Catalysis
by
Metal
Complexes

Catalytic Synthesis of Alkene-Carbon Monoxide Copolymers and Cooligomers

edited by Ayusman Sen

# CATALYTIC SYNTHESIS OF ALKENE-CARBON MONOXIDE COPOLYMERS AND COOLIGOMERS

edited by

Ayusman Sen
Department of Chemistry,
The Pennsylvania State University, U.S.A.



KLUWER ACADEMIC PUBLISHERS

DORDRECHT / BOSTON / LONDON

A C.I.P. Catalogue record for this book is available from the Library of Congress.

ISBN 1-4020-1129-6

Published by Kluwer Academic Publishers, P.O. Box 17, 3300 AA Dordrecht, The Netherlands.

Sold and distributed in North, Central and South America by Kluwer Academic Publishers, 101 Philip Drive, Norwell, MA 02061, U.S.A.

In all other countries, sold and distributed by Kluwer Academic Publishers, P.O. Box 322, 3300 AH Dordrecht, The Netherlands.

Printed on acid-free paper

All Rights Reserved © 2003 Kluwer Academic Publishers

No part of this work may be reproduced, stored in a retrieval system, or transmitted in any form or by any means, electronic, mechanical, photocopying, microfilming, recording or otherwise, without written permission from the Publisher, with the exception of any material supplied specifically for the purpose of being entered and executed on a computer system, for exclusive use by the purchaser of the work.

Printed in the Netherlands.

# CATALYTIC SYNTHESIS OF ALKENE-CARBON MONOXIDE COPOLYMERS AND COOLIGOMERS

## Catalysis by Metal Complexes

#### Volume 27

#### Editors:

Brian James, University of British Columbia, Vancouver, Canada Piet W. N. M. van Leeuwen, University of Amsterdam, The Netherlands

## Advisory Board:

Albert S.C. Chan, The Hong Kong Polytechnic University, Hong Kong Robert Crabtee, Yale University, U.S.A.

David Cole-Hamilton, University of St Andrews, Scotland István Horváth, Eotvos Lorand University, Hungary Kyoko Nozaki, University of Tokyo, Japan Robert Waymouth, Stanford University, U.S.A.

# Contents

Chapter 1. Introduction and Future Directions  Ayusman Sen	1
1. Introduction	1
2. FUTURE DIRECTIONS	4
Chapter 2. Palladium-Catalysed Synthesis of Mono-esters, -ketones, and -aldehydes/alcohols	9
Robert I. Pugh and Eite Drent	0
1. Introduction	9
	11
	12
	15
	15
i i i i i i i i i i i i i i i i i i i	16
3. PRECISE CONTROL OF THE OXO-SYNTHESIS	17
3.1. Chemoselectivity	18
3.1.1. Elementary steps in hydrocarbonylation	21
3.1.2. The interplay of ligands and anions: palladium	22
electrophilicity	
3.1.3. Hydroformylation vs. hydroacylation	23
20 D : 1 : : : : : : :	26
2.2.1 Observed:	26
2.2.2 Dii	28
2.2 III: -1.1 - CC: '	29
3.4. Conclusions	31

