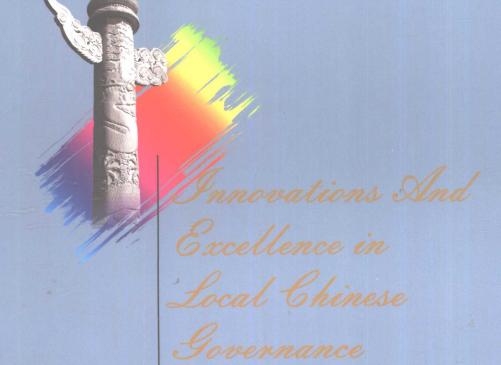
中国地方政府 创新 2002



Edited by Yu Keping

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中共中央编译局比较政治与经济研究中心 中共中央党校世界政党比较研究中心

中国地方政府创新2002



Edited by Yu Keping

俞可平

主编



中共中央编译局比较政治与经济研究中心中共中央党校世界政党比较研究中心

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翻印必究

创新对于我们正在进行的社会主义现代化事业具有至关重要的意义。正如江泽民总书记多次指出的那样,创新是一个民族的灵魂,是一个国家公的不竭动力。在现创国家代创国家公司,科技创新。面对经济和世界科技进步时新入全球化势,我们必须坚持解放,坚持解放,以事求是,勇于创新,开拓前进。

研究、总结、宣传和鼓励

地方政府在公共服务方面的这 些改革与创新,是理论工作者 义不容辞的光荣职责,也是理 论联系实际, 为社会主义现代 化建设实践服务的重要体现。 由权威性的学术机构对政府创 新行为进行研究和奖励,是世 界上许多国家的普遍做法,例 如菲律宾、巴西、阿根廷、南 非、美国、英国等。在美国, 在关于政府行为的奖励和荣誉 方面。一项权威性的和声誉很 高的奖项称为"美国政府创新 奖",它由著名的美国哈佛大学 肯尼迪政府学院承办。哈佛大 学每年组织若干著名的政治学 与行政学专家,对各级美国政 府的创新活动进行独立的研究 与评估、最后选拔产生10名 "政府创新奖"得主,该奖项在 美国享有很高的荣誉,获奖项 目的案例通常作为MPA的教材。

结合我国的具体情况并借鉴世界各国的经验,由权威性的学术研究部门来组织专家学者,运用其丰富的政治学和行政学专业知识,独立地对地方政府的改革与创新活动进行评估,不仅对于完善目前我国的

评估制度,消除各种评比先进 活动中存在的消极和腐败现象, 使评估具有更大的公正性和权 威性, 更好地研究并弘扬地方 政府改革与创新的先进经验和 模范案例,而且对于更好地激 励地方党政机关进行改革与创 新. 激励它们提供更好的公共 服务,都将具有重大的意义。 正是出于这样的宗旨。中共中 央编译局比较政治与经济研究 中心、中共中央党校世界政党 比较研究中心、中共中央《求 是》杂志社综合编辑部、中央 国家机关工委《紫光阁》杂志 社、中共中央编译局《马克思 主义与现实》杂志社, 联合发 起了这项"中国地方政府改革 与创新"研究及奖励计划。开 展了"中国地方政府改革与创 新"有奖征文活动,在此基础 上产生了张江杯"中国地方政 府创新"征文优胜奖和提名奖 名单。

该项活动一经启动后,得到了各有关部门领导、专家学者和社会各界的广泛支持。当有关该项活动的消息在《人民日报》等媒体发表后,在规定

的3个月时间中先后有320多个 地方党政部门正式参加了我们 发起的"中国地方政府改革与 创新"有奖征文申请活动。为 了体现评奖活动的权威性、科 学性和公正性, 我们组成了一 个由20名著名政治学和行政学 教授(研究员)组成的全国专 家委员会,他们负责审定获奖 标准和评选程序,同时严格按 照科学的评估程序和计分方法, 从320个申请项目中选拔出20个 候选项目。接着我们派出了由 中共中央编译局比较政治与经 济研究中心的专家学者为主的4 个实地考察评估小组,对20个 候选项目进行了认真严肃的调 查,写出了独立的评估报告。 最后, 我们组成了一个由德高 望重的原全国人大副委员长费 孝 通教 授 为首 的 全国 选 拔 委员 会,成员包括知名专家学者、

重要新闻媒体、企业家代表和有关部门领导。全国选拔委员会按照项目的创新程度、重要程度、自愿程度、效益程度、推广程度和节约程度等评选标准,在20个候选项目中遴选出10名优胜奖获得者。

