



饮文斋文丛

经世致用

【经济卷】

都本伟 等 著

作为学者，除了自己的专业贡献外，还要能不“为仕”而“干政”，这样既可以避免体制内的“被围猎”出错，又可以客观冷静地观察经济和社会现象，将政治理念和经济思考通过大量的调研、学理的论证提供给“当政者”，用现在时髦的话说就是：智库。大量的案例说明，这是可行的。只有不断跟随社会发展的脚步，去理性思考，去建言献策，才是知识分子要勇于承担的时代使命。



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总序：我的学术轨迹

自1983年我从辽宁大学哲学系本科毕业并留校任教开始学术生涯起，至今已逾34个寒暑。“弹指一挥间”，34年来，我的研究专业几经变化，工作岗位也几经调整，无论是读研、教书，还是经商、从政，我始终不忘初心、潜心问学、见缝插针、著书立说，不知不觉间也积累了二百万余字的文稿，并且多数在不同年代得以发表和出版。在辽宁大学和辽宁青年干部学院（沈阳工程学院前身）任教的12年间，我的学术专长是哲学和哲学史，研究方向是西方哲学，1989年在辽宁大学获得了哲学硕士学位，1992年在辽宁青年干部学院破格晋升为副教授。在读研期间，我苦读英文原版西方哲学著作，并开始了翻译西方心理学名著的工作，几年下来，竟也翻译出版了3部美国社会心理学家的名著：埃利希·弗洛姆的《人之心》《人的希望》，埃布拉姆森的《弗洛伊德的爱欲论》。这3部译著都是由辽宁大学出版社于20世纪80年代末至90年代初出版的。80年代正是我国哲学界思想异常活跃、学术空前繁荣的阶段，大学里盛行读西方哲学著作，有关西方哲学的讲座更是爆棚，形成了一股“西方哲学热”，这3部译著当时都印刷发行了1万~15万册不等，还供不应求，不仅推动了高校学生的读书热情，也推动了我国哲学界西方哲学史的研究高潮。现在回想起当时挑灯夜战、挥汗如雨读书、译书的情景，还让我心潮澎湃。本丛书的《心灵探秘》即是这3部著作的合集和评论。在读研和教学阶段，我的专业方向和学术兴趣是“西方哲学”及“法兰克福学派”，发表了几十篇有关“西方哲学”和“法兰克福学派”的论文，刊载在中国社会科学院的《国外社会学研究》和《中国人民大学学报》等全国著名学术期刊上，特别是我对弗洛姆的研

究，在国内哲学界堪称一家，有较大的影响力。90年代初，党中央提倡全党学哲学，为我的专业研究又提供了施展的舞台。当时我不仅承担了省直机关干部哲学培训任务，而且受《沈阳日报》之邀，于1990—1992年在该报每周的理论版上，开辟了“学哲学专栏”，撰写了近百篇通俗易懂、妙趣横生的大众哲学“小品”，成为一名“专栏作家”，知名度迅速提升。本丛书中的《思哲论史》中的大部分文章都取材于此期间的成果。

1995年，我通过“公选”，走上了辽宁省教委副主任（后为辽宁省教育厅副厅长）的领导岗位，一直到2003年4月离任。随着工作角色的转变，我的学术研究方向发生了第一次转变，从哲学延伸到了高等教育学领域。结合分管工作，我研读了《教育学》《高等教育管理学》《中外教育思想史》等教育学方面的著作，并荣幸地被聘任为沈阳师范大学高等教育管理专业的硕士生导师，开始带高等教育学方向的硕士研究生。在长达20年指导硕士研究生的教学生涯中，我对美国高等教育产生了浓厚的学术兴趣，并进行了较深入的研究和实地考察，其间数度赴美访学，考察了美国从“常春藤盟校”到一般社区大学、从公立到私立的多所院校，又指导了多位研究生撰写有关美国高等教育专题的硕士毕业论文。基于这些考察和指导，结合对中国高等教育的研究，我对中美高等教育比较专题倾注了极大的心力，与沈阳师范大学王凤玉教授合著了《中美高等教育比较研究》一书，于2011年在高等教育出版社出版。可以说，此书在一定程度上填补了我国高等教育比较研究的空白，至今仍是此方面系统性研究的著作之一。本丛书中的《教比中美》就是此书的修订版。