Chapter 3. Production of Cooligomers from Carbon Monoxide and Ethene	37
David J. Cole-Hamilton and Ruth A. M. Robertson	
1. Introduction	37
1.1. Production of methyl propionate or polyketones from ethene and carbon monoxide	37
1.2. Controlling chain growth to give cooligomers of ethene and CO	39
1.2.1. Increasing chain growth using monodentate ligands	39
1.2.2. Inhibiting chain growth using bidentate ligands	40
1.2.3. Selective formation of 3-pentanone	41
2. OLIGOKETONE SYNTHESIS	43
2.1. Unidentate phosphines	43
2.1.1. Extending chain growth through controlling the reaction	43
conditions	
3. BIDENTATE LIGANDS	49
3.1. Restricting chain growth through the ligand backbone	49
3.1.1. General considerations	49
3.1.2. Alternative mechanism leading to early termination of	53
chain growth	
3.1.3. Proposed mechanism for the formation of methyl	54
propionate catalyzed by palladium complexes of	
Bu <sup>t</sup> <sub>2</sub> PCH <sub>2</sub> C <sub>6</sub> H <sub>4</sub> CH <sub>2</sub> PBu <sup>t</sup> <sub>2</sub> or Bu <sup>t</sup> <sub>2</sub> P(CH <sub>2</sub> ) <sub>3</sub> PBu <sup>t</sup> <sub>2</sub>	
3.1.4. Proposed mechanisms of cooligomerization using	57
diphosphines with different back-bone lengths	
4. TERMINATION MECHANISMS	58
5. CONTROLLING CHAIN GROWTH THROUGH THE USE OF ALTERNATIVE	61
METALS	
5.1. Rhodium based catalysts	61
5.1.1. Effects of electron density on rhodium upon product	61
distributions	
5.1.2. The origin of hydrogen atoms for the production of	62
diketone products	
5.1.3. The effect of added bases on the product distribution using	64
electron poor rhodium catalysts	
5.1.4. Termination mechanisms for rhodium based catalysts	66
5.1.5. The anomalous reduced yield of 3-pentanone when using	67
electron poor rhodium catalysts	
5.1.6. Increased selectivity to cooligomerization in electron rich	73
rhodium systems through ligand design	
5.2. Metals other than palladium or rhodium	77
6. SELECTIVE FORMATION OF 3-PENTANONE	78
6.1. Rhodium based catalysts	78
6.2. Palladium based systems	78

		V11
	<ul><li>6.3. Cobalt based systems</li><li>6.4. Formation of 3-pentanone under hydroformylation conditions</li></ul>	79 82
	7. Conclusions	82
Cha	apter 4. Catalytic Synthesis of Copolymers and Terpolymers	87
,	W. P. Mul, A. W. van der Made, A. A. Smaardijk, and E. Drent	07
	<ol> <li>INTRODUCTION</li> <li>PHYSICAL PROPERTIES OF ALIPHATIC POLYKETONES</li> </ol>	87
	3. COPOLYMERIZATION	88
	3.1. Perfectly Alternating Copolymers	90
	3.2. Catalysts for CO/ α-olefin copolymerization	90
	3.2.1. Palladium-based catalysts	93
	3.2.2. Nickel-based catalysts	93 96
	3.3. Non-perfectly alternating copolymers	97
	3.4. Mechanistic Aspects	98
	3.4.1. Propagation	98
	3.4.2. Initiation and Termination	100
	4. PROCESS DEVELOPMENT	103
	4.1. Development of a catalyst for the commercial process	103
	4.2. Process and product consistency	105
	4.3. Manufacturing process	106
	5. DETAILED INVESTIGATION OF LIGAND EFFECTS	107
	5.1. The effect of phosphine substituents	109
	5.1.1. Electronic effects	109
	5.1.2. Steric effects	111
	5.1.3. Kinetic aspects	112
	5.2. The effect of the bridge structure	113
	5.2.1. Bridge length	113
	5.2.2. The effect of bridge substituents	113
	5.2.2.1. Bridge substituent effects in DPPP-type ligands	114
	5.2.2.2. Bridge substituent effects in Dapp-type ligands	115
	5.2.3. Effect of the bridge structure on kinetics	116
	6. THE PHYSICAL STATE OF THE CATALYST	118
	7. INITIAL CATALYST PERFORMANCE	120
	7.1. Quenched flow	120
	7.2. Quenched flow observations	122
	7.3. Rate drop and polymer morphology build up in batch slurry	126
	polymerizations	107
	7.4. On the possible molecular origin of ligand bridge substituent effects	12/
	8. ON THE POSSIBLE ROLE OF OXIDANT PROMOTORS	128
	OF OH THE LOSSIBLE ROLE OF CAIDANT FROMOTORS	140

