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研究专辑 3

国际贸易体系 与发展中国家

杨叔进
威廉J·达维 合著
理查德H·斯耐普

INTERNATIONAL TRADING SYSTEM
AND DEVELOPING COUNTRIES

by
SHU-CHIN YANG
WILLIAM J. DAVEY
RICHARD H. SNAPE

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专 辑 总 序

南开大学国际经济研究所经过1年多的筹划,于1987年11月正式成立。本所的重要工作之一是科研。现正在国际经济领域中,特别是中国的涉外经济问题方面,进行一系列的专题研究。本所的硕士生和博士生的论文写作、对外咨询以及与国内、外合作教学培训等工作,亦将与科研计划相互配合和相互支持。本所的专题研究成果将陆续以不定期的专辑方式发表,以供学术界、政府有关部门和企业界参考。本研究专辑所收各书都是学术性的,作者的意见不一定就是本所的意见。我们欢迎读者提出批评和建议。

杨叔进 所长

1988年6月1日

Preface to the Series of Special Studies On International Economics

After more than a year's preparation, the Institute of International Economics (IIE) of Nankai University was formally established in November 1987. One of the most important tasks of the IIE is research. Currently, the IIE is undertaking a series of special studies on specific topics in the field of international economics, particularly issues concerning China's international economic relations. The series will be published on an irregular, occasional basis. The IIE's Master and Ph. D. degree student theses, the consulting work, as well as the education and training programs in cooperation with foreign or international institutions, will all be related to the research program and will be mutually supportive. The research results of our faculty members and their collaborators will be published in this series of special studies. They will serve as references for the academic community, concerned government agencies, and enterprises. The special studies are of an academic nature; the views of the authors are not necessarily those of the IIE. We welcome comments and suggestions from the reader.

作者介绍

威廉 J·达维

达维教授在伊利诺斯大学法学院教授国际贸易和商法课程。他毕业于密执安大学,取得经济学学士和法学博士学位。后在纽约美国中级法院为 Lumbard 法官做书记员,在华盛顿美国最高法院为 Potter Stewart 法官做书记员。在任教于伊利诺斯大学之前,他在比利时布鲁塞尔和纽约的克利里、高特莱、斯迪恩和海密尔顿法律事务所从事了六年的私人律师业务。

他是《国际经济关系的法律问题》一书的作者之一,还写了一些关于国际贸易法、关税与贸易总协定和关贸总协定争端解决体系的文章。

理查德 H·斯耐普

斯耐普教授是澳大利亚麦尔博恩摩那西大学的经济学教授和经济学系主任。他先是受教于麦尔博恩大学,后来在伦敦经济学院取得博士学位。自 1962 年他一直在摩那西大学。他还是澳大利亚社会科学院士。1974 至 1975 年间,他在瑞典的斯德哥尔摩大学国际经济所做访问教授。他是联合国贸易和发展会议、英联邦秘书处和世界银行以及澳大利亚各政府部门的顾问。

斯耐普教授在《经济事记》(澳大利亚经济协会的杂志)任过几年编辑。1987 至 1988 年,他在世界银行任《世界银行经济观察》的编辑。他是国际贸易政策方面的几本书和许多杂志

文章的作者和编者。

杨叔进

杨叔进是经济学教授，中国天津南开大学国际经济研究所所长。他在南开经济研究所取得硕士学位(经济学)，在威斯康星大学(麦迪森)取得博士学位(经济学)。他是联合国亚洲和远东经济委员会(1950—1963)和世界银行(1963—1982)的高级经济师和分部负责人，还是世界银行(1983—1986)在中国的经济管理训练项目协调人。他是世界银行和联合国的顾问，还是中国几所大学的名誉教授。

杨教授已经出版了几本书，还在专业杂志上发表了许多文章。他最近的一本书是《经济发展的理论与策略》(第二版，新增补版，1990年出版)，多数是他的英文发表文章的中文翻译。

ABOUT THE AUTHORS

William J. Davey

Professor Davey teaches international trade law and business law courses at the University of Illinois College of Law. He graduated with a B. A. in economics and a J. D. from the University of Michigan. He then served as a law clerk for Judge Lumbard of the U. S. Court of Appeals in New York and for Justice Potter Stewart of the U. S. Supreme Court in Washington, D. C. Prior to joining the Illinois faculty, he spent 6 years in private practice in Brussels, Belgium and New York with the law firm Cleary, Gottlieb, Steen & Hamilton.

He is the co-author of "Legal Problems of International Economic Relations" and author of articles on international trade law, GATT and the GATT Dispute Settlement system.

Richard H. Snape

Professor Snape is Professor of Economics and Chairman of the Department of Economics, Monash University, Melbourne, Australia. He was educated first at University of Melbourne and then at London School of Economics, where he obtained his Ph. D. He has been at Monash University since 1962 and is also Fellow of the Academy of Social Sciences in Australia. He was Visiting Professor at the Institute of International Economics, University of Stockholm during 1974-1975. He has been a consultant to UNCTAD, the Commonwealth Secretariat and the World Bank as well as to various Australian government departments.

Professor Snape was editor of *The Economic Record* (journal of the Economic Society of Australia) for several years. In 1987 and 1988 he was at the World Bank as editor of *The World Bank Economic Review*. He is author and editor of several books and many journal articles on international trade policy.

Shu-Chin Yang

Professor Yang is Professor of Economics and Executive Director of the Institute of International Economics, Nankai University, Tianjin, China. He obtained his M. A. (economics) at Nankai Institute of Economics and Ph. D. (economics) at the University of Wisconsin (Madison). He was

senior economist and branch chief at the United Nations Economic Commission for Asia and the Far East(1950-63)and at the World Bank(1963-1982),as well as program co-ordinator for economic management training in China for the World Bank (1983-1986). He has been consultant to the World Bank and the UNDP, and honorary professor at several Chinese universities.

