

# Lecture Notes in Mathematics

Edited by A. Dold and B. Eckmann

Subseries: Department of Mathematics, University of Maryland  
Adviser: J. C. Alexander

1342

J. C. Alexander (Ed.)

## Dynamical Systems

Proceedings, University of Maryland 1986–87



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J. C. Alexander (Ed.)

## Dynamical Systems

Proceedings of the Special Year  
held at the University of Maryland, College Park, 1986–87

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**Editor**

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Douglas C. McMahon, 1947-1986

Professor Douglas McMahon of Arizona State University died December 29 in a mountain climbing accident on Mount Orizaba in Mexico.

Doug, who participated in the ergodic theory conference at Maryland in October, was an active researcher in topological dynamics. He received his Ph.D. in 1972 from Case-Western Reserve University under the direction of Ta Sun Wu, with whom he continued to collaborate. Among the topics he studied were local almost periodicity, weak mixing and its generalizations, structure theorems (including a non-metric Furstenberg structure theorem for distal flows), disjointness, and the equicontinuous structure relation. He also contributed a number of illuminating examples. In particular, we single out two of his results. The first (Trans. Amer. Math. Soc. 236 (1978), 225-237) is an elegant proof that in a minimal flow which admits an invariant measure, the regionally proximal relation is an equivalence relation (and hence coincides with the equicontinuous structure relation). The second (Proc. Amer. Math. Soc. 98 (1986), 175-179) is an ingenious proof of a "multiple disjointness" theorem: Let  $T$  be an abelian group and let  $(X, T)$  be a family of weakly mixing regular minimal flows which are pairwise disjoint. Then the product flow  $(\prod X_i, T)$  is minimal.

Doug was very much a lover of the out-of-doors, an avid climber, hiker and rafter, who had pursued these activities extensively throughout North America.

To many of the participants of the 1986-87 Special Year in Ergodic Theory and Dynamics at the University of Maryland, Doug was both a friend and stimulating colleague. We shall all miss him.

The Organizers

During the academic year 1986–1987, the Mathematics Department of the University of Maryland devoted its special year to various aspects of Dynamics. In addition to having a number of both long and short-term visitors, the Department sponsored three separate conferences on different aspects of dynamics. These were: *Ergodic Theory and Topological Dynamics* October 13 through October 17, 1986, *Symbolic Dynamics and Coding Theory*, December 1 through December 5, 1986, and *Smooth Dynamics, Dynamics and Applied Dynamics*, March 9 through March 13, 1987.

The papers in this proceedings reflect the richness and diversity of the subject of dynamics. Some of the papers in this proceedings are lectures given at the conferences, some are work which was in progress during the special year, and some are work which was done because of questions and problems raised at the conferences. In addition, a paper of John Milnor and William Thurston, versions of which have been available as notes, but which has not been published, is included. The editor would like to thank those individuals, both at Maryland and elsewhere, who acted as referees, often on short notice.

One of the reasons for the success of the special year was the financial support of the Department of Mathematics of the University of Maryland, the Institute for Physical Science and Technology of the University of Maryland, and the National Science Foundation (through grant DMS86-10332). In addition, the Department of Mathematics contributed administrative and logistical support, and some typing for this proceedings.

The special year, and especially the conferences, were full of mathematical excitement. The editor and the organizers hope these papers convey some of that excitement.

J. C. Alexander  
November, 1987

Conference 1

Michael Bramton  
 Robert Burton  
 James Campbell  
 Joe Christy  
 Ethan Coven  
 Andres del Junco  
 Stanley Eigen  
 David Ellis  
 Jacob Feldman  
 Sebastien Ferenczi  
 Adam Fieldsteel  
 Alan Forrest  
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 Patrick Gabriel  
 Robert Gilman  
 G. R. Goodson  
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 Donald Ornstein  
 Kyewon Park  
 William Parry  
 Karl Petersen  
 V. S. Prasad  
 Jim Propp  
 Maury Rahe  
 E. Arthur Robinson  
 Michael Sears  
 Cesar Silva  
 John Smillie  
 Meir Smorodinsky  
 Jean-Paul Thouvenot  
 Paul Trow  
 Selim Tuncel  
 Dan Ullman

Conference 2

Roy Adler  
 Jon Ashley  
 James T. Campbell  
 Elise Cawley  
 Ethan Coven  
 Imre Csizar  
 Albert Fathi  
 Leo Flatto

Conference 2 (cont'd.)

