加拿大毅伟管理学院 清华大学经营学院

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# Communication for Managers

Norman B. Sigband Arthur H. Bell

SIXTH EDITION 英文版・第 ⑥ 版

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### 序 言 1

此次首批专为中国影印的系列教材直接配合中国工商管理硕士课程的各门必修课程,而 且是由加拿大西安大略大学毅伟管理学院的任课教授们专门参予选择的。

毅伟管理学院是加拿大西安大略大学(UWO)所属的一所专业学院,而西安大略大学是加拿大历史最悠久的大学之一。毅伟管理学院的本校在安大略省伦敦市,同时在多伦多的密西沙加设有分校,而且最近在香港也建立了分校。

历史地看,毅伟管理学院一直是管理学开发领域的领导者,现将部分成就列后,标示毅 伟管理学院日新月异的发展:

- 1922 年, 创立了加拿大第一个培养本科生的商学系。
- 1932年,开始出版杂志《毅伟商学季刊》。该杂志发行到 25 个国家,发行量多达 10 000册,深受学术界和管理界读者的喜爱。
- 1948年,在加拿大首次设立行政管理人员培训计划以及工商管理硕士课程。
- 1961 年,首创了加拿大的第一个商学博士课程。
- 1974 年,被联邦政府正式指定为加拿大第一个(现在已发展到8个)国际商业研究中心(CIBS,即美国CIBERS的前身),联邦外交部对该中心持续提供财政支援。
- 1975年,毅伟管理学院成立了自己的出版社。该部门目前拥有2000个加拿大的案例,并成为哈佛案例的加拿大资料交换所。1998年,毅伟管理学院的案例已分销至20多个国家的100多所学院和100多家企业。毅伟管理学院是全世界管理学案例研究的第二大制作者,每年向校外读者分销案例教材超过100万册。
- 1978年开始实施第一项国际学生交换计划,目前该交换计划已增至20余项。
- 1984 年毅伟管理学院在加拿大联邦政府的支持下,在伦敦市组建国家管理学研究与 开发中心的过程中发挥了主导作用。
- 1984年,是毅伟管理学院和清华大学连续合作开始的第一年,合作内容包括:交换 访问学者、教材编写与出版以及各种培训活动。
- 1992 年, 毅伟管理学院被选为主导性的国际商学刊物,即《国际商学研究杂志》在 1993 年至 1997 年间的编辑总部。
- 1993年,《加拿大商学》杂志根据由各大聘用公司首席执行官、人力资源管理人员和求职顾问等人士组成的所有评选组得出的全方位评比结果,将毅伟管理学院列为加拿大培养工商管理硕士的最佳学院,并且这一结论被逐年的评比一再肯定。1994年《亚洲企业》杂志又将毅伟管理学院列于亚洲公认的25所世界最佳商学院的行列中。
- 1997年,《国际管理学杂志》将毅伟管理学院评为国际战略管理学文献的主要贡献者,并领先于哈佛大学与西北大学凯洛格商学院。《商业周刊》将毅伟管理学院列为全世界最佳国际商学院之一,和欧洲管理学院(INSEAD)及伦敦商学院(LBS)并列,而且《美国新闻与世界报导》将毅伟管理学院选为全世界在行政管理人员培训

计划方面最佳的15所商业学院之一。

至 1998 年, 毅伟管理学院拥有 65 名全职教授, 他们每年负责教授 600 名普通课程的和行政管理人员培训课程的工商管理硕士生、300 名本科生、40 名博士生, 以及范围广泛的非学位课程。

负责挑选这批 MBA 通用教材的教师们均具有著名商学院(如:哈佛、沃顿、密西根、麻省理工学院和毅伟管理学院等)的博士学位,每位教授都对大批现有教材进行了仔细筛选,确保为中国市场提供最佳教材。相信您会对他们的选择表示满意。

加拿大西安大略大学毅伟管理学院

#### PREFACE 1

The texts in this initial series of books were selected to directly correspond to each of the required courses in China's MBA programs. The books were selected for reprinting by faculty members at the Ivey Business School, The University of Western Ontario, Canada.

The Richard Ivey School of Business is a professional School within The University of Western Ontario (UWO), one of Canada's oldest universities. Although Ivey is based in London, Ontario, it also has campuses in Mississauga (Toronto), Ontario and most recently, in Hong Kong.

