## risisof estern ociolog

.Alvin W. Gouldner

#### THE

# COMING CRISIS OF WESTERN SOCIOLOGY

#### ALVIN W. GOULDNER

Max Weber Research Professor of Social Theory Washington University, St. Louis

BASIC BOOKS, INC.

PUBLISHERS

New York

Copyright © 1970 by Alvin W. Gouldner Library of Congress Catalog Card Number: 77–110771

ISBN: 0-465-01278-7 (cloth)
ISBN: 0-465-01279-5 (paper)

Printed in the United States of America
DESIGNED BY VINCENT TORRE
10 9 8 7 6 5 4 3 2 1

#### Preface

Social theorists today work within a crumbling social matrix of paralyzed urban centers and battered campuses. Some may put cotton in their ears, but their bodies still feel the shock waves. It is no exaggeration to say that we theorize today within the sound of guns. The old order has the picks of a hundred rebellions thrust into its hide.

While I was working on this study, one of the popular songs of the time was "Come on Baby, Light My Fire." It is characteristic of our time that this song, which is an ode to urban conflagration, was made into a singing commercial by an auto manufacturer in Detroit, the very city whose burning and looting it celebrated. One wonders: Is this "repressive tolerance," or is it, more simply, that they just do not understand? It is this context of social contradictions and conflicts that is the historical matrix of what I have called "The Coming Crisis of Western Sociology." What I shall be examining here is the reflection of these conflicts in the idiom of social theory.

The present study is part of a larger work plan, whose first product was *Enter Plato*, and whose objective is to contribute to an historically informed sociology of social theory. The plan envisages a series of studies called "The Social Origins of Western Social Theory," and I am now at work on two other volumes in it. One of these is on the relation of the nineteenth century Romantic movement to social theory, and another is a study in which I hope to connect the various analytic threads, presenting a more systematic and generalized sociological theory about social theories.

viii Preface

Like others, I owe much to many. I am particularly grateful to Dennis Wrong for a massive critique, at once sensitive and sensible, of the entire study. I am also indebted to Robin Blackburn, Wolf Heydebrand, Robert Merton, and S. Michael Miller for their trenchant suggestions concerning the chapter "What Happened in Sociology." I am deeply indebted to my graduate students at Washington University, perhaps most especially to Barry Thompson and Robert Wicke, for their criticism and encouragement of my work, in and out of our seminars together. My ideas on "methodological dualism" were developed in the course of working with William Yancey while I was his dissertation advisor. Admirers of England's Raymond Williams will also recognize that I have been much influenced by his emphasis on the "structure of sentiments."

I am also grateful to Orville Brim and the Russell Sage Foundation of New York for assistance that helped to make possible extensive European travel during 1965–1966 and without which this study would be a much different and, indeed, poorer one. While in Europe I was fortunate in having the assistance of a multilingual secretary, Manuela Wingate, and in the United States I had the great help of Adeline Sneider in preparing the manuscript. My thanks to both of them for their unflagging good humor, their technical skill, and their great capacity for work.

As I mentioned, this study is a part of a larger series on which I have been working and for which I have been preparing for the last twenty years. I have therefore felt free to draw upon certain of my previous publications and to use them here where they seemed appropriate. Conceiving of the present study as a work of synthesis, I have not felt compelled to inundate its pages with a sea of footnotes. If the substance and logic of what I say here does not convince, neither will the conventional rituals of scholarship. I shall not impose upon the reader's intelligence by making the usual perfunctory statement about where the final responsibility for the defects of this work resides.

ALVIN W. GOULDNER

January 1970 Washington University St. Louis, Missouri

#### **Contents**

#### PARTI

#### $Sociology:\ Contradictions\ and\ Infrastructure$

CHAPTER	1	Introduction: Toward a Critique of	
		Sociology	3
		SOCIOLOGY AS POPULAR CULTURE	4
		NEW SENTIMENTS, OLD THEORIES	7
		SOCIOLOGY AND THE NEW LEFT:	
		A PARADOX	9
		CRITICISM AND THE HISTORICAL	
		PERSPECTIVE	15
CHAPTER	2	Sociology and Sub-Sociology	20
		TOWARD A SOCIOLOGY OF SOCIOLOGY	25
		THE CHARACTER OF SOCIOLOGY	27
		BACKGROUND AND DOMAIN ASSUMPTIONS	29
		THE IMPORTANCE OF DOMAIN	
		ASSUMPTIONS: A RESEARCH NOTE	36
		SENTIMENTS AND THEORY	37
		PERSONAL REALITY AND SOCIAL THEORY	40
		THE INFRASTRUCTURE OF SOCIAL	
		THEORY	46
		THEORETICAL INFRASTRUCTURE AND	
		IDEOLOGY	47