这项活动的顺利开展,不仅离 不开中央和国家有关部门的支 持, 特别是中共中央编译局当 代所、中共中央党校党建部、 《求是》杂志社综合编辑部、中 央国家机关工委《紫光阁》杂 志社等单位的支持,也离不开 数百个直接参与该项活动的地 方党政部门的支持, 特别是优 胜奖和提名奖获得者所在单位 的支持。在此我对所有关心和 支持本项活动的领导和同志们 表示诚挚的感谢,我还要特别 感谢福特基金会和上海浦东新 区张江高科技园区对本次活动 的无条件支持。它们的有力赞 助为该项活动的圆满成功奠定 了物质的基础。我们热切希望 在今后的工作中继续得到社会 各界更多的支持与关心。使得 这项活动能够持续地进行下去。 而且越做越好。

俞可平

课题总负责人 中共中央编译局副局长 比较政治与经济研究中心主任(兼)

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"中国地方政府改革与创新"奖励及研究项目简介

INTRODUCTION TO THE AWARDS PROGRAM OF INNOVATIONS AND EXCELLENCE IN LOCAL CHINESE GOVERNANCE

1. 浦东张江杯"中国地方政府创新奖"的宗旨、性质及范围

浦东张江杯"中国地方政府创新奖"(征文奖,下同)是"中国地方政府改革与创新"研究与奖励计划的主要组成部分之一,旨在激励地方党政机关和群众团体的改革与创新,总结并弘扬地方改革与创新的先进经验和先进典型,推进地方党政机关的公共服务。

浦东张江杯"中国地方政府创新奖"是一项民间 奖、评奖活动由中共中央编译局比较政治与经济研究 中心、中共中央党校世界政党比较研究中心、《求是》 杂志社综合编辑部和《紫光阁》杂志社联合组织,由 全国专家委员会依据科学的评审程序和评估标准对申

请项目进行严格的评选,最后由全国选拨委员会选举产生108优胜者。

该研究和 奖励计划设全 国选拔委员会, 主任委员为费 孝通教授 (原 全国人民代表



俞可平教授

大会常务委员会副委员长);设全国专家委员会,主任委员为赵宝煦教授(北京大学中国国情研究中心名

誊主任),评奖活动总负责人为俞可平教授(中共中央编译局比较政治与经济研究中心主任)和王长江教授(中共中央党校世界政党比较研究中心主任)。

每个获奖项目将获得总计人民币8万元的奖金。该评奖活动的所有费用,包括奖金、全部由项目组织者承担。严禁项目组成员接受参评单位任何形式的资助,一经发现,立即取消项目组成员资格,同时取消资助单位的参评资格。但项目接受非参评的企业、基金会、个人和政府部门的捐助。在此、特别感谢福特基金会和上海浦东新区张江高科技园区对该项目的慷慨捐助。

凡是由地方政府和党团组织所从事的创造性公共服务或公共行政活动均属于 选拔范围。地方政府机关和党团群众组织 是指:县(包括县级市、城市的区)或县 级以下党政机关、工青妇群众组织和其他 合法的社会团体。

1. Summary of the Awards Program

The Awards Program of Innovations and Excellence in Local Chinese Governance is co-organized by China Center for Comparative Politics & Economics (CCCPE) and the Comparative Research Center for the Party of the Central Party School. Professor Yu Keping and Professor Wang Changjiang are directors of the program. The program aims to achieve three basic goals: A) to encourage local governments doing creative activities and innovative reforms in accordance with the market economy in the global age: B) to find, exchange and spread the excellent and advanced innovations in local governance; and C) to explore a new set of evaluation criterions and

王长江教授

The program established two committees, one is the National Experts' Committee on innovations and the other is the National Selection Committee. The former is in charge of setting up formalities and standards and initiating preliminary selections whereas the latter responsible for choosing the final ten winners. After the news of launching of the project was formally put on the most-widely published

procedures of good governance and government function in a bid to promote studies on China's politics.

Chinese newspaper. People's Daily. and on the most-widely published journal of Qiushi and other medias, there were more than three hundred and twenty local governmental organs submitted applications in succession. After strict evaluation and field investigation by experts, ten awards winners as well as ten nominee winners were selected. Their projects touch upon a variety of areas such as political transparency, civil society organizations, democratic elections, villagers' self-governance, integrity, hearing, poverty alleviation, women's political participation, administrative services and etc. Each of ten final winners will be awarded \gmathbb{\xi}80,000 (Chinese Yuan). The formal award ceremony will be held on March 18-20, 2002 in Beijing.

We are sincerely grateful of the Ford foundaton and the Management Board of Zhanjiang High-Tech Park for their financially supporting the first Awards Program in China.