Historically the School has been a leader in management development. A partial list of achievements follows.

- In 1922, the first undergraduate business department in Canada was established.
- In 1932, the school began to publish its own journal. Known as *Ivey Business Quarterly*, it reaches out to both academic and management audiences and enjoys a circulation of 10 000 in 25 countries.
- In 1948, Canada's first executive development program and first MBA program were established.
- In 1961, Canada's first Ph. D. program in business was introduced.
- In 1974, official designation was received by the Federal Government as Canada's first Centre for International Business Studies (CIBS).
- In 1975, Ivey opened its own case and publications office. This office now holds an inventory of 2 000 cases. In 1998, Ivey cases were being distributed to over 100 teaching institutions and 100 corporations in over 20 countries. Ivey is the second largest producer of management case studies in the world (and the largest producer of Asian cases), with over 1 000 000 copies studied each year by people outside the university.
- In 1978, commencement of its first international student exchange program took place.
   Over twenty such exchange programs are now in place.
- In 1984, the School took a leading role in establishing the National Centre for Management Research and Development in London with support from the Canadian Federal Government.
- 1984 also marked the beginning of a continuing linkage with Tsinghua University, one

which has involved visiting scholars, materials development and publication, and various training initiatives.

- In 1992, Ivey was selected to be the editorial home for the 1993-1997 period for the *Journal of International Business Studies*, the leading international business journal.
- In 1993, Canadian Business magazine's survey rated Ivey as the top MBA School in Canada, according to all groups: CEOs, human resources executives, and placement consultants. This ranking has been re confirmed every year. In 1994, Asia, Inc. rated Ivey among the World's Top 25 Business Schools for Asians.
- In 1997, the Journal of International Management named Ivey the world's leading contributor in the previous decade to the international strategic management literature, ahead of Harvard & Kellogg. Business Week magazine ranked Ivey as one of the top international business schools in the world, alongside INSEAD and LBS; and US News and World Report selected Ivey as one of the top 15 business school in the world for executive development programs.

As of 1998, the School had 65 full – time faculty who annually taught 600 regular and executive MBA, 300 undergraduate, and 40 Ph. D. students, plus executives in a wide range of non – degree programs.

The faculty members who selected each book for reprinting all have Ph. D. s from major business schools such as Harvard, Wharton, Michigan, M. I. T., and Ivey. Each professor carefully reviewed the various books available to ensure the best possible choices of material for the China market. We are confident you will be pleased with their selection.

Richard Ivey School of Business The University of Western Ontario

## 序 言 2

中国的改革开放事业催生了中国的现代管理教育,社会主义市场经济体制的确立,为中国的管理教育开辟了广阔的发展空间,使中国的管理教育,尤其是工商管理项士(Master of Business Administration, MBA)的教育进入了一个全新的发展阶段。1991年,国务院学位办刚刚批准在部分高校中试办 MBA 教育时,只有九所院校得到授权,当年只招收了86名学生;到1994年,授权院校增加到26所,招生规模扩大为1230名;而在不久前结束的1998年招生工作中,已有56所院校得到了授权,这些院校共招收了4000名 MBA 学生。这一事实生动地说明了MBA 教育在我国正方兴未艾。根据美国的经验,MBA 学位占每年硕士学位授予人数的四分之一,中国目前这一比例只占到百分之五,因此,从发展的前景看,MBA 教育在中国前程远大。

然而,也应看到,中国的 MBA 教育还刚刚起步,在培养人的各个环节:师资、课程与教材方面还很落后,难以适应经济发展的需要,与发达国家的情况相比差距是十分巨大的。加强国际合作与交流,大胆地借鉴、引进世界上一切优秀的 MBA 教育方面的教学内容、方法和手段,特别是系统地引进国外优秀的 MBA 教材,在此基础上坚持贯彻"以我为主,博采众长,融合提炼,自成一家"的指导方针,是逐步提高师资水平、更新专业知识、不断改进课程结构与内容、努力改革教学方法、引进案例教学、从而大大缩小中国与发达国家的差距、迅速提高中国 MBA 教育水平的重要步骤。