9. SUMMARY AND OUTLOOK	131
Chapter 5. Chain Transfer Mechanisms	141
Piet W. N. M. van Leeuwen	
1. INTRODUCTION	141
1.1. History	141
1.2. Elementary steps for making initiating species	142
1.3. Elementary steps for chain transfer reactions	148
1.4. Switching of the initiators	153
1.5. Dormant states	155
2. POLYMERIZATIONS	156
2.1. Ethene and carbon monoxide	156
2.2. Phosphine ligand effects for ethene and carbon monoxide	164
2.3. Ethene and carbon monoxide in aprotic solvents	167
2.4. Propene and carbon monoxide	168
2.5. Styrene and carbon monoxide	168
3. OLIGOMERIZATIONS	171
3.1. Ethene, carbon monoxide and water	171
3.2. Styrene, carbon monoxide, and dihydrogen	172
3.3. Ethene, propene and carbon monoxide	173
4. FORMATION OF ESTERS AND ACIDS	174
4.1. Hydrocarbonylation	174
4.2. Methoxycarbonylation of ethene	178
4.3. Methoxycarbonylation of other substrates	184
not intend y substitution of substitutes	
Chapter 6. Stereochemical Aspects of Cooligomerization and	189
Copolymerization	
Giambattista Consiglio and Barbara Milani	
1. Introduction	189
2. AROMATIC OLEFINS (STYRENE)	191
2.1. C <sub>20</sub> -Symmetry ligands	191
2.2. C <sub>s</sub> -Symmetry ligands	193
2.3. C <sub>2</sub> -Symmetry ligands	194
2.4. C <sub>I</sub> -Symmetry ligands	196
3. ALIPHATIC OLEFINS (PROPENE)	202
3.1. Regio- and stereoirregular copolymers	202
3.2. Regio- and stereoregular copolymers	202
4. CYCLOPOLYMERIZATION	210
5. FINAL REMARKS	213
Chapter 7. Synthesis of Chiral, Optically Active Copolymers	217
Kyoko Nozaki	

1. Introduction	217
2. COPOLYMERIZATION OF PROPENE OR HIGHER 1-ALKENES WITH	218
CARBON MONOXIDE	
2.1. Ligands and polymerization conditions	218
2.2. Spiroketal formation	221
2.3. Enantioselectivity	222
2.4. Higher 1-Alkenes	226
3. COPOLYMERIZATION OF STYRENE OR ITS DERIVATIVES WITH CARBON MONOXIDE	226
4. COPOLYMERIZATION OF OTHER OLEFINS WITH CARBON MONOXIDE	230
5. ASYMMETRIC TERPOLYMERIZATION OF MORE THAN TWO KINDS OF	232
OLEFINS WITH CARBON MONOXIDE	
6. POLYKETONE CONFORMATION	233
7. Conclusion	234
Chapter 8. Chain Propagation Mechanisms	237
Ayusman Sen	231
1. INTRODUCTION	237
2. PALLADIUM (II) BASED SYSTEMS	238
3. NICKEL (II) BASED SYSTEMS	256
4. RHODIUM (I) BASED SYSTEMS	257
5. CONCLUSION	261
	265
Peter Margl, Artur Michalak, and Tom Ziegler	
1. INTRODUCTION	265
2. COPOLYMERIZATION OF CARBON MONOXIDE WITH ETHYLENE	267
2.1. Experimental and calculated rates for the insertion processes for copolymerization catalysed by Pd(II) systems.	267
2.2. A more detailed look at the productive and unproductive cycles	270
in copolymerization catalysed by Pd(II) complexes.	2,0
2.2.1. The productive cycle	270
2.2.2. C <sub>2</sub> H <sub>4</sub> misinsertion into an ethylene terminated polyketone	275
chain	
2.3. Experimental and calculated rates for the insertion processes for	277
alternating copolymerization catalyzed by Ni(II) systems	
3. COPOLYMERIZATION OF OLEFINS WITH POLAR MONOMERS OTHER	280
THAN CO	
3.1. Preferred binding mode of oxygen containing monomers	282
3.2. Preferred binding mode of nitrogen containing monomers	285
3.3. Ethylene-methyl acrylate copolymerization catalyzed by Pd-	287
based diimine catalysts.	

	3.4. Ethylene-Methyl Acrylate Copolymerization Catalyzed by Ni-	303
	based Diimine Catalysts	
	4. CONCLUDING REMARKS	305
Inde	X	309

## Chapter 1

## INTRODUCTION AND FUTURE DIRECTIONS

Ayusman Sen

Department of Chemistry, The Pennsylvania State University, University Park, Pennsylvania, 16802

## 1. INTRODUCTION

The discovery of facile catalytic alternating olefin/carbon monoxide copolymerization (Chapter 4) [1] has significantly impacted the fields of polymer science, organometallic chemistry, and catalysis. Below we discuss some of the highlights and unsolved problems.