Robert Gilman  
 Nicolai Haydn  
 Charles Jacobson  
 Razmik Karabed  
 Michael Keane  
 John Kieffer  
 Wolfgang Krieger  
 Douglas Lind  
 Brian Marcus  
 Marakazu Nasu  
 Leny Nusse  
 Jim Propp  
 Kyewon Park  
 Karl Petersen  
 Maury Rahe  
 Frank Rhodes  
 E. Arthur Robinson  
 Fred Roush  
 Klaus Schmidt  
 Cesar Silva  
 Matt Stafford  
 Paul Trow  
 Selim Tuncel  
 Jack Wagoner  
 James Ward  
 Susan Williams

Conference 3

Werner Ballman  
 Paul Blanchard  
 Keith Burns  
 Robert Burton  
 Joe Christy  
 Rafael de la LLave  
 Ketty de Rezende  
 Manfred Denker  
 Victor Donnay  
 Patrick Eberlein  
 Albert Fathi  
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# Discerning Fat Baker's Transformations

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and

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## 1. Introduction.

Define a piecewise-linear transformation  $T = T_\beta$  of the square  $\{(x, y): 0 \leq x, y \leq 1\}$  for  $\frac{1}{2} \leq \beta < 1$ :

$$T_\beta(x, y) = \begin{cases} (2x, \beta y), & \text{if } 0 \leq x \leq \frac{1}{2}, \\ (2x - 1, \beta y + (1 - \beta)), & \text{if } \frac{1}{2} \leq x \leq 1. \end{cases} \quad (1.1)$$

For  $\beta = \frac{1}{2}$ ,  $T_\beta$  is the classical baker's transformation. More generally,  $T_\beta$  stretches horizontally by a factor of 2 and compresses vertically by a factor of  $\beta$ . Hence the name 'fat baker's transformation.' For  $\beta > \frac{1}{2}$ ,  $T_\beta$  is not invertible. In [1], these transformations were introduced and studied as interesting examples in the context of fractional metric dimensions. Moreover, each  $T_\beta$  has a natural (Bowen-Ruelle) ergodic invariant measure  $\mu_\beta$  which can be more-or-less explicitly written down (either combinatorially or in terms of its characteristic function).

Here we consider the  $T_\beta$  in the context of measure-preserving transformations. Let  $\Sigma_2$  (resp.  $\Sigma_2^+$ ) be the two-sided (one-sided) Bernoulli shift on two symbols of equal weight. Of course  $T_{\frac{1}{2}}$  is conjugate to  $\Sigma_2$ . More generally, we show the following.

**Theorem 1.**  $T_\beta$  is a factor of  $\Sigma_2$ . Thus  $T_\beta$  is a K-endomorphism. The projection of  $T_\beta$  to the  $x$ -axis represents  $\Sigma_2^+$  as a (marginal) factor of  $T_\beta$ . Thus the entropy of  $T_\beta$  equals  $\log 2$  for all  $\beta$ . The natural extension of  $T_\beta$  is  $\Sigma_2$ .

We also consider whether, for  $\beta \neq \beta'$ ,  $T_\beta$  is conjugate to  $T_{\beta'}$ . We do not give a complete answer to the problem. We show that for those  $\beta$  for which  $\mu_\beta$  is absolutely continuous with respect to Lebesgue measure, the  $T_\beta$  are different for different  $\beta$ . It is not known which  $\mu_\beta$  are absolutely continuous, although it is conjectured to be so for almost all  $\beta$ ,  $\frac{1}{2} \leq \beta < 1$ . It is known that  $\mu_\beta$  is absolutely continuous for almost all  $\beta > \beta_0$  for some  $\beta_0 < 1$  [3]. In particular, we obtain an uncountable number of distinct  $T_\beta$ . On the other hand, it is known there is at least a countable set of  $\beta$  for which  $\mu_\beta$  is not absolutely continuous [2]. See also [4, 7, 13].