2. 申请浦东张江杯"中国地方政府创新奖"必须具备的条件

组织性: 申请项目必须是由地方党政机关或其他合法的群众组织、社会团体有组织地从事的公共服务或公共行政活动;

公益性:申请项目必须是旨在促进社会公益的非营利性活动,所有带有营利倾向的活动均不在选拔范围之内;

创造性:申请项目必须具有首创性和开拓性,而不是刻板地 执行上级机关统一规定或安排的活动,也不是社会上许多其他机 关或组织已经或正在广泛地从事的公共活动;

效益性:申请项目必须被事实充分证明具有明显的社会效益, 而且已经得到活动对象和社会的充分认可;

时限性:申请项目必须在申请期限之前业已从事至少一年, 并发生效果。



2. ELITIGILITY FOR THE INNOVATIONS AND EXCELLENCE AWARDS

To be eligible for an Innovations and Excellence Award, a project must:

- I) Involve public service oriented activities;
- ii) Be done and administrated by local party and government agencies, worker's unions, Youth Leagues, and women associations of or under county level (including county-level city and districts of city);
- iii) Be well organized by local government and party agencies or other legal nongovernmental organizations and social institutions;
- iv) Be not-for-profit targeting at promoting social and public interests; all forprofit activities are not included in the scope of this award selection.
- v) Be creative and innovative with its own uniqueness, they should be neither the
 ones arranged by the higher authorities nor the duplications of practices by other
 governmental organizations or social institutions;
- vi) Have obvious social advantages, which have already been recognized by the project beneficiaries and organizers;
- vii) Have been in operation for at least one prior to the application deadline.





3. 浦东张江杯"中国地方政府创新奖"评选标准

创新程度:该项活动必须具有独创性,而不是模仿他人或死板地照搬上级机关的指示。创新程度愈高,就愈应受到表彰;

自愿程度:该项活动必须具有很大的自愿性,完全是由申请单位自愿发起的,而不是上级机关或辖区居民强迫的;

效益程度:该项活动必须具有明显的社会效益,这种效益必须业已被事实充分证明,或被受益者广泛承认;

重要程度:该项活动必须对人民生活或社会主义市场经济发展、民主政治和社会安定具有重要意义,

节约程度:该项活动必须尽量节约,不得增加受益者的财政负担,所需经费至少三分之二以上必须由经办单位支付,不得强行向受益者或其他企事业单位推派;

推广程度:该项活动必须具有推广意义,可以在其他地区被其他党政机关、群众组织或社会团体效仿。

3. Selection Criteria for the Awards



费孝通教授 (中)、俞可平教授 (左)、李惠斌教授 (右)

- i) Degree of innovation---project must be innovative, not the ones that imitate or duplicate others or the ones that have been carried out in accordance with orders or arrangement by higher authorities.
- ii) Degree of being voluntary---project must be done with the organizer's own willingness, not the ones that are forced by higher authorities or citizens within its jurisdiction.
- iii) Degree of social effect---project must demonstrate to have obvious social effect; there should be facts proving that these social effects have been recognized widely in society.
- iv) Degree of significance---project must be significant for people's living, economic development, advancement of democratic politics, and promotion of social security and stability.
- v) Degree of economy(cost effectiveness)---project must be cost-effective; it should not add financial burden to the project beneficiaries; project organizer must assume at least one-third of the project cost and should not force project beneficiaries or other organizations to share the cost.
- vi) Degree of transferability---project must have high values for transferability and can be duplicated by other party and governmental organs, non-governmental organizations or social institutions.

4. 浦东张江杯"中国地方政府创新奖"评选过程

- 1) 凡获得申请表格均可开始申请;
- 2) 受理申请的截止日期为2001年5月31日:
- 3) 全国专家委员会进行初评,确定20个候选项目;
- 4) 对专家委员会推选出的项目进行实地调查和访问;
- 5) 全国选拔委员会进行最终选拔,选出10个优胜项目;
- 6) 宣布获奖者并颁奖,对获奖项目在全国进行宣传和推广。

4. Application and Selection Procedure

- i) Make it public program information, initiate the application process, and publish application forms through party and government organizational systems and mass media.
- ii) Have initial screening of projects upon receiving applications by the research group.
- iii) Conduct research and investigation by the specialists' groups for the selected projects after initial screening and complete research and investigation report.
- iv) Select 20 candidate projects through the initial evaluation by the National Committee of Experts.
- v) Select 10 final project winners through the evaluation of the National Selection Committee.
- vi) Award the excellence projects that will be awarded with 80,000 yuan in RMB each.
- vii) Disseminate and transfer the projects' innovations and excellence.