为此,清华大学经济管理学院与加拿大西安大略大学毅伟管理学院(Richard Ivey School of Business, The University of Western Ontario)合作,联合推出一套能反映当代水平、体现国际规范、可供中国借鉴的 MBA 核心课程的教材。清华大学的经管学院成立于1984年,是国务院学位办首批授权举办 MBA 教育的九所院校之一,1997~1998 学年度招收 MBA 学生 400 多名,现拥有全国最大规模的 MBA 教育项目; 毅伟管理学院成立于1948年,是加拿大最好的商学院,拥有很高的国际声誉,在 MBA 的培养方面具有丰富的经验和很强的力量,它所培养的12000 名毕业生中有六分之一成为其所在机构的董事会主席、副主席,总裁、副总裁,首席执行官或经理。

两院推出的这套 MBA 教材共十八种,大致可分为四部分。第一部分是反映管理主要内容 的《管理学》(Richard L. Daft)、《战略管理》(Arthur A. Thompson, Jr. and A. J. Strickland III)、《市场营销学基础:全球管理》(William D. Perreault, Jr. and Jerome McCarthy)、《人力资源管理》(Lawrence S. Kleiman)、《国际管理》(Paul W. Beamish, Allen Morrison and Philip M. Rosenzweig)、《经理人员的沟通》(Norman B. Sigband and Arthur H. Bell)与《管理沟通:原理与实践》(Michael E. Hattersley and Linda McJannet);第二部分是反映企业资产负债管理、投融资与财务活动和企业会计理论与实践的《公司财务原理》(Richard A. Brealey and Stewart C. Myers)、《投资学精要》(Zvi Bodie, Alex Kane and Alan J. Marcus)、《财务会计》(Clyde P. Stickney and Roman L. Weil)与《管理会计》(Ronald W. Hilton);第三部分是反映企业管理技术方面的《管理科学导论》(David R. Anderson, Dennis J. Sweeney

and Thomas A. Williams)、《信息时代的管理信息系统》(Stephen Haag, Maeve Cummings and James P. Dawkins)、《商务与经济统计》(David R. Anderson, Dennis J. Sweeney and Thomas A. Williams)与《生产与运作管理》(Richard B. Chase, Nicholas J. Aquilano and F. Robert Jacobs);最后一部分是反映管理基础内容的《经济学原理》(N. Gregory Mankiw)、《商业伦理学》(David J. Fritzsche)和《商法与监管环境》(Ronald A. Anderson, David P. Twomey, Ivan Fox and Marianne Moody Jennings)。

在这套 MBA 教材的挑选中,在版权允许的范围内,既照顾了课程的结构,又考虑了 MBA 的特点。反映最新成果、理论结合实际、突出案例分析、作者知名度高是挑选中注意 把握的基本原则。毅伟管理学院还特意组织了 17 名教授专为这套教材编配了案例集。这套教材可以做教师的教学参考书,教师可以运用书中的原理与国情相结合,逐渐发展出有中国特色的 MBA 教材;它也可以做 MBA 学生的教科书,帮助学生掌握市场经济的原理与规律,以便分析、解决中国的实际问题。另外,所有具有英文阅读能力的企业界与经济界人士、对经济管理有兴趣的高校学生,都可以把它作为系统学习经济管理知识、了解市场经济的规范的学习材料,以便更好地理解经济管理问题,增长分析、处理经济管理问题的才干。

朱镕基总理在 1994 年写给清华大学经济管理学院建院十周年的贺信中曾明确指出: "建设有中国特色的社会主义,需要一大批掌握市场经济的一般规律、熟悉其运行规则、而 又了解中国企业实情的经济管理人才。" 他在 1996 年提出"管理科学、兴国之道",希望在 中国"掀起一股学习管理、加强管理、发展管理科学、加强管理培训的热潮"。我们联合推 出这套书,就是希望能在普及市场经济的一般规律与运行规则的知识、促进管理教育在中国 的发展、提高中国企业的管理水平方面做一点实际的工作。

> 清华大学经济管理学院 1998年6月16日

The communication revolution, intercultural communication, ethics, collaborative communication, crisis communication, case-based instruction—these are some of the themes of increasing importance in business communication classes of the mid-1990s. The sixth edition of *Communication for Managers* offers several new chapters in these areas. At the same time, this edition maintains its commitment to managerial writing, speaking, and listening, with revised and updated examples and pedagogy.