x	Contents

		METHODOLOGY AS IDEOLOGY	49
		THE AUTONOMY OF SOCIAL STRUCTURE	
		AS DOMAIN ASSUMPTION	51
		THE CONTRADICTION OF AUTONOMY	54
CHAPTER	3	Utilitarian Culture and Sociology THE MIDDLE CLASS AND UTILITARIAN	61
		CULTURE	61
		ANOMIE: THE NORMAL PATHOLOGY OF	_
		UTILITARIANISM	65
		THE UNEMPLOYED SELF	73
		THE PECUNIARY PARADIGM OF UTILITY THE WELFARE STATE AND THE DISPOSAL	74
		AND CONTROL OF THE USELESS	76
		THE PSYCHEDELIC REVOLT AGAINST	•
		UTILITARIANISM	78
		THE LIMITS OF THE WELFARE STATE	70 80
		UTILITARIAN CULTURE AND	00
			82
		SOCIAL THEORY	02
CHAPTER	4	What Happened in Sociology: An	
		Historical Model of Structural	
		Development	88
		PERIOD I: SOCIOLOGICAL POSITIVISM	89
		Sociology as a Counterbalance to	-3
		Individualistic Utilitarianism	
		The Extrusion of the Economic from the	
		Social	
		Positivist Grand Theory and the Restoration Stalemate	
		Detachment and Objectivity	
		Positivism: Between Restoration and	
		Revolution	
		PERIOD II: MARXISM	108
		The Social Utilitarianism of Marxism	
		The Binary Fission of Marxism and	
		Academic Sociology Positivism and Subsequent	
		Functionalism	
		The Schism between Romantic and	
		Utilitarian Cultural Syndromes	
		PERIOD III: CLASSICAL SOCIOLOGY	116
		The Decline of Evolutionism and Rise of	
		Functionalism	
		Differentiation of the German and French Responses to Utilitarianism	

Contents xi

	Continuities between Positivism and Functionalism The Problem of Anthropology and Sociology in England Functionalism in English Anthropology The Extrusion of Religion Sociology's Integration into the University PERIOD IV: PARSONSIAN STRUCTURAL-	
	FUNCTIONALISM	138
	Structural-Functionalism as a Synthesis of French Functionalism and German Romanticism	
	The Sociology of Morals: A Structural	
	Lacuna in Sociology	
	Structural-Functionalism in the Context of the Great Depression The General Crisis of Middle-Class Society	
	and Parsonsianism	
	Internationalization of Academic Sociology Positivism and Parsons: From Scientism to Professionalism	
	THE BEGINNING OF A NEW PERIOD:	
	EMERGING TRENDS	157
	Marxism and Academic Sociology: Schism and Growing Polycentrism Parsonsianism: Impending Entropy	
	PART II  The World of Talcott Parsons	
CHAPTER	5 The Early Parsons	167
	THE IMPORTANCE OF PARSONS	167
	UNIVERSITY STRUCTURE AND	- ,
	THEORETICAL DETACHMENT	169
	PARSONS AT HARVARD	172
	THE DEBATE ABOUT CAPITALISM	178
	TOWARD THE PERFECTION OF	-, -
	CAPITALISM	182
	THE DRIFT TOWARD THEORETICAL	
	VOLUNTARISM	185
	ALIENATION AND VOLUNTARISM	189
	THE LIBERALIZATION OF FUNCTIONALISM	195
CHAPTER	6 Making the World Whole:	
	Parsons as a Systems Analyst	199