5. 地方政府创新活动的类别

政府服务:如司法公正、政务公开、官员廉洁、群众监督、公民参政、民主选举、行政监察、法制宣传和普及等;

社会服务:如为青少年服务、为老年人服务、为妇女儿童服务、为消费者服务、为投资者服务等;

社区服务,如提供更好的居住环境、公益性的交通及基础设施、便民措施、社区救助、社区安全、社区职业服务、家庭服务等,

环境保护:水土保持、植树造林、野生动物保护、废物回收、水和大气保护、污水废气处理等;

社会安定:治安联防、警民关系、预防和惩治犯罪、消防举措、对罪犯的改造、安全教育、巡逻保卫、交通安全、恶性突发事件的平息等:

文化教育:义务教育、成人教育、老年教育、失学儿童返学、科学技术知识的宣传普及、改革不良风俗、文物保护、民族文化遗产的保存等;

医疗卫生: 救助患者、公益医疗设施、流行病的预防、义务医疗、保健服务、卫生条件的改善、全民体育等:

扶贫济弱:帮助贫困地区的人民、救济灾民、帮助下岗职工、再就业工程、对残疾人的照顾和帮助、对少数 民族的帮助等。



5. Classifications of Local Government Innovative Activities

- i) Governmental service and Institutional innovations. It includes services for justified legal enforcement, transparency of political information, openness of governmental affairs, cleanness of governmental officials, public monitoring and supervision, citizen's participation in politics, democratic election, administrative surveillance and supervision, and publicity of laws and regulations, etc.
- ii) Social service. It includes services provided for the youth and teenagers, the seniors, women and children, consumers, and investors, etc.
- iii) Community service. It includes services and activities providing a better residential environment, facilities of public transportation and infrastructure, measures for citizen's convenience, help for community residents, safety and security in community, family and professional service in communities, etc.
- iv) Environment protection. It includes activities of water and soil conservation, tree plantation and forestation, conservation of wild life, waste recycling, protection of water and air, treatment of waste water and polluted air, etc.
- v) Social security and stability. It includes services and activities targeting at promoting public order, security and joint defense, relation between police and citizen, prevention and punishment of criminals, measures of fire fighting, rehabilitation to criminals, security education, patrolling and guarding, security of transportation, resolution of emergencies and incidents, etc.
- vi) Culture and education. It includes activities that are related to compulsory education, adult education, education for the seniors, help provided to the drop-out students back to school, dissemination and popularization of science and technology, reforming of unsound social habits and customs, protection of cultural relics, conservation and promotion of national cultural heritage, etc.
- vii) Medical and health service. It includes services and activities that relate to helping the patients, construction or improvement of public medical facilities, prevention of epidemic disease, compulsory medical service, medical and health service, improvement of health conditions, and national physical exercise, etc.
- viii) Poverty reduction and assistance to the weak. It includes activities that are targeted at helping people in poor districts, assisting victims of natural calamities, helping the laid-off workers, developing job-relocation projects, assisting people with disabilities, helping people of minorities, etc.



6. 浦东张江杯"中国地方政府创新奖"申请表 Application Form for the Awards

·、申请获奖项目名称
二、项日组织者全称
· mar England der
三、所属部门
a
b
c社会团体
四、该项活动所涉及的人口数量
五、通讯地址
联系人姓名和地址:
联系电话: 传真:
E-mail:
六、该项目开始日期(年/月/日)
七、項目经費来源
八、您是通过什么方式知道"中国地方政府创新奖"评选活动的?
a. 报纸/杂志:
b.电视/广播:
c.会议:
d.大学:
e.因特网(说明哪个网站):
f.其他:

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九、该项目所属类型

1.行政服务	2.社会服务
a司法公正	a为青少年服务
b政务公开	b为老年人服务
c官员 廉 洁	c为妇女儿童服务
d群众监督	d为消费者服务
e公民参政	e为投资者服务
f民主选举	
g行政监察	
h法制宣传和普及等	
3.社区服务	4.环境保护
a提供更好的居住环境	a水土保持
b公益性的交通及基础设施	b植树造林
c便民措施	c野生动植物保护
d社区救助	d废物回收
e社区安全	e水和大气保护
f社区职业服务	f污水废气处理等
g家庭服务	
5.社会安定	6.文化教育
a治安联防	a义务教育
b警民关系	b成人教育
c预防和惩治犯罪	c老年教育
d消防举措	d失学儿童返学
e对罪犯的改造	e科学技术知识的普及
f安全教育	f改革不良风俗
g巡逻保卫	g文物保护
h交通安全	h文化遗产的保存
i恶性突发事件的平息等	
7.医疗卫生	8.扶贫济弱
a全民体育	a帮助贫困地区的人民
o救助患者	b
公益医疗设施	c帮助下岗职工
1流行病的预防	d再就业工程
·义务医疗	e照顾和帮助残疾人
保健服务	f对少数民族的帮助等
3	