To differentiate between two  $T_\beta$ , of course we seek a measure-theoretic invariant which is different for the two transformations. The most obvious invariant, the entropy, equals  $\log 2$  for all the  $T_\beta$ , and hence does not work. Since the  $T_\beta$  are not invertible, some measure of non-invertibility could be considered. One choice is some function of the Jacobian  $T'_\beta = d\mu_\beta T_\beta / d\mu_\beta$  of  $T_\beta$  [11, chap. 10]. In fact, we prove the following result.

**Theorem 2.** Consider  $\beta$  for which  $\mu_\beta$  is absolutely continuous with respect to Lebesgue measure. Then

$$\int \log T'_\beta d\mu_\beta = \log(2\beta). \quad (1.2)$$

Also for such  $\beta$ ,  $\int T'_\beta d\mu_\beta$  is a strictly increasing function and  $\mu_\beta(\{T'_\beta = 1\})$  is a strictly decreasing function of  $\beta$ .

To compute with Jacobians, one has to have good control over null sets. In particular, one needs to know that the transformation is positively non-singular. Theorem 2 is valid for any  $\beta$  for which

---

\* Partially supported by N.S.F.

$T_\beta$  is positively non-singular. The following result is what permits us to have such control when  $\mu_\beta$  is absolutely continuous with respect to Lebesgue measure.

**Theorem 3.** *If  $\mu_\beta$  is absolutely continuous with respect to Lebesgue measure, then it is equivalent to Lebesgue measure (i.e. has the same null sets).*

## 2. The measures $\mu_\beta$

The natural measure for  $T_\beta$ ,  $\beta \geq \frac{1}{2}$ , is the product of the uniform (Lebesgue) measure in the horizontal direction and a measure  $\sigma_\beta$  in the vertical direction. The measure  $\sigma_\beta$  is an infinite convolution of (two-point) Bernoulli measures. It can be described combinatorially as follows. For any interval  $I \subset [0, 1]$ , and integer  $N \geq 1$ , let

$$\begin{aligned} & \sigma_\beta^{(N)}(I) \\ &= 2^{-(N+1)} \left[ \# \left\{ (a_0, a_1, \dots, a_N) : (1 - \beta) \sum_{i=0}^N a_i \beta^i \in I, \text{ with each } a_i = 0 \text{ or } 1, i = 0, \dots, N \right\} \right]. \end{aligned} \quad (2.1)$$

That is  $\sigma_\beta^{(N)}(I)$  is the fraction of  $\beta$ -adic sums  $\sum_{i=0}^N a_i \beta^i$ , with coefficients 0 or 1, of length  $N$  contained in the interval  $I$ . The measure  $\sigma_\beta^{(N)}(I)$  is a finite convolution of Bernoulli measures and

$$\sigma_\beta(I) = \lim_{N \rightarrow \infty} \sigma_\beta^{(N)}(I). \quad (2.2)$$

For example, if  $\beta = \frac{1}{2}$ , then  $\sigma_\beta$  is uniform. If  $dx$  is Lebesgue measure on the interval  $[0, 1]$ , then [1]

$$\mu_\beta = dx \times \sigma_\beta. \quad (2.3)$$

on the square  $[0, 1] \times [0, 1]$ .