The authors of this edition began their revision work by listening to the calls of instructors, students, practicing managers, and the American Assembly of Collegiate Schools of Business (AACSB) for applied communication studies as a significant part of business education at both the undergraduate and MBA levels. The thrust of these recommendations is for instruction that concentrates on the *how* of business communication: how ideas are generated and organized for writing and speaking, how new communication technologies are used to best advantage, and how communication styles adapt to audience and purpose in domestic and international settings. This edition responds to these needs by presenting a step-by-step guide, with many before-and-after examples, for achieving communication success.

New readings and cases provide opportunities to extend and apply communication insights. Selected materials from recent issues of the *Harvard Business Review, Business Week, Supervisory Management, Personnel Journal, Hispanic Business*, and other sources present viewpoints to supplement text perspectives. Cases focus on both traditional and emerging communication challenges in such areas as the health-care industry, mergers, multicultural work environments, and computer security. For some cases, video and film presentations are available.

The authors are especially grateful for the contributions of noted colleagues to this edition. Carol Shuherk, Academic Director of the MBA Program at the University of Southern California, wrote the "Cultural Diversity in Communication" features that appear frequently throughout the text. These penetrating and interesting essays give the reader a factual, added dimension to the on-going and important cultural changes in our society today. Tracy Dillon, Director of Business Writing at Portland State University, provided commentaries in the margins throughout the text. These not only give the reader quick summaries of key concepts but also emphasize the important principles discussed in the text. Dayle M. Smith, Associate Professor of Management at the University of San Francisco, compiled the Resource Guide. Used by instructors, the Guide will not only save countless hours of preparation time, but it will also provide suggestions on innovative and different ways of using the text materials in class, Ken Mitchell, Southeastern Louisiana State University, has reviewed and added to the end-of-chapter questions in a meaningful way.

Leaders from Johnson & Johnson, TRW, United Way, Methodist Hospital of Southern California and other major public and private organizations, appear at the beginning of each Part of the text. These individuals comment on their

own communication experiences as well as the vital role communication plays in the manager's daily activities.

In a textbook in which so much is new, one essential design feature remains constant: the "three-books-in-one" concept that has seen *Communication for Managers* successfully into its sixth edition. In one volume, instructors and students have a textbook, casebook, and collection of readings.

In short, the sixth edition of *Communication for Managers* attempts to answer the call for a complete, progressive business communication textbook for the 1990s and beyond. In revising virtually every page for this edition, the authors and editors have been guided by one principle: prepare students for the future, not the past.

#### **SUPPLEMENTS**

The sixth edition is accompanied by a strong ancillary package:

- A *Resource Guide* with chapter summaries, quizzes, sample syllabi, a video/film directory, and sections on word-processing, desktop publishing, and computer graphics.
- A revised Test Bank.
- Computer ancillaries, including a classroom management system and diagnostic tools.
- Lecture transparencies, with examples of business documents as well as graphic aids.

We are especially indebted to knowledgable and well-recognized individuals in the field of management and business communications who have carefully reviewed the text and manuscript. Their suggestions and recommendations played a key role in the book's revision and added significantly to the value, content, and direction of this edition. Among those we wish to thank for their efforts are:

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The dedicated work and commitment at South-Western Publishing were, of course, vital in bringing this book to print. Among the many key people who were and are involved are Vice-President Jim Sitlington, a trusted mentor through many revisions of the book, Acquisitions Editor Jeanne R. Busemeyer, and Developmental Editor Cinci Stowell, who spent countless hours polishing and revising the author's effort, and Production Editor Sue Ellen Brown, who guided the book into print.

Once again, we want to acknowledge our families, from whom so much time was taken, for their support, encouragement and good humor.

For Norm Sigband, special thanks and love go to his wife, Joan, as well as his daughters, Robin Gotz, Shelley Wilkerson and Betsy Seamans; to his son-in-law, Glenn Gotz, and to two of the world's outstanding grandchildren, Tami and Laura.

And for Art Bell, deep-felt thanks go to his wife, Dayle, and children, Art and Lauren, for their love, support, and patience.