2000—2003年，我在东北大学在职学习管理工程博士学位课程，并在此期间晋升为东北大学教授，后又被评为辽宁工程技术大学管理学专业的博士生导师。通过对经济学和管理学基本理论的学习和研究，我的学术研究方向发生了第二次转变——从教育学转向经济学。基于在东北大学奠定的基本理论功底，我开始了了对经济学和管理学的研究。2003年4月，我升任辽宁省人民政府副秘书长，协助省领导分管全省财政、金融、审计、教育等方面的工作，两年后的2005年

7月又被省委安排到新组建的辽宁省农村信用社联合社，担任当时我省最大的金融企业的党委书记和理事长直至2011年3月。此间我参加了东北财经大学的EMBA总裁班的学习，获得了金融学硕士学位。结合农村信用社的改革实践，我撰写了近百篇有关我国金融创新特别是农村金融改革的文章，发表在《经济日报》《金融时报》《中国农村金融》等报刊上，《农村合作金融》还开辟了“本伟谈农信”专栏。后受中国金融出版社之邀，分别将这些文章汇编为两本书：《农村信用社法人治理研究》和《金融的取向》，由该社出版发行，填补了当时我国农村金融研究领域的空白，还被选为全国农信社高管人员的培训教材，本丛书中的《金以惠民》则是这两部著作的合集。

2011年3月，我的人生又出现了重大转折，省委安排我到葫芦岛市工作，先后任市长和市委书记直至2016年3月。在整整5年的一个任期内，我团结市委市政府一班人，紧紧依靠全市282万人民，艰苦奋斗，励精图治，探索了该市从传统重化工城市向现代文化旅游城市的转型，打造了泳装产业集群，创办了每年一届的泳装博览会，对葫芦岛有色集团进行了混合所有制改造，创造了混改的“葫芦岛模式”，摘得了全国森林城市的桂冠，建设了东戴河新区，一座现代化的城区屹立在山海关外，一大批基础设施建设项目拔地而起：一场五馆、青山水库、兴建高速、绥中大港、滨海栈道等相继建成使用。结合主政一方的工作实践，我对城市建设和管理进行了系统思考，在各种会议上发表了百余篇讲话，这些讲话对于指导该市的改革和发展发挥了重要的导向作用。本丛书的《政路履痕》中的文稿，就是我此间工作的总结，它折射出我对政治学的思考和研究。

2016年3月，我离开葫芦岛市主要领导的工作岗位，来到了美丽的海滨城市大连，担任东北财经大学党委书记。重返校园，重回课堂，让我激动不已，我把这个岗位视为职业生涯的最后一站，决心在有生之年再续以往的学术研究。上任伊始，我便思索如何将东财在原有的工作基础上再推向一个新高度。经过慎重思考和集体决策，我将工作着力点放在争取更多的办学资源和介入经济社会发展的决策科学研究中，并身先士卒，以我为组长，组建了中国特色社会主义政治经