Professor Yang has published several books and a number of articles in professional journals. His latest book is *Economic Development Theory and Strategies*, 1983, (second updated and enlarged edition, 1990), largely a Chinese translation of his articles published in English.

前 言

由南开大学国际经济研究所组织的“国际贸易体系与发展中国家”研讨会于1990年6月4日至15日在中国天津该所举行。这次研讨会,有48名中国出席人和8名旁听人参加,他们主要来自15所大学,有研究生和副教授;其他人有政府研究机构、贸易或投资部门的研究人员和行政人员,有些人是领导。他们来自中国的11个省、市。

这次研讨会的议题对中国是重要而及时的。中国由于长期以来国际经济联系有限,对国际贸易体系,包括关税与贸易总协定和地区贸易集团非常不熟悉。自80年代初起,中国采取“对外开放”政策和进行经济改革,最近又申请重新加入关税与贸易总协定,因此,对现在非常复杂的国际贸易、对关税与贸易总协定的运行,以及对各个贸易集团,例如欧洲共同体的了解就变得对中国非常重要。为了填补这方面知识差距,国际经济研究所在它于1987年底成立之后的短时间内发起了这次研讨会。

我们很荣幸,由五位杰出的学者组成的专家组同意在这次研讨会上演讲并引导讨论。这个专家组是由威斯康星大学的罗伯特 E. 鲍得温组织,杨叔进教授协助组成的。这次研讨会的主席是国际经济研究所执行所长杨叔进教授。鲍德温

教授做为专家组领导协调了这些演讲。各位专家和他们的演讲题目如下：

威廉 J. 达维(见“作者介绍”)：

“国际贸易体系：关税与贸易总协定的理论和实践；社会主义经济加入关税与贸易总协定的问题”

理查德 H. 斯耐普(见“作者介绍”)：

“发展中国家在关税与贸易总协定中变化着的作用和世界经济；乌拉圭回合和发展中国家”

罗伯特 E. 鲍德温(威斯康星—麦迪森大学经济学教授)：

“当前美国贸易政策的转变，美—加自由贸易协定，以及它们对发展中国家的影响”

安得里 撒皮尔(比利时布鲁塞尔自由大学经济学教授)：

“区域经济一体化的理论，1992 年建成欧洲共同市场，以及区域主义的发展对发展中国家和世界贸易的影响”

弗洛里安 A. 奥尔布罗(菲律宾大学经济学教授)：

“东盟的组织和运行和亚太贸易协会，它们的前景和对东亚贸易的影响”

杨叔进(见“作者介绍”)：

“总结和结论”

本书包括上述演讲中的三篇，即达维教授、斯耐普教授和杨叔进教授的演讲录音稿，它们都经作者的修订或改写。我们希望这些文章能为在中国对这一重要课题的进一步研究提供一个基础。国际经济研究所的朱彤对达维和斯耐普的演讲录音进行了初步的编辑，然后由作者本人、最后由杨教授又进一

步修改补充。杨叔进的文章是他的开幕词以及总结和结论的修订稿。熊贤良先生、李中钦先生和吴立功先生,以及袁安小姐分别记录了达维、斯耐普和杨叔进的演讲。朱彤先生将达维和斯耐普的演讲译成中文,熊性美教授校正。

我高兴借此机会感谢各位专家,感谢他们出色的演讲和令人兴奋的讨论使这次研讨会成功。我也愿意向其他所有为这次研讨会的成功做出贡献的人,特别是中国国家教育委员会的有关单位、为这次研讨会和本书的出版提供资助的世界银行中国第二次大学发展项目的中国审查委员会和在华盛顿的国际顾问小组及其秘书处,以及国际经济研究所教职员工表示感谢。

1991年4月18日

杨叔进

PREFACE

The seminar on "International Trading System and Developing Countries" organized by the Institute of International Economics (IIE) of Nankai University was held at the institute in Tianjin, China during June 4-15, 1990. The high-level seminar was attended by 48 Chinese participants and 8 auditors, largely from 15 universities ranging from graduate students to associate professors; the rest were researchers or administrators of government research institutes or trade or investment agencies, with some at director's rank. They were from 11 provinces and municipalities in China.

The subject matter of the seminar was (and still is) both important and timely for China. Owing to a long period of limited international economic contacts, the international trading system, including the GATT and the regional trading blocs, has been rather unfamiliar to China. Since China's adoption of the policy of "open to the outside" and undertaking of economic reform in the early 1980's and the most recent application to rejoin the GATT, knowledge of

the now very complicated international trade and operation of the GATT as well as the various trading blocs, e. g. EEC, has become very important to China. In order to fill the knowledge gap, the IIE initiated the seminar shortly after its inception in late 1987.

We were fortunate that an outstanding team of five academicians agreed to come to lecture and lead the discussions at the seminar. The team was organized by Professor Robert E. Baldwin of the University of Wisconsin, in consultation with the chairman of the seminar, Professor Shu-Chin Yang, the Executive Director of IIE. As the team leader, Professor Baldwin co-ordinated the lectures. The experts and their lecture topics are as follows:

William J. DAVEY (see "About the Authors");

"The International Trading System: GATT in Theory and Practice; Issues Relating to Socialist Economies joining the GATT"

Richard H. SNAPE (see "About the Authors");

"The Changing Role of the Developing Countries in the GATT and the World Economy; the Uruguay Round and the Developing Countries"

Robert E. BALDWIN (Professor of Economics, University of Wisconsin-Madison);

"Recent Shifts in the U. