}$ the conjugate complex matrix. Occasionally we write $\text{Dg } K = [k_1, \dots, k_{\min(\rho, \sigma)}]$ for the diagonal matrix formed of the diagonal elements $k_i = k_{ii}$ ($i = 1, \dots, \min(\rho, \sigma)$) from K . In case $\rho = \sigma$ let $\text{Tr } K$ be the trace, $\text{Det } K$ the determinant and $|\text{abs } K|$ the absolute value of $\text{Det } K$. Let K_ν be the upper left $\nu \times \nu$ submatrix of K . With a $\rho \times \tau$ matrix L define $K[L] = L'KL$. Let O, E be zero- and identity-matrix. The number of rows and columns will either be seen from the connection or it will be written as upper

indices in brackets. A real symmetric $p \times p$ matrix Y is called positive ($Y > 0$) respectively semipositive ($Y \geq 0$), if the quadratic form $Y[x]$ is positive respectively nonnegative for all real columns $x \neq 0$ with p elements. Let $\mathcal{Y}(n)$ denote the space of all positive symmetric $n \times n$ matrices Y . Then $\mathcal{Y}(n)$ is real and has

$$(1) \quad d(n) = \frac{n(n+1)}{2}$$

dimensions. $Y_1 > Y_2$ is defined by $Y_1 - Y_2 > 0$ and $Y_1 \geq Y_2$ by $Y_1 - Y_2 \geq 0$. A matrix is called "integral", "rational", "real" or "complex" if all elements are in $\mathbb{Z}, \mathbb{Q}, \mathbb{R}$ or $\mathbb{C}$. Brackets of type $\langle \rangle$ denote the greatest common divisor of integers. "exp" is the exponential function.

Let $Y \in \mathcal{Y}(n)$. By an "isotropic vector" of Y we mean a complex column w satisfying

$$(2) \quad Y[w] = 0.$$

If w is an isotropic vector of Y , obviously Yw is an isotropic vector of Y^{-1} .

THEOREM 1: Let $Y \in \mathcal{Y}(n)$; $g \in \mathbb{Z}$, $g \geq 0$; u and v be two arbitrary n -rowed complex columns, w an isotropic vector of Y . Then

$$(3) \quad \sum_{m \in \mathbb{Z}^n} ((m+v)'Yw)^g \exp(-\pi Y[m+v] + 2\pi i m'u) = \\ \frac{\exp(-2\pi i u'v)}{i^g} (\text{Det } Y)^{-\frac{1}{2}} \sum_{m \in \mathbb{Z}^n} ((m-u)'w)^g \exp(-\pi Y^{-1}[m-u] + 2\pi i m'v).$$

Here m runs over all integral columns with n elements.

PROOF: Use Siegel [44], Page 65, formula (57).

Let $q \in \mathbb{N}$. The concept of a character mod q , a primitive character mod q and an even character mod q is defined like in Hasse [15], § 13 or Landau [22], Kapitel 22. With an even character χ mod q and $a \in \mathbb{Z}$ form the Gaussian sum

$$(4) \quad G(\chi, a) = q^{-\frac{1}{2}} \sum_{b \bmod q} \chi(b) \exp(2\pi i \frac{ab}{q}) .$$

Then if χ is even, an easy computation shows

$$(5) \quad \overline{G(\chi, a)} = G(\overline{\chi}, a)$$

THEOREM 2: Let χ be a primitive character mod q . Then

$$(6) \quad G(\chi, a) = \overline{\chi}(a) G(\chi) \quad (a \in \mathbb{Z}).$$

Here

$$(7) \quad G(\chi) = G(\chi, 1).$$

PROOF: See Landau [22], § 126.

Let χ_1 be an even character mod q , form the row $1 = (1, 1)$, let

$$(8) \quad Y \in \mathfrak{H}(2); t \in \mathbb{R}, t > 0$$

and define the thetafunction

$$(9) \quad \check{\theta}(q, l, \chi_1, Y, t) = (\text{Det } Y)^{\frac{1}{4}} t^{\frac{1}{2}} \sum_{\substack{a=(a_1, a_2)' \\ a_2 \equiv 0 \pmod{q}}} \chi_1(a_1) \exp(-\frac{\pi}{q} Y[a]t).$$

Put

$$(10) \quad Q(l) = \begin{pmatrix} 1 & 0 \\ 0 & q \end{pmatrix},$$

$$(11) \quad \check{Y} = (Y[Q(l)])^{-1}.$$

Then

$$(12) \quad \text{Det } \check{Y} = q^{-2} \text{Det } Y^{-1}.$$

THEOREM 3: Let χ_1 be an even primitive character mod q . Then

$$(13) \quad \check{\theta}(q, l, \chi_1, Y, t) = G(\chi_1) \check{\theta}(q, l, \overline{\chi_1}, \check{Y}, t^{-1})$$

and

$$(14) \quad \text{abs } G(\chi_1) = 1.$$

PROOF: In (9) set $a = b + qc$ with $b = (b_1, 0)'$ and c an integral column. Then (9) gives

$$(15) \quad \check{\theta}(q, l, \chi_1, Y, t) = (\text{Det}(tY))^{\frac{1}{4}} \sum_{b_1 \pmod{q}} \chi_1(b_1) \sum_{c \in \mathbb{Z}^2} \exp(-\pi(qYt)[c + \frac{b}{q}]).$$

Apply (3) with $n=2$, $g=0$, $u=0$, $v=\frac{b}{q}$, qYt instead of Y . Then

$$(16) \quad \check{\theta}(q, l, \chi_1, Y, t) = (\text{Det}(tY))^{\frac{1}{4}} (\text{Det}(qYt))^{-\frac{1}{2}} \times \\ \sum_{c \in \mathbb{Z}^2} \left(\sum_{b_1 \bmod q} \chi_1(b_1) \exp(2\pi i \frac{c_1 b_1}{q}) \right) \exp(-\frac{\pi}{q} Y^{-1}[c]t^{-1}).$$

From (4), (6), (12), (16) we get

$$(17) \quad \check{\theta}(q, l, \chi_1, Y, t) = G(\chi_1) (\text{Det } \check{Y})^{\frac{1}{4}} t^{-\frac{1}{2}} \sum_{c \in \mathbb{Z}^2} \bar{\chi}(c_1) \exp(-\frac{\pi}{q} \check{Y}[Q(1)c]t^{-1}) = \\ G(\chi_1) \check{\theta}(q, l, \bar{\chi}_1, \check{Y}, t^{-1}).$$

Herewith one has formula (13). Inserting $\bar{\chi}_1, \check{Y}, t^{-1}$ in the left hand side of (13) and applying (13) once more one gets

$$(18) \quad G(\chi_1) G(\bar{\chi}_1) = 1.$$

From (5), (7), (18) we deduce (14). Theorem 3 is proved.

According to Maaß [33], pages 210, 267 form the differential operator

$$(19) \quad D^*(t) = t^{\frac{1}{2}} \frac{d}{dt} t^2 \frac{d}{dt} t^{-\frac{1}{2}} = -\frac{1}{4} + t \frac{d}{dt} + t^2 \frac{d^2}{dt^2}.$$

Using

$$(20) \quad \frac{d}{dt} = -t^{-2} \frac{d}{dt^{-1}}$$

one see's

$$(21) \quad D^*(t) = D^*(t^{-1}),$$

Put

$$(22) \quad D(q, t) = \begin{cases} -D^*(t) & (q = 1) \\ 1 & (q > 1) \end{cases}.$$