The continuous measures  $\sigma_\beta$  were defined and studied in the 1930's in the context of harmonic analysis. In particular, the question of which  $\sigma_\beta$  are absolutely continuous was studied. The complete answer remains open. A number of results are known, of which we quote three:

- (i) the limit  $\sigma_\beta$  exists and is pure, i. e.,  $\sigma_\beta$  is either absolutely continuous or totally singular [6],
- (ii) there exist  $\beta$  for which  $\sigma_\beta$  is totally singular (e. g.,  $\beta = \frac{1}{2}(\sqrt{5} - 1)$ ) [2] (more generally for  $\beta$  the reciprocal of a Pisot-Vijayaraghavan number: see [13]),
- (iii) there exists  $\beta_0 < 1$  such that  $\sigma_\beta$  is absolutely continuous for almost all  $\beta > \beta_0$  [3] (there are other isolated  $\beta$  for which  $\sigma_\beta$  is known to be absolutely continuous [4]).

Proof of Theorem 1.

Let  $\Sigma_2$  be the shift defined on the sequence space

$$\{(\dots, a_{-2}, a_{-1}, a_0, a_1, a_2, \dots) : \text{each } a_i = 0 \text{ or } 1\}.$$

Define a map  $F$  from this sequence space to the square  $[0, 1] \times [0, 1]$ :

$$F(\dots, a_{-2}, a_{-1}, a_0, a_1, a_2, \dots) = \left( \sum_{i < 0} a_i 2^i, \sum_{i \geq 0} a_i \beta^i \right).$$

It is routine to verify from the definitions that  $F$  is a semiconjugacy from  $\Sigma_2$  to  $T_\beta$ ; that is,  $F$  is measure-preserving and  $F\Sigma_2 = T_\beta F$ . Hence,  $T_\beta$  is a factor of  $\Sigma_2$ . Since the horizontal component of  $\mu_\beta$  is uniform, it is clear that the projection onto the horizontal axis is a semiconjugacy from  $T_\beta$  to  $\Sigma_2^+$ . Since semiconjugacies do not increase entropy, the entropy of  $T_\beta$  equals  $\log 2$ .

Let  $\tilde{T}_\beta$  be the natural extension of  $T_\beta$ . That is,  $\tilde{T}_\beta$  is invertible and if  $S$  is any invertible measure-preserving map and  $f$  is a semiconjugacy from  $S$  to  $T_\beta$ , then there is a semiconjugacy  $\tilde{f}$  from  $S$  to  $\tilde{T}_\beta$ . In particular, let  $S = \Sigma_2$  and  $f = F$  above. Then  $\tilde{F}$  represents  $\tilde{T}_\beta$  as a factor of  $\Sigma_2$ . Hence [9]  $\tilde{T}_\beta$  is a Bernoulli shift and hence [8] is isomorphic to  $\Sigma_2$ .

This completes the proof of Theorem 1 and in particular shows that entropy does not distinguish the  $T_\beta$ .

### 3. Absolutely continuous $\mu_\beta$

Proof of Theorem 3.

Let  $dy$  be Lebesgue measure on  $[0, 1]$  and  $\sigma_\beta$  as in (2.1–2.2). Assuming  $\sigma_\beta$  is absolutely continuous with respect to  $dy$ , let  $h(y) = d\sigma_\beta/dy$ . Then if  $dx dy$  is Lebesgue measure on the square  $[0, 1] \times [0, 1]$ , the derivative  $d\mu_\beta/dx dy = h(y)$ . To prove Theorem 3, we show:  $h(y) > 0$  almost everywhere mod  $dx dy$ .

Divide the square into three regions by two horizontal lines, one at  $y = 1 - \beta$  and one at  $y = \beta$ . Call the three regions the *lower*, *central* and *upper* regions. Note that the preimage under  $T_\beta$  of the lower (resp. upper) region is contained in the left (right) half of the square, whereas the preimage of the central region intersects both halves. Consider a small rectangle  $I \times J$  in the lower region which is the product of intervals  $I$  and  $J$  of lengths  $|I|$  and  $|J|$ . The preimage  $T_\beta^{-1}$  is a rectangle which is the product of the intervals  $I/2$  and  $J/\beta$ . By the invariance of  $\mu_\beta$ ,

$$\int_{I \times J} h(y) dx dy = \int_{I/2 \times J/\beta} h(y) dx dy,$$

so that

$$|I| \int_J h(y) dy = \frac{|I|}{2} \int_{J/\beta} h(y) dy.$$

Dividing by  $|J|$  and letting  $|J| \rightarrow 0$ , we obtain the functional relation

$$h(y) = (2\beta)^{-1} h(y/\beta) \text{ almost everywhere mod } dy \text{ for } y < 1 - \beta. \quad (3.1)$$