One of the most significant advantage that the olefin/carbon monoxide copolymers have over other functional polymers is the cost of monomers: both carbon monoxide and simple olefins are plentiful and inexpensive. This can be compared, for example, with nylons which have physical and mechanical properties comparable to the alternating ethylene/carbon monoxide copolymer [1c,d,f]. The diamines, diacids, and lactams that are the nylon precursors are typically synthesized through multistep processes and are much more expensive [2].

The alternating olefin/carbon monoxide copolymerization procedure allows the synthesis of a host of new functional materials because of the ability to incorporate functionalized olefins. The resultant materials are likely to be of considerable practical importance given the varied applications of the presently known functional polymers [3]. Examples of functional groups present on olefins that have been successfully used as monomers in the copolymerization reaction include alcohol, ether, epoxide, carboxylic acid, amide, carbamate, perflouro alkyl and aryl [1c,e,f,g, 4]. The one class of olefins that does not undergo ready copolymerization with carbon monoxide are those that have the functionality directly adjacent to the C=C bond, e. g. acrylates, methacrylates, and vinyl carboxylates. In this

sense, olefin/carbon monoxide copolymerization fills a gap between Ziegler-Natta type and radical polymerizations. The former typically uses early transition metals that do not tolerate oxygen or nitrogen functionalities [5]. On the other hand, radical polymerization of olefins do not proceed well unless a stabilizing functionality is present next to the C=C bond. Thus, the discovery of olefin/carbon monoxide copolymerization allows access to a new group of monomers.

One particularly interesting example of a new material synthesized through alternating olefin/CO copolymerization is illustrated in eq. 1 and involves the two-step synthesis of poly(ketovinylene) [6]. The synthesis of optically active, isotactic,  $\alpha$ -olefin/CO copolymers through the use of chiral ligands is another noteworthy achievement (Chapters 6 and 7). The resultant materials are very rare examples of optically active, chiral main-chain polymers synthesized from achiral monomers. These materials exhibit the unusual phenomenon of solid-state steric recognition, forming stereo complexes with properties that are markedly different from those of the chiral components [7]. Other examples of mew materials synthesized include polymeric liquid crystals [8], polymeric crown ethers [9], and thermoplastic elastomers [1f].

$$+ co \xrightarrow{Pd(II)}$$
  $A \longrightarrow \begin{bmatrix} \\ \\ \\ \end{bmatrix}_n$   $A \longrightarrow \begin{bmatrix} \\ \\ \end{bmatrix}_n$   $A \longrightarrow \begin{bmatrix} \\ \\ \\ \end{bmatrix}_n$   $A \longrightarrow \begin{bmatrix}$ 

Apart from the ability to use functionalized olefins in the copolymerization reaction, the presence of the reactive carbonyl group in the polymer backbone provides avenues for further functionalizations. Indeed, about two dozen polymers incorporating a variety of functional groups have been previously synthesized from the *random* ethylene-carbon monoxide copolymer (C<sub>2</sub>H<sub>4</sub>: CO > 1) made through radical-initiated polymerization [1a]. Since carbon monoxide does not homopolymerize, the *alternating* olefin-carbon monoxide copolymers (olefin: CO = 1) have the highest possible concentration of the reactive carbonyl groups. Additionally, the 1,4-arrangement of the carbonyl groups in the alternating olefin-carbon monoxide copolymers provides additional functionalization pathways (e.g., Figure 1-1) [10]. Indeed, a cross-linking technology leading to thermoset and thermoreversible resins has been developed based on the reaction with primary amines (see Chapter 4) [11].

One remarkable feature of the olefin/carbon monoxide copolymerization catalysts is their tolerance for a wide range of solvents. Thus, apart from the usual organic solvents, the polymerization has been carried out in water [12], ionic liquids [13], and supercritical carbon dioxide [14]. Also successful has been emulsion polymerization in water, leading to stable latices [15].

Figure 1-1. Examples of functionalizations involving 1,4-keto groups in olefin/CO copolymers [10].

The catalytic copolymerization of olefins with carbon monoxide has also had a significant impact on organometallic chemistry and catalysis. It has led to a blossoming of the area of catalysis by electrophilic, cationic, late transition metal compounds. Studies on ligand effects have resulted in the discovery of new highly active systems that allow the directed synthesis of compounds ranging from low molecular weight esters, ketones, and aldehydes (Chapters 2 and 3) to high molecular weight copolymers (Chapter 4). Additionally, copolymerizations with high regio, stereo, and enantioselectivity have been achieved (Chapters 6 and 7).

Fundamental studies on the copolymerization reaction has led to the discovery of novel chain initiation and termination processes (Chapter 5). The chain-propagation mechanism has been shown to consist of two alternating steps [1b]: the insertion of carbon monoxide into a metal-alkyl bond and the insertion of olefin into a metal-acyl bond (leading to the formation of a  $\beta$ -chelate because of carbonyl group coordination) (Chapters 8 and 9). The perfectly alternating structure of the copolymer arises from a combination of thermodynamic and kinetic constraints [1b,16]. The double insertion of carbon monoxide (i.e., carbon monoxide insertion into the metal-

acyl bond) is thermodynamically uphill. On the other hand, the double insertion of olefin (i.e., olefin insertion into metal-alkyl bond) is kinetically disfavored due to (a) the stronger binding ability of carbon monoxide and (b) its faster rate of insertion. Finally, a number of systems have been discovered that effect the living polymerization of olefins, as well as allenes, with carbon monoxide, thereby making it possible to form block copolymers [17].

## 2. FUTURE DIRECTIONS

What are some of the unsolved problems in the copolymerization reaction? First, one of the consequences of the special chain-growth mechanism is that, with one exception, it has not been possible to vary the ratio of olefin to carbon monoxide units in the polymer backbone. While the successive insertions of two carbon monoxide molecules is precluded on thermodynamic grounds, there is no such bar for the successive insertions of olefin units. However, as described above and in Chapters 8 and 9, a combination of factors prevents this from occurring. Very recently, Drent has reported *neutral* palladium(II)-based compounds containing specific P-O chelating ligands that catalyze the formation of ethylene/carbon monoxide copolymers with a  $C_2H_4$ : CO > 1 [18]. Polyolefins with a few carbonyl units in the backbone are expected to provide properties (e.g., better adhesion) that are currently difficult to obtain with pure polyolefins.

One class of olefins that does not undergo ready copolymerization with carbon monoxide are those that have the functionality directly adjacent to the C=C bond, e. g. acrylates, methacrylates, and vinyl carboxylates. The problem is not the failure of these olefins to undergo migratory insertions: methyl acrylate and vinyl acetate have been shown to undergo facile 2,1-insertion into Pd-acyl bonds (e.g., Figure 1-2) [19]. Following insertion, it is the carbonyl of the former acyl group rather than that of the olefin that coordinates to the metal. This is in contrast to the observed coordination of the acrylate carbonyl following insertion in the palladium(II) catalyzed copolymerization of olefins with acrylates, a phenomenon that is responsible for significant rate attenuation in the acrylate/olefin copolymerization [20] (see Chapter 9). Thus, the stumbling block in the alternating copolymerization of acrylates, methacrylates, and vinyl carboxylates with carbon monoxide is *not* olefin insertion but rather the insertion of carbon monoxide *following* olefin insertion.

Figure 1-2. Model study involving acrylate insertion into palladium-acyl bonds [19d].

A final area of alternating olefin/carbon monoxide copolymerization that does not appear to have been adequately investigated involves catalysts based on metals other than palladium. Nickel(II) complexes have been investigated but have found little success in olefin/carbon monoxide copolymerization since they exhibit significantly lower activity when compared to their palladium(II) analogs (Chapter 4) [21]. Rhodium (I) complexes have also been shown to be active in alternating cooligomerization of ethylene, as well as norbornadiene, with carbon monoxide [22]. In addition,  $\pi$ -allylrhodium complexes serve as catalysts for the alternating copolymerization of arylallenes with carbon monoxide [23]. Lastly, there is a report on copper(II)-catalyzed copolymerization of styrene

with carbon monoxide [24]. The above reports strongly suggest that active olefin/carbon monoxide catalysts based on a variety of late transition metals may be waiting to be discovered.

A possible starting point in the hunt for new olefin/carbon monoxide copolymerization catalysts involves the known hydroformylation catalysts. The key intermediate in the hydroformylation mechanism is a metal-acyl species formed by successive insertions of olefin and carbon monoxide into an initial metal-hydride bond [25]. The final step in hydroformylation is the conversion of this metal-acyl species to the aldehyde by hydrogenolysis. However, under conditions of low hydrogen and high olefin and carbon monoxide concentrations, further successive insertions of olefin and carbon monoxide into the metal-acyl bond should occur, resulting in the alternating cooligomerization and copolymerization of the olefin with carbon monoxide [22a,b].

### REFERENCES

- Reviews: (a) Sen, A. Adv. Polym. Sci., 1986, 73/74, 125. (b) Sen, A. Acc. Chem. Res.
   1993, 26, 303. (c) Drent, E.; Budzelaar, P. H. M. Chem. Rev. 1996, 96, 663. (d) Sommazzi,
   A.; Garbassi, F. Prog. Polym. Sci., 1997, 22, 1547. (e) Nozaki, K.; Hiyama, T. J.
   Organomet. Chem. 1998, 576, 248. (f) Abu-Surrah, A. S.; Rieger, B. Top. Catal. 1999, 7,
   165. (g) Bianchini, C.; Meli, A. Coord. Chem. Rev. 2002, 225, 35.
- 2. Nylon Plastics Handbook; Kohan, M. I., Ed.; Hanser/Gardner: Cincinnati, 1995.
- 3. Recent reviews: (a) Functional Polymers: Modern Synthetic Methods and Novel Structures; Patil, A. O.; Schulz, D. N.; Novak, B. M., Eds.; American Chemical Society: Washington, D. C., 1998. (a) Akelah, A.; Moet, A. Functionalized Polymers and Their Applications; Chapman & Hall: New York, 1990. (b) Functional Polymers; Bergbreiter, D. E.; Martin, C. R., Eds.; Plenum: New York, 1989. (c) Sherrington, D. C.; Hodge, P. Syntheses and Separations using Functional Polymers; Wiley: New York, 1988.
- (a) Kacker, S.; Jiang, Z.; Sen, A. Macromolecules, 1996, 29, 5852. (b) Lee, J. T.; Alper, H. Chem. Commun. 2000, 2189. (c) Moineau, C.; Mele, G.; Alper, H. Can. J. Chem. 2001, 79, 587. (d) Murtuza, S.; Harkins, S. B.; Sen, A. Macromolecules, 1999, 32, 8697. (e) Di Benedetto, S.; Consiglio, G. Helv. Chim. Acta 1997, 80, 2204. (f) Nozaki, K.; Shibahara, F.; Hiyama, T. Can. J. Chem. 2001, 79, 593.
- 5. Boffa, L. S.; Novak, B. M. Chem. Rev. 2000, 100, 1479.
- 6. Safir, A. L.; Novak, B. M. J. Am. Chem. Soc. 1998, 120, 643.
- 7. Jiang, Z.; Boyer, M. T.; Sen, A. J. Am. Chem. Soc., 1995, 117, 7037.
- 8. Nozaki, K.; Kawashima, Y.; Oda, T.; Hiyama, T.; Kanie, K.; Kato, T. *Macromolecules* **2002**, *35*, 1140.
- Klok, H.-A.; Eibeck, P.; Schmid, M.; Abu-Surrah, A. S.; Moller, M.; Rieger, B. Makromol. Chem. Phys. 1997, 198, 2759.
- (a) Sen, A.; Jiang, Z.; Chen, J.-T. Macromolecules 1989, 22, 2012.
   (b) Jiang, Z.; Sen, A. Macromolecules 1992, 25, 880.
   (c) Jiang, Z.; Sanganeria, S.; Sen, A. J. Polym. Sci.: A 1994, 32, 841.
   (d) Green, M. J.; Lucy, A. R.; Lu, S.; Paton, R. M. J. Chem. Soc., Chem.