xii Contents

		NOTES TOWARD A SOCIOLOGY OF	
		THEORETICAL OBSCURITY	200
		THE CONCEPTUAL SYSTEM AS ICON	205
		PARSONS AS SYSTEM ANALYST	210
		PROBLEMS OF SYSTEM ANALYSIS	210
		SYSTEM INTERDEPENDENCE	213
		FUNCTIONAL AUTONOMY AND	
		INTERDEPENDENCE	215
		MORAL CODES AS CONDUCTORS OF	
		TENSION	217
		THE SOCIAL SYSTEM AND THE SELF	218
		ANOMIE AS DE-DIFFERENTIATION	224
		WEIGHTING THE SYSTEM ELEMENTS	226
		EQUILIBRIUM PROBLEMS	231
		DECLINING MARGINAL UTILITY OF	
		CONFORMITY	232
		CONSTRAINT AND THE PRICE OF	
		CONFORMITY	234
		SCARCITY AND SUPPLY OF	
		GRATIFICATIONS	236
		RECIPROCITY, COMPLEMENTARITY, AND	
		EXPLOITATION	239
		EQUILIBRIUM AND POWER DISPARITIES	242
CHAPTER	7	- · · · · · · · · · · · · · · · · · · ·	
		Religion, Piety, and the Quest for	
		Order in Functionalism	246
		LATENT IDENTITIES	246
		THE DURKHEIMIAN DILEMMA	248
		FUNCTIONALISM AND THE PROBLEM OF	
		ORDER	251
		RELIGION AND MORALITY IN	
		FUNCTIONALISM	<sup>2</sup> 54
		FUNCTIONALISM AND RELIGION:	
		SOME SURVEY DATA	258
		THE PIETY OF FUNCTIONALISM	262
		SOCIAL BASES OF MORAL CONCERN	266
		MORALITY AND IMPUTED	
		NONPARTISANSHIP	273
		POSITIVISM AND THE MORAL CRISIS OF	
		INDUSTRIALISM	274

Contents			xiii
		MORALITY AND SCARCITY UNDER	
		INDUSTRIALISM	278
		SOME DILEMMAS AND PROSPECTS	282
CHAPTER	8	Parsons on Power and Wealth	286
		THE PROBLEMATICS OF POWER	290
		MAKING AMERICAN SOCIETY WHOLE:	Ū
		THE IMPORTANCE OF BEING RICH	297
		TOWARD A SOCIOLOGY OF PROPERTY	304
		TALCOTT PARSONS ON C. WRIGHT MILLS	313
		ACHIEVEMENT, ASCRIPTION, AND THE	•
		FAMILY	320
		ANOMIE AND PROPERTY INSTITUTIONS	323
		DIFFERENTIATION OF THE PRESTIGE	•
		HIERARCHY AND MORAL CODE	326
		THE NATURE OF FUNCTIONALIST	•
		CONSERVATISM: A SUMMARY AND	
		OVERVIEW	331
		FUNCTIONALISM AS VALUE UNFREE	333
	_	PARTIII	
Th	e C	Coming Crisis of Western Sociology	
CHAPTER	9	The Coming Crisis of Western Sociology, I:	
		The Shift toward the Welfare State	34I
		THE WELFARE STATE AND	
		FUNCTIONALISM	342
		PRESSURE OF THE WELFARE STATE	344
		CHANGE THEORY	351
		ASPECTS OF PARSONS' CHANGE ANALYSIS	353
		THE DRIFT TOWARD MARXISM	354
		DIFFERENTIATION: THE FORCES VERSUS	
		THE RELATIONS OF PRODUCTION	357
		THE PARSONS-MARX CONVERGENCE IN	
		EVOLUTIONISM	362
		SMELSER AND MOORE: THE	
		FUNCTIONALIST CONVERGENCE	
		WITH MARXISM	368
CHAPTER	10	The Coming Crisis of Western Sociology, II:	
		The Entropy of Functionalism and the Rise of New Theories	070
		and the Rise of New Theories	373

xiv	Contents
NV	Coments

		ENTROPY AND THE SEED GROUP	374
		THE DISAFFECTION OF THE YOUNG	37 <del>4</del> 376
		OTHER SYMPTOMS OF THE CRISIS:	374
		GOFFMAN'S DRAMATURGY	378
		ETHNOMETHODOLOGY: SOCIOLOGY AS A	3/0
		HAPPENING	390
		HOMANS: THE TOUGH-MINDED WORLD	39*
		OF EXCHANGE	395
		THEORY AND ITS INFRASTRUCTURE	396
		NEW LEFT AND NEW INFRASTRUCTURE	399
		SOCIAL THEORY AND THE UNIVERSITY	402
		THEORY, INFRASTRUCTURE, AND NEW	-
		GENERATIONS	404
		SOCIOLOGY AND THE NEW LEFT	405
		RÉSUMÉ	410
			•
CHAPTER	11	From Plato to Parsons: The Infrastructure	
		of Conservative Social Theory	412
		THE PARTIALLY GOOD WORLD	414
		AMBIVALENCE TOWARD SOCIETY	415
		IS EVIL REAL?	417
		THE WORLD AS GOOD AND EVIL	418
		NO SOCIETY, NO HUMANNESS	419
		THE METAPHYSICS OF HIERARCHY	421
		AN ORDERLY WORLD	422
		THE LEGITIMATE AND THE AUTHENTIC	423
		DEVIANCE AND ANOMIE	425
		THE COSTS OF CONFORMITY	428
		INSATIABLE MAN	430
		PESSIMISM: DEATH AND THE	
		HUMAN CONDITION	432
		THE VIABILITY OF THE FUNCTIONALIST	
		INFRASTRUCTURE	435
		THE POTENTIAL OF A RADICAL SOCIOLOGY	437
		A NOTE ON THE FUTURE OF SOCIOLOGY	443
CHADTED	19	Notes on the Crisis of Marxism and the	
		Emergence of Academic Sociology in	
		the Soviet Union	447
		THE CRISIS OF SOVIET MARXISM:	447
		THE LINGUISTICS CONTROVERSY	450
		FUNCTIONALISM GOES EAST	452
		FUNCTIONALISM GUES EAST	455

Contents	xv

	ACADEMIC SOCIOLOGY IN THE	
	SOVIET BLOC	459
	SOCIAL SOURCES OF ACADEMIC SOCIOLOGY	733
	IN THE SOVIET UNION	463
	THE MANDATE OF SOVIET SOCIOLOGY:	
	SOCIETAL INTEGRATION	465
	A MODEL OF THE STRUCTURAL SOURCES	
	OF THE INSTITUTIONALIZATION OF	
	ACADEMIC SOCIOLOGY	467
	THE COMING READJUSTMENT IN WORLD	
	SOCIOLOGY	473
	INSTITUTES AND UNIVERSITY CONTEXTS	_
	FOR SOCIOLOGY	476
	PART IV	
	PARI IV	
Epilogue:	The Theorist Pulls Himself Tog	ether,
	Partially	
CHAPTER 13	Living as a Sociologist:	
	Toward a Reflexive Sociology	481
	SOCIAL THEORY AND PERSONAL REALITY	
	IN "THE COMING CRISIS OF WESTERN	
	SOCIOLOGY"	482
	SOCIAL WORLDS, PERMITTED AND	
	UNPERMITTED	484
	TOWARD A REFLEXIVE SOCIOLOGY	488
	SOCIOLOGY AND THE LIBERAL	
	TECHNOLOGUES	500
	REFLEXIVE SOCIOLOGY AND RADICAL	
	SOCIOLOGY	503
	REFLEXIVE SOCIOLOGY AS A WORK ETHIC	504
	HISTORY AND BIOGRAPHY: A SLIPPAGE	507
	REFLEXIVE SOCIOLOGY LOOKS AT ITSELF	510
Index		512

#### PARTI

## Sociology: Contradictions and Infrastructure

1

### Introduction: Toward a Critique of Sociology

The criticism and transformation of society can be divorced only at our peril from the criticism and transformation of theories about society. Yet the gap between theory and practice, so common in the history of American radical movements, is in some quarters growing wider. Some of the most militant of American radicals, in the New Left or in the movement for Black liberation, have at least temporarily avoided any serious concern with social theory.

This neglect of theory doubtless has various origins. In some part it is due to the fact that these social movements are still new and their political activism consumes their necessarily limited energies and resources; the new radicalisms will, in short, need time to produce their new theories. Although the neglect of theory is scarcely peculiar to Americans, it is in part also due to the fact that American radicals are often more American than they know and may prefer the tangible outcomes of pragmatic politics to the intangible outputs of theory. Again, part of their neglect of theoretical problems is probably due to the close links that some young radicals have with the "hippie" contingent of their generation, whose more expressive and aesthetic styles of rejecting American culture dispose them to avoid what they take to be the sterile "hassles" of intellectual confrontation. There is also a vocal minority who, as has been said, feel personally excluded when they hear an appeal to reason.

#### SOCIOLOGY AS POPULAR CULTURE

There are, however, other important sources of theoretical apathy among young American radicals today, and these, among other things, distinguish them from the radicals of the 1930's. One of these may well be the emergence of sociology, between the 1940's and the 1960's, as part of popular culture. Sociology then came of age, institutionally if not intellectually. It became a viable part of the academic scene: hundreds of thousands of American college students took courses in sociology; literally thousands of sociology books were written. At the same time, the newly emerging paperback book industry made these available as mass literature. They were sold in drugstores, railway stations, air terminals, hotels, and grocery stores, while, at the same time, increasing middle-class affluence made it easier for students to purchase them, even when not required as textbooks.

This mass availability of sociology (and the other social sciences) as part of everyday culture has had a paradoxical effect on the attitudes that some young people developed toward social theory and social problems. On the one side, the bookstore mingling of social science with other expressions of popular literature identified social science, by association, as part and parcel of the larger culture that the radicals rejected. Some young radicals thus came to distrust social theory because they experienced it as part of the prevailing culture. On the other side, however, sheer familiarity with the social sciences led some to accept it uncritically. For some young people the paperback sociology of the bookstore began to take the place of the earlier literature of radical criticism and protest.

Assimilating the social sciences as part of everyday culture, reading books about the nature of prejudice or poverty, the facts of life in America often seemed quite clear to them. Efforts to discuss theory might then seem to be an unnecessary obfuscation, a substitution of talking about problems for doing something about them. Viewing these researches against the background of their own values, they often experienced a simple moral revulsion rather than an intellectual stimulation. Theorizing, some came to believe, was a form of escapism, if not of moral cowardice.

Yet the neglect of self-conscious theory by radicals is both dangerous and ironic, for such a posture implies that—although they lay claim to being radical—they have in effect surrendered to one of the most vulgar currents of American culture: to its small-town,

Babbitt-like anti-intellectualism and know-nothingism. Moreover, if radicals wish to change their world, they must surely expect to do so only against the resistance of some and with the help of others. Yet those whom they oppose, as well as those with whom they may wish to ally themselves, will in fact often be guided by certain theories. Without self-conscious theory, radicals will be unable to understand, let alone change, either their enemies or their friends. Radicals who believe that they can separate the task of developing theory from that of changing society are not in fact acting without a theory, but with one that is tacit and therefore unexaminable and uncorrectable. If they do not learn to use their theory self-consciously, they will be used by it. Unable either to control or to understand their theories, radicals will thus in effect submit to one form of the very alienation that they commonly reject.

The profound transformation of society that many radicals seek cannot be accomplished by political means alone; it cannot be confined to a purely political embodiment. For the old society is not held together merely by force and violence, or expedience and prudence. The old society maintains itself also through theories and ideologies that establish its hegemony over the minds of men, who therefore do not merely bite their tongues but submit to it willingly. It will be impossible either to emancipate men from the old society or to build a humane new one, without beginning, here and now, the construction of a total counter-culture, including new social theories; and it is impossible to do this without a critique of the social theories dominant today.

The ambivalence toward theory among some sectors of the New Left, the simultaneous sense of its irrelevance and of its necessity, was clearly expressed by Daniel Cohn-Bendit, one of the leading activists in the French student rebellion that began at Nanterre in the spring of 1968: the anarchists, he remarked, "have influenced me more by certain activities than by their theories . . . theoreticians are laughable." At the same time, however, Cohn-Bendit also observed "the existence of a gap between theory and practice . . . we are trying to effectively develop a theory."

That theory has had an effect upon the emerging New Left, whatever the attitude toward it, is evidenced, among other things, by the role of the "Frankfurt school of critical sociology"—including Jurgen Habermas, Theodor Adorno, and Max Horkheimer—which has been said to be "as important as any single event" in the political revitalization of the Sozialisticher Deutscher Studentbund from 1961–1965. Also, there is the international responsiveness of the new radicals to the work of another member of that school, Herbert Marcuse, whose practical importance was backhandedly acknowledged by recent Soviet critiques of his theory. Yet even