Similarly, considering the upper region,

$$h(y) = (2\beta)^{-1} h((y - (1 - \beta))/\beta) \text{ almost everywhere mod } dy \text{ for } y > \beta. \quad (3.2)$$

For  $I \times J$  in the central region,

$$h(y) = (2\beta)^{-1} h(y/\beta) + (2\beta)^{-1} h((y - (1 - \beta))/\beta) \text{ almost everywhere mod } dy \text{ for } 1 - \beta < y < \beta. \quad (3.3)$$

Let

$$A = \{y: h(y) = 0, 2 - \beta^{-1} < y < 1\} \quad (3.4)$$

Define the map  $Q$  on the interval  $[2 - \beta^{-1}, 1]$  by the formula

$$Qy = \begin{cases} y/\beta & \text{if } 2 - \beta^{-1} < y < \beta, \\ (y - (1 - \beta))/\beta & \text{if } \beta \leq y < 1. \end{cases} \quad (3.5)$$

By (3.1)–(3.3),  $QA \subset A$ .

Consider  $Q'$  defined on  $[0, 1]$  by  $Q'y = \beta^{-1}y \bmod 1$ . The affine map  $g: [0, 1] \rightarrow [2 - \beta^{-1}, 1]$  defined by  $g(y') = (1 - \beta^{-1})y' + 1$  satisfies  $gQ' = Qg$ . Let  $A' = g^{-1}(A)$ . Note that  $Q'A' \subset A'$ . There is a  $Q'$  invariant, ergodic measure on  $[0, 1]$  which is equivalent to Lebesgue measure [12] (the explicit

form of this measure is given in [5,10]). Thus the Lebesgue measure of  $A'$  is either 0 or 1. Hence the Lebesgue measure of  $A$  is either 0 or  $\beta^{-1} - 1$ .

Suppose the second is true, so that  $h(y) = 0$  almost everywhere on  $[2 - \beta^{-1}, 1] \supset [\beta, 1]$ . By symmetry  $h(y) = 0$  almost everywhere on  $[0, \beta^{-1} - 1] \supset [0, 1 - \beta]$ . For  $y < 1 - \beta$ ,  $h(y) = 0$  implies  $h(y/\beta) = 0$  ((3.1), (3.3)). Accordingly  $h(y) = 0$  almost everywhere on  $[0, 1 - \beta]$  implies by induction that  $h(y) = 0$  almost everywhere on  $[0, \beta^{-i-1} - \beta^{-i}]$  and thus almost everywhere on  $[0, 1]$ . This is an obvious contradiction. That is, the Lebesgue measure of  $A$  is zero and  $h(y) > 0$  almost everywhere in  $[0, \beta^{-1} - 1] \cup [2 - \beta^{-1}, 1]$ . Now let  $[0, \alpha]$  be the largest interval such that  $h(y) > 0$  almost everywhere in  $[0, \alpha]$ . Either  $\alpha = 1$  or  $1 - \beta < \alpha < \beta$ . In the second case,  $h(y) = 0$  on a set of positive measure in  $[\alpha, \alpha + \epsilon] \subset [\alpha, \beta]$ , and then (3.3) implies  $h(y) = 0$  on a set of positive measure in  $[0, \alpha]$ . Thus  $\alpha = 1$  and  $h(y) > 0$  almost everywhere. This proves the theorem.

#### 4. Jacobians

If  $T$  is a map from a measure space with measure  $\mu$  to one with measure  $\nu$ , the Jacobian  $T'$  is the derivative  $d\nu T/d\mu$ . This definition is valid for transformations  $T$  for which: (i)  $T^{-1}$  is countable for each  $x$ , (ii)  $T(A)$  is measurable if  $A$  is measurable ( $T$  is positively measurable), and (iii)  $T(A)$  has measure zero if  $A$  has measure zero ( $T$  is positively non-singular). A general almost everywhere countable-to-one  $T$  can be replaced by a conjugate  $T$  which satisfies (i)–(iii). However to compute with an explicit  $T$ , one needs to know (i)–(iii) hold. In the present case, Theorem 3 has the following corollary.

**Lemma.** *As defined in (1.1),  $T_\beta$  satisfies (i)–(iii) if  $\sigma_\beta$  is absolutely continuous with respect to Lebesgue measure.*

Proof of Theorem 2. Consider the sets

$$L_1 = \left\{ (x, y) : 0 \leq x < \frac{1}{2}, 0 \leq y \leq (1 - \beta)/\beta \right\},$$

$$R_1 = \left\{ (x, y) : \frac{1}{2} \leq x \leq 1, (2\beta - 1)/\beta \leq y \leq 1 \right\}.$$

Note that  $R_1$  and  $L_1$  are symmetric images of each other through the center of the square, so that  $\mu_\beta(L_1) = \mu_\beta(R_1)$ . Clearly  $T_\beta$  is one-to-one on these sets and so  $T'_\beta = 1$  on these sets. We claim that up to a set of  $\mu_\beta$ -measure 0,

$$L_1 \cup R_1 = \{T'_\beta = 1\} \tag{4.1}$$

If not, we may suppose there is a set  $A$  of the form  $A_v \times [0, \frac{1}{2}]$  with  $A_v \subset [1 - \beta, 1]$  such that  $\mu_\beta A = \frac{1}{2} \sigma_\beta A_v \neq 0$  and  $T'_\beta = 1$  on  $A$ , so that  $\mu_\beta(T_\beta A) = \mu_\beta(A)$ . Then, since  $T_\beta$  is measure-preserving,  $\mu_\beta(T_\beta^{-1} T_\beta A \cap ([\frac{1}{2}, 1] \times [0, 1])) = 0$ . This set has the form  $\tilde{A}_v \times ([\frac{1}{2}, 1])$ , where  $\tilde{A}_v = A_v - (1 - \beta)/\beta^{-1}$ . By Theorem 3, if  $\sigma_\beta A_v \neq 0$ , then  $\sigma_\beta \tilde{A}_v \neq 0$ . This proves (4.1).

Let  $j(\beta) = \mu_\beta(L_1)$ . We next claim that  $j(\beta)$  is a strictly decreasing function of  $\beta$ ,  $\frac{1}{2} \leq \beta < 1$ . From (2.1),

$$\begin{aligned} \sigma_\beta^{(N)}[\beta, 1] &= 2^{-(N+1)} [\#\{(a_0, a_1, \dots, a_N) : \sum_{i=0}^N a_i \beta^i \leq \beta^{-1}, \text{ each } a_i = 0 \text{ or } 1, i = 0, \dots, N\}] \\ &= 1 - 2^{-(N+1)} [\#\{(a_0, a_1, \dots, a_N) : \sum_{i=0}^N a_i \beta^i > \beta^{-1}, \text{ each } a_i = 0 \text{ or } 1, i = 0, \dots, N\}] \\ &= 1 - 2^{-(N+1)} [\#\{(a_0, a_1, \dots, a_N) : \sum_{i=0}^N a_i \beta^{i+1} > 1, \text{ each } a_i = 0 \text{ or } 1, i = 0, \dots, N\}]. \end{aligned}$$

Since  $\sum_{i=0}^N a_i \beta^{i+1}$  is increasing as a function of  $\beta$ , the number of terms contributing to the last expression increases as  $\beta$  increases, so that  $j(\beta)$  decreases.