济学和辽宁老工业基地振兴两个研究团队。一年内，我撰写了《五大发展理念开辟了马克思主义政治经济学的新境界》《供给侧结构性改革的理论意义》《优势互补，协同发力——市场与政府关系的理论创新与实践探索》等多篇论文，发表在《光明日报》、《辽宁日报》和《财经问题研究》杂志上，并被中宣部中国特色社会主义政治经济学理论研究课题组纳入其研究成果之中，收入专题论文集《理论、现实、方法》一书。以此三方面论题为蓝本，我三次参加了全国政治经济学理论研讨会，三次被安排在大会上做学术报告。在政治经济学元老云集的研讨会上，我理论联系实际的研究方法引人注目，所持观点得到了与会专家的认可，并得到了课题组首席专家顾海良教授的充分肯定，我被他吸纳为中宣部中国特色社会主义政治经济学课题组专家成员。受此鼓舞，结合长期理论经济学的研究积淀，我又组建了辽宁老工业基地振兴研究课题组，围绕着前一轮东北老工业基地振兴的评价、辽宁的历史方位和“十三五”规划、供给侧结构性改革、国企改革、民企发展5个专题，向辽宁省委十二次党代会提交了5份调研报告，得到了时任省委书记李希同志的高度重视。他不仅通读了5份报告，还做了长篇批示，给予高度评价，要求有关部门认真研究并将成果吸收到党代会的报告之中。李希书记的重视和肯定，给课题组以极大鼓舞和鞭策。今年我又带领大家相继做了关于辽宁省经济结构调整和财税体制改革的两次调研，形成了两份报告，一份被《新华社智库》分两期转载，得到了时任辽宁省省长陈求发同志的肯定性批示，安排省政府发展研究中心的研究人员专程到校听取课题组意见。一份报告我通过担任全国人大代表的身份，在全国两会期间，以代表建议的方式递交全国人大常委会，得到了财政部的重视和研究，财政部派出调研组到辽宁专门听取了意见。李希书记审阅了这两份报告，又作出重要批示，称赞是“高质量的研究报告，提出了很有参考价值的对策建议”，要求省政府和有关部门“认真研究吸纳，并采取有效措施，深化财政体制改革，加大结构调整力度，加快转型升级步伐，扎实推进辽宁老工业基地新一轮振兴发展”。在不到一年的时间里，李希书记对我提交的7份报告两次作出重要批示，更增强了我

带领东财从事决策科学研究的勇气和信心。本丛书的《经世致用》，就是上述论文和调研报告的结集。

这些年，在枯燥的学术研究之余，我对文学始终保持着持久的热情和创作的冲动，不仅经常阅读文学经典，而且触景生情，创作出了一批诗词作品和散文断章。2009年，我在作家出版社出版了我的首部诗集《和风细雨集》，随后该诗集又出了港台版。没想到，《和风细雨集》出版后在诗词界和美学界引起了较大反响，著名作家王充闾、文艺理论家王向峰不仅拨冗为此书作序，而且还以省诗词学会和省美学会的名义，召开了我的诗词研讨会，会上几十位诗词评论家都发表了有分量、有见地的专业性评论，给我以很大鼓励和指导。会后，由王向峰教授主编的《都本伟诗词论集》也相继出版。这“一会”“一书”给我的文学创作以巨大鼓励和鞭策，使我在诗词创作上更是一发不可收拾。之后的若干年，在工作之余，我对主政一方的葫芦岛市的历史文化、山川河流赋以极大的创作热情和冲动，在葫芦岛市工作期间相继创作了十余首抒情长诗和歌词；在东财，我也在较短的时间内创作了现在广大师生耳熟能详的几首歌曲。本丛书的《诗以言情》就是近些年来这些诗词作品和文学评论的合集。除此之外，这些年我也写了若干篇散文，有的是游记，如《陪星云大师登佛岛》；有的是回忆录，如《大爱长存去后思》；有的是对话录，如《激流人生》；有的是序跋，如《自由自在的情怀，青春飞扬的灵动》。这些以散文体裁写成的文章也一并编入此丛书的《文以载道》一卷中，权作我对于散文创作的一种尝试。

本丛书以“饮文斋文丛”的名义出版，是源于我将我的书房自诩为“饮文斋”，意即“刻苦读书之所”。“文丛”则是将在“饮文斋”书房的所读、所思、所作进行系统整理、汇编，部分交由东北财经大学出版社出版发行。在本“文丛”出版之际，我要由衷地感谢在我的学术研究生涯中给我以无私帮助和在不同时期真诚合作的学界同仁，在每一分卷中我要相继提到他们的名字。我还要由衷地感谢东北财经大学出版社社长田世忠同志、总编室主任李彬同志、美编及各分卷的编辑们，没有他们的大力支持和专业化的工作，也不可能在