NORMAN B. SIGBAND ARTHUR H. BELL

## BROIEF TENTS

PART 1 A Communication Overview 2 1 THE PROCESS AND PROBLEMS OF COMMUNICATION 4 2 COMMUNICATION IN ORGANIZATIONS 23 3 THE COMMUNICATION REVOLUTION 49 4 INTERCULTURAL COMMUNICATION FOR A GLOBAL ENVIRONMENT 75 5 ETHICAL ISSUES IN MANAGEMENT COMMUNICATION 95
PART 2 Business Writing That Works 114 6 THE WRITING PROCESS 116 7 DRAFTING, REVISING, AND EDITING 141 8 COLLABORATIVE WRITING 170
PART 3 Memos and Letters 182 9 EFFECTIVE MEMOS 184 10 PRINCIPLES OF THE BUSINESS LETTER 200 11 INQUIRY, REQUEST, AND ORDER LETTERS 214 12 CLAIM, CREDIT, AND COLLECTION LETTERS 238 13 SALES, GOODWILL, AND OTHER LETTERS 269
PART 4 Career Communication 294 14 CAREER PLANNING 296 15 SUCCESSFUL APPLICATIONS, RESUMES, AND INTERVIEWS 320
PART 5 Reports and Proposals 350 16 THE RESEARCH PROCESS 352 17 VISUAL AIDS 391 18 REPORTS FOR DECISION MAKING 420 19 ROUTINE AND SHORT REPORTS 441 20 FORMAL AND ANNUAL REPORTS 458 21 PROPOSALS, BUSINESS PLANS, AND OTHER MANAGERIAL COMMUNICATION 491
PART 6 Professional Speaking and Listening 518 22 PRINCIPLES OF ORAL COMMUNICATION 520 23 SHORT AND LONG PRESENTATIONS 532 24 LISTENING SKILLS 548 25 INTERVIEWS AND MEETINGS 560 26 COMMUNICATION DURING CRISIS AND CHANGE 585
Cases for Discussion 605
Readings 625

Appendix A: Brief Guide to Punctuation and Grammar 656

Index 715

Appendix B: Guide to Memo, Letter, Report, and Proposal Formats 685

# P A R T 1 A COMMUNICATION OVERVIEW

#### THE PROCESS AND PROBLEMS OF COMMUNICATION 4

Communication and the Organization 5

Human or Behavioral Theory of Communication 7

The Process of Human Communication 8

Objectives of Communication 10

Barriers to Communication 11

Nonverbal Barriers 11

Cultural Diversity — Communication Complexity 12

Verbal Barriers 18

Conflict between Verbal and Nonverbal Communication 19

#### COMMUNICATION IN ORGANIZATIONS 23

The Directions of Communication 23

Downward Communication 24

Form of Downward Communication 25

Organizational Communication and "Workforce 2000" 26

Presenting Information 29

Policies of Downward Communication 29

Media for Downward Communication 30

Lateral Communication 39

Upward Communication 41

Suggestion Systems 41

Quality Circles 41

Employee Councils 42

Other Means of Upward Communication 42

The Informal Channel of Communication 43

Why the Grapevine Starts 43

Characteristics of the Grapevine 45

Handling the Grapevine 45

#### THE COMMUNICATION REVOLUTION 49 Beginnings of the Electronic Communication Revolution 50 Electronic Media and Business Users 51 The Telephone 52 The Personal Computer 53 Image Scanners and Optical Character Recognition 54 A Glimpse Ahead 56 Teleconferencing 57 How Widespread the Revolution? 58 Electronic Mail 60 Tele-noia: The Privacy Issue in Teleconferencing 61 Fax 63 Integrated Office Systems 63 The Business Response to the Communication Revolution 64 Proposition 1: We Will Read More 64 Proposition 2: We Will Reproduce Our Words More Often 64 Proposition 3: We Will Have Access to More Information 65 How Will We Handle More Words? 65 More Verbal or Oral Contact 66 Early Messaging 66 Increased Emphasis on Format 67 Increased Use of Graphic Enhancements 68 The Return of Friendliness 69 The Advent of Shared Writing 69 Adapting to the Revolution 71 INTERCULTURAL COMMUNICATION FOR A GLOBAL ENVIRONMENT 75 Cultures in the United States 77 National Changes 77 Demographic Changes in States and Urban Centers 77 Contrasts in Cultures 79 The Perception of Space 79 The Perception of Time 80 Material Items 80 Friendships 81 Agreements 81 Ethics 81 Eating Customs 82 Male-Female Relationship 82 Other Contrasts 82 Communication in Different Cultures 83

Nonverbal Communication 83

Must We Be Experts in Every Culture? 84

Language Communication 86

Do Unto Others as They . . . 88

U.S. Values 88

Personal Control over the Environment Change 89

Change 89

Control of Time 89

Equality 89

Individualism and Privacy 89

Self-help 89

Competition and Free Enterprise 89

Future Orientation 89

Action and Work Values 90

Directness, Openness, and Honesty 90

The Value of Practicality and Efficiency 90

Materialism 90

Informality in Writing and Speaking Internationally 90

Cultural Values 91

Cultures in Perspective 91

#### 5 ETHICAL ISSUES IN MANAGEMENT COMMUNICATION 95

Core Values in Making Ethical Decisions 96

Sexual Harassment: Intent of Impact? 98

Ethics and Communication 100

Ethics and the Written Word 100

Ethics and the Spoken Word 102

Ethics and Nonverbal Communication 103

Ethics and the Computer 104

Corporate Codes of Ethics 106

#### P A R T 2

#### **BUSINESS WRITING THAT WORKS** 114

#### 6 THE WRITING PROCESS 116

Initial Steps in the Planning Process Planning 116

Planning 117

Identifying the Problem 117

Deciding on the Purpose 118

Identifying the Reader 118

Getting to Know Your Readers 121

Organizing the Material 122

Critical Thinking Concepts 124

Limiting the Topic Areas 126

Reviewing the First Steps in Planning and Organizing 128

Drawing Up a Tentative Outline 129

Value of an Outline 129

Outline Mechanics 131

Critical Thinking Concepts 134

Types of Outlines 135

Topic Outline 135

Sentence Outline 135

Paragraph Outline 137

Reaping the Dividends 137

#### DRAFTING, REVISING, AND EDITING 141

Writing and Editing 141

The Importance of Tone 142

The Writer's Job 143

The First Draft 144

Editing and Revising 144

The Parts of the Whole 145

Words 145

Revising Writing to Include Both Genders 146

Sentences 148

Paragraphs 151

Critical Thinking Concepts 152

Qualities of Writing Style 153

Unity and Coherence 153

Courtesy and Consideration 153

Emphasis 154

Imagination 156

Editing Suggestions 156

Critical Thinking Concepts 157

Improving Readability 163

The Final Product 164

Topic Headings 164

White Space 164

Appendices, Charts, Supplements 164

Bindings, Introductory Pages, and Reproduction 165

#### COLLABORATIVE WRITING 170

Resistance Factors in Collaborative Writing 170

Lack of Experience 171

Lack of a Common Model 171

Lack of Understanding about Relating to One Another 171

Why Collaborative Writing Matters in Business 172

Steps in the Collaborative Writing Process 173

Critical Thinking Concepts 173

Step 1: Assemble a Team with Appropriate and Complementary

Expertise 174

Step 2: Meet to Discuss What, When, Why, Where, How, How Much,

and Who 174

Step 3: Meet in Person or Electronically to Generate Ideas 175

Step 4: Organize Ideas into a Working Outline 176

Step 5: Evaluate the Working Outline with the Help of Stakeholders 176

Step 6: Discuss and Undertake the Research Process 176

Step 7: Discuss and Undertake the Drafting Process 176

Step 8: Evaluate the First Draft with the Help of Stakeholders 177

Step 9: Revise the Draft for Consistency and Impact 177

Step 10: Edit to Achieve Error-free Text 177

Critical Thinking Concepts 178

Suggestions for the Team Writing Coordinator 179

Collaborative Writing for the Future 179

## P A R T 3 MEMOS AND LETTERS 182

#### **9** Effective Memos 184

Memorandum Basics 184

The Memo: As Common "There" as Here? 186

E-Mail Memos 188

Memos to File 189

Informational Memos 189

The Policy Memo 189

The Follow-up Memo 191

Longer Memos 191

#### 10 Principles of the Business Letter 200

The Business Letter and the Company Image 200

Mechanics and Form 202