To prove that  $j(\beta)$  decreases *strictly*, we construct a sequence  $\{a_i\}$ ,  $i = 1, 2, \dots, \infty$ , such that  $\sum_{i=0}^{\infty} a_i \beta^{i+1} = 1$ . Inductively let  $a_i = 0$  if  $\sum_{j=0}^{i-1} a_j \beta^{j+1} \geq 1$  and let  $a_i = 1$  otherwise. We claim that for each  $i$ ,

$$\sum_{j=0}^i a_j \beta^{j+1} \geq 1 - \beta^{i+1}. \quad (4.2)$$

Equation (4.2) is true for  $i = 0$ , since  $1 - \beta \leq \beta$ . Use induction on  $i$ . By the inductive definition, (4.2) is certainly true if  $a_i = 0$ . If  $a_i = 1$ , then by the inductive assumption,

$$\sum_{j=0}^i a_j \beta^{j+1} \geq 1 - \beta^i + \beta^{i+1} \geq 1 - \beta^i + \beta^{i+1} = 1 - \beta^i(1 - \beta) \geq 1 - \beta^{i+1}$$

since  $1 - \beta \leq \beta$ . Thus (4.2) is proved, which in turn shows that for this particular sequence of  $a_i$ ,  $\sum_{i=0}^{\infty} a_i \beta^{i+1} = 1$ .

For any  $\tilde{\beta} > \beta$ ,  $\sum_{i=0}^{\infty} a_i \tilde{\beta}^{i+1} > 1$ . Therefore there exists  $N$  such that  $\sum_{i=0}^N a_i \tilde{\beta}^{i+1} > 1$ . Hence  $\sigma_{\tilde{\beta}}^{(M)}[\tilde{\beta}, 1] \leq \sigma_{\beta}^{(M)}[\beta, 1] - 2^{-N}$  for  $M \geq N$  and  $j(\beta)$  is strictly decreasing. Since  $\mu_{\beta}(\{T'_{\beta} = 1\}) = 2j(\beta)$  if  $\mu_{\beta}$  is absolutely continuous,  $\mu_{\beta}(\{T'_{\beta} = 1\})$  is strictly decreasing in these cases.

We compute

$$\begin{aligned} & \int_{[0,1] \times [0,1]} \frac{d\mu_{\beta} T_{\beta}}{d\mu_{\beta}} d\mu_{\beta} \\ &= \int_{[0, \frac{1}{2}] \times [0, 1]} \frac{d\mu_{\beta} T_{\beta}}{d\mu_{\beta}} d\mu_{\beta} + \int_{[\frac{1}{2}, 1] \times [0, 1]} \frac{d\mu_{\beta} T_{\beta}}{d\mu_{\beta}} d\mu_{\beta} \\ &= \int_{[0,1] \times [0, 1-\beta]} d\mu_{\beta} + \int_{[0,1] \times [\beta, 1]} d\mu_{\beta} \\ &= 2(1 - j(\beta)). \end{aligned}$$

The penultimate equality holds because  $T_{\beta}$  is positively nonsingular. Thus this integral is a strictly increasing function of  $\beta$  for  $\mu_{\beta}$  absolutely continuous.

Finally we compute the integral of the logarithm. Note that  $\log T'_{\beta} > 0$  almost everywhere. Recall that  $h(y)$  is the Radon-Nikodym derivative of  $\mu_{\beta}$  with respect to Lebesgue measure  $dx dy$ . Note that since  $h(y) > 0$  almost everywhere

$$\frac{d\mu_{\beta} T_{\beta}}{d\mu_{\beta}} = \frac{d\mu_{\beta} T_{\beta}}{dx dy T_{\beta}} \frac{dx dy T_{\beta}}{dx dy} \frac{dx dy}{d\mu_{\beta}} = 2\beta \frac{h(T_{\beta} \cdot)}{h(\cdot)}.$$

Moreover, with respect to Lebesgue measure  $dx dy$ , the Jacobian of  $T_{\beta}$  equals the ‘actual’ Jacobian  $2\beta$ . Thus by the ergodic theorem,

$$\int_{[0,1] \times [0,1]} \log T'_{\beta} d\mu_{\beta} = \lim_{n \rightarrow \infty} \left[ \log(2\beta) + \left( \frac{\log h(T_{\beta}^n(x, y)) - \log h(x, y)}{n} \right) \right] = \log(2\beta)$$

for almost all  $(x, y)$ . This completes the proof of Theorem 2.

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Weakly Mixing Actions of  $F^{\mathbb{N}}$  have infinite subgroup actions  
which are Bernoulli.

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Abstract

Let  $F$  be a finite field, and  $F^{\mathbb{N}}$  the direct sum of countably many copies of  $F$ . Regarding  $F^{\mathbb{N}}$  as a vector space over  $F$ , we extend the multiple recurrence theory of weakly mixing  $\mathbb{Z}$ -actions to weakly mixing actions of  $F^{\mathbb{N}}$ . From this we argue that such a weakly mixing action must have a subgroup action, isomorphic to  $F^{\mathbb{N}}$ , that is Bernoulli.

Introduction:

The central results of this paper were motivated by the work of U. Krengel "Weakly Wandering Vectors and Weakly Wandering Partitions" [K].

Given an isometry  $U$  of a complex Hilbert space  $\mathcal{H}$ , a vector  $f \in \mathcal{H}$  is called weakly wandering if there exists a sequence  $0 = k_0 < k_1 < k_2 \dots$  with  $U^{k_i}(f)$ ,  $i \in \mathbb{N}$  pairwise orthogonal. Krengel showed that such an isometry has continuous spectrum if and only if weakly wandering vectors are dense.

This result translates into ergodic theory as follows. Let  $T$  be a measure-preserving transformation of a probability space  $(X, \mathcal{F}, \mu)$ . Consider the sets  $A \in \mathcal{F}$  for which there is a sequence  $0 = k_0 < k_1 < k_2 \dots$  with the sets

$$T^{-n_i}(A), \quad i \in \mathbb{N}, \text{ pairwise independent.}$$

$T$  is weakly mixing if and only if such sets are dense in  $\mathcal{F}$ .

This reformulation of Krengel's result is open to a natural strengthening. Let  $P$  be a finite, measurable partition of  $X$ .  $P$  is called weakly independent (with respect to  $T$ ) if there exists a sequence  $0=k_0 < k_1 < k_2$  so that the partitions

$$T^{-k_0}(P), T^{-k_1}(P), \dots, \text{ are i.i.d.}$$

Krengel defined 2-sided weakly mixing of  $T$  as

$$\lim_{N \rightarrow \infty} \frac{1}{N} \sum_{k=0}^{N-1} |\mu(T^{-k}(A) \cap B \cap T^k(C)) - \mu(A)\mu(B)\mu(C)| = 0.$$

He showed that weakly mixing is necessary for density of the weakly independent partitions and 2-sided weakly mixing is sufficient.

Note: the distance between  $P = \{A, \dots, A_n\}$  and  $\bar{P} = \{\bar{A}, \dots, \bar{A}_n\}$  is

$$\sum_{i=1}^n \mu(A_i \Delta \bar{A}_i).$$

Krengel also conjectured that weakly mixing was both necessary and sufficient.

Furstenberg proved this by showing weakly mixing was weakly mixing of all orders [F].

The present paper is devoted to the study of analogous results for measure preserving actions of the infinite direct sum of copies of a finite field  $F$ . We denote this group  $F^{\mathbb{N}}$ .

The study of measure preserving actions of such groups has led recently to interesting combinational applications. In particular a multiple recurrence theorem analogous to Furstenberg's ergodic Szemerédi theorem is true, and allows one to prove a density version of the so-called geometric Ramsey theorem (see [B] section 3).

What we show here is that if  $\{T_g\}_{g \in F^{\mathbb{N}}}$  is a weakly mixing action then not only are weakly mixing partitions dense, but the independent takes place along an infinite subgroup of  $F^{\mathbb{N}}$ , isomorphic to  $F^{\omega}$ . Moreover this subgroup action is in fact Bernoulli.