如此短的时间内高效率地使我的上述著作系统结集出版。

是为序。

都本伟

二〇一七年九月十日

Preface: My Academic Journey

Since graduating from the Department of Philosophy of the Liaoning University in 1983, I have been on university campuses for over 34 years. Time flies by like a blink of an eye. In these three decades the positions I held and my research fields have changed. However, no matter where I was, on campus, on the podium, in business or in politics, I always followed my heart, pushing myself to write and research, thus accumulating wisdom of over two million of words and publishing a few of mine on the way. I taught philosophy and philosophical history (especially Western philosophy) at the Liaoning University and the Liaoning Youth Officer Institute (currently Shenyang Institute of Engineering) for 12 years. I received my postgraduate degree in philosophy from the Liaoning University in 1989 and was promoted to the position of associate professor of the Liaoning Youth Officer Institute in 1992, a rare honour then. Moreover, during my postgraduate years, I delved deeply into original works of Western philosophy and started to translate works of Western philosophers, including *The Heart of Man* and *The Hope of Man*, as well as *The Love Theory of Freud*. All of the three works were published by the Liaoning University Publishing House in late 1980s, at a period when all over China there was a great enthusiasm about philosophy, especially in universities. Works and lectures on Western philosophy were well received on campuses. Hence, my publications were quite popular among the readers, 10,000 to 150,000 copies were sold helping to ignite students' enthusiasm for philosophy and the development of China's research on Western

philosophy. Whenever I look back to those days of burning the midnight oil and making every effort to translate those works diligently, it gives me such a joy. The book *Explore the Heart* of this series is a collection of these three works. After becoming a lecturer, my interest turned to Western Philosophy and Frankfurt School and I wrote dozens of papers, some of which were published by well-known national academic journals like *Chinese Academy of Social Sciences' Researches on Foreign Sociology* and *Journal of Renmin University of China*. It's worth mentioning that my research on Fromm's philosophy was unique and had a great influence in China. In the early 1990s, the Chinese Communist Party encouraged the whole Party to learn philosophy. This provided a new venue for dissemination of my research. I gave philosophy lectures for leaders of provincial departments and wrote a weekly column of philosophy education in the *Shenyang Daily* with their invitation from 1990 – 1992, hundreds of articles have been published, I became a very popular columnist. Most articles in the book *Meditation on Philosophy and History* of this series were composed during this period.

In 1995, I was elected and appointed as the Deputy Director of Liaoning Educational Commission (later as the Deputy Director of Liaoning Educational Board). This new assignment which continued until April 2003, led to the first change in my research focus, from philosophy to higher education. I started reading literature on *Pedagogy*, *Higher Educational Management* and *History of Chinese and Foreign Educational Thoughts*. Soon, I was honoured to be recruited as a postgraduate studies supervisor in the field of higher educational management at Shenyang Normal University. During my two decades being the postgraduate studies supervisor, I became extremely interested in the U. S. higher education and did extensive research on the subject as I paid visits to the U. S. education institutions, including the Ivy League universities, community colleges, public and private schools, I supervised many postgraduate students who also did research on U. S. higher education. Based on all

these visits and research, I wrote a book in collaboration with Professor Wang Fengyu of Shenyang Normal University on *Comparative Research on Chinese and U. S. Higher Education*, published by Higher Education Press in 2011, which, to some extent, was the first in this field and is still one of the most comprehensive publications in this field. The book *Comparison between Chinese and U. S. Higher Education* in this series covers the revised edition of that book.

From 2000 to 2003, I studied Management Engineering at Dongbei University on-the-job doctorate program. In the meantime, I was promoted to the position of professor at Dongbei University and became a doctoral supervisor for management studies at Liaoning Technical University. Studying principle theories of economics and management led to another change of my research field, this time the change is from education to economics, based on my studies at Dongbei University, I started my research in economics and management. In April 2003, I became the Assistant Secretary General of Liaoning Government, aiding with fiscal, financial, auditing matters and educational issues of Liaoning Province. Two years later, in July 2005, I was appointed as the Party Chairman and President of the Liaoning's biggest financial company at that time, Liaoning Rural Credit Cooperatives. I held this position until March 2011. During this period, I attended DUFE's E-MBA program, and got my master degree in Finance. I wrote close to hundred articles on China's financial innovation, especially on financial reform in rural areas, some of which were published in journals like *Economic Daily*, *Financial Times* and *Rural Credit Cooperative of China*. I also had a column on rural finance in *China's Rural Finance*. Later, at the invitation of China Financial Publishing House, articles of this period were compiled into two books, *Research on Legal Entity Governance of Rural Credit Cooperatives* and *Orientation of Finance*, which were the first in this field and were chosen as the material for national training on higher level officials of Rural Credit Cooperatives.

The book *Finance for the People* in this series is the collection of these two works.

March 2011 marked another milestone in my life. I became the Mayor and then the Municipal Party Chairman of Huludao City. During the five years of my term that continued until March 2016, 2.82 million citizens of Huludao united together to explore its transition from a traditional heavy industry base to a modern tourism centre. We made swimsuit manufacturing a special industrial cluster of the city and held annual swimsuit exhibitions to support it; we adjusted the mixed ownership of Huludao Nonferrous Metal Group and achieved a very big success, created the Huludao Mode; the city won the title of national forest city; we established Dondaihe New District outside Shanhaiguan and initiated a set of infrastructure projects, including one sports stadium, five sports centres, Qingshan reservoir, Xingjian expressway, Suizhong harbor and Coastal Plank Road. Over a hundred speeches were made during this period, covering what I learned from my work in city construction and administration, which played a guiding role on the city's reform and development. The book *Times of Politics* in this series is a collection of speeches and reports from this period, reflecting my thoughts and research on politics.

In March 2016, I left the leadership of Huludao Municipal Government, and moved to the beautiful coastal city of Dalian, assumed the position of Chairman at Dongbei University of Finance and Economics (DUFE). Returning to campus and classroom made me feel extremely excited, so I regarded this opportunity as the final stop of my career. I was determined to carry on my research and from the moment I took office I started considering how to improve the overall development of DUFE to a higher level. After careful consideration and deliberations, I focused on how to secure more resources for university operations, and increase the researches on the decision science which is fully involved with the economic and social development. I set up two research groups, Political

Economy of Socialism with Chinese Characteristics, and Revitalization of Liaoning Old Industrial Base. I also assumed their leadership. Within one year, I wrote papers including *The New Realm of Marxist Political Economics Opened up through Five Development Concepts*, *The Theretical Significance of Supply-side Structural Reform*, *Complementary Advantages and Synergetic Development—Theoretical Reform and Practical Exploration on the Relations between Market and Government*, which were published on *Guangming News*, theory section of *Liaoning News*, and *Research on Finance and Economics*. These papers were appreciated by the Political Economy of Socialism with Chinese Characteristics theoretical research group at the Publicity Department of the Central Committee of the CPC, and were included into one monograph *Theory, Reality, Approach*. Based on the research cited above, I attended the national seminars on the theory and research of political economy and delivered reports as the main speaker three times. My method of integrating research with practice attracted participants and was highly recognized by respected researchers in these areas, especially, by Professor Gu Hailiang, the leading expert of the Research Group. Prof. Gu also appointed me as a member of the expert group of the Political Economy of Socialism with Chinese Characteristics theoretical research group at the Publicity Department of the Central Committee of the CPC. Based on the theoretical economics research and my achievements on political economy of socialism with Chinese characteristics, I set up another research group, Revitalization of Liaoning Old Industrial Base. The research was carried out in the following five area: assessment of the former revitalization, Liaoning's position in history and the 13th five-year plan, supply-side structural reform, reform of state-owned enterprises, development of private enterprises. Five reports were submitted to the 12th Congress of the CPC at Liaoning Provincial Party Committee and were highly acclaimed by the Chairman of Liaoning Provincial Party Committee Li Xi, who requested the relevant departments

to make further research and included the research achievements into the final report of the Liaoning Congress of the CPC. The appreciation and positive remarks of Chairman Li Xi had greatly encouraged our research groups. This year, I led a group to carry out two investigations on reform of Liaoning economic structure and fiscal system, and completed two reports. One of them was included in the two issues of *The Think Tank of the Xinhua News Agency*, and was praised by the Governor of Liaoning Province Chen Qiufa, who sent researchers from the provincial government research and development centre to visit DUFE to meet and discuss with our group. As a delegate of the National People's Congress, I submitted the second report to the Standing Committee of the NPC in the NPC and CPPCC period. The report received great attention from the Ministry of Finance which then sent a research group to Liaoning and further inquire about ideas. Mr Li Xi reviewed these two reports, and made the following important statements: "The two reports are of high quality and provide very insightful views and advice. The leaders and officers of Liaoning Government and relevant departments should study the reports thoroughly and follow effective ways to deepen the reform of the fiscal system, enhance the structural adjustment, accelerate transformation, upgrade and firmly strengthen the new round of revitalization of the Liaoning old industrial base". I was really honoured that two out of my seven reports were viewed by Mr Li Xi within one year. This gave me courage and confidence to lead the DUFE research group and continue to carry out research on the decision science. The book *Statecraft and Ideology* in this series is the collection of the papers and investigation reports mentioned above.

Over the years, apart from my academic research, I've always had a great enthusiasm for poetry. I read a lot of classics and wrote poems myself. In 2009, my first poem collection *Mild Drizzle and Gentle Breeze* was published by the Writers Publishing House, and then it was published in Hong Kong and Taiwan. Surprisingly, high praise rippled through the

poetry and aesthetics circles. Distinguished writer Wang Yunlv and literary theorist Wang Xiangfeng wrote the preface for this collection, and arranged a symposium on behalf of the Liaoning Poetry Association and the Liaoning Aesthetics Association, where lively discussions met with insightful views. I learned a lot and was fully encouraged. After the symposium, the *Volume of Discussions on Du Benwei's Poetry* edited mainly by Professor Wang Xiangfeng was published. The symposium and the book have further boosted my passion for poetry. In the following years, when I was at leisure, I spent more time on learning about the history and culture of Huludao City, its mountains, rivers and beautiful sightseeing sites, which greatly inspired me and, ten poems and lyrics I wrote. While in DUFE, I have composed several songs and lyrics which were quite popular among DUFE faculty and students. The book *Poetry Can Tell* in this series is the collection of my poems and literary critique. Besides, I wrote prose and travelling notes such as *On Buddha Island with Master Hsing Yun*, and memoirs such as *Love Lives Forever*, dialogues such as *Life with Passion*, prefaces and postscripts such as *Free Spirit, Young Soul*. The works cited above have been collected in the book *Writing is For Conveying Truth* of this series, just as a showcase of my creation.

The title of this series, "*Yinwen Zhai Series*", originated from the name of my study "Yinwen Zhai", which means "a place to learn and work hard"; and "Series" which means to compile all that I have read, thought about and composed during studies. The series were published by DUFE Press. I would like to take this opportunity to express my great gratitude to my colleagues who have supported me and collaborated with me at different times and stages of my academic life without considering any rewards. Their names will be mentioned in different books in this series. I would also like to extend my gratitude to Tian Shizhong, the President of DUFE Press, and Li Bin, Director of General Editing Office as well as other colleagues at the Editor Office. It is their great support and

professionalism that made it possible to publish this book within such a short period of time.

This serves as the preface.

Du Benwei

10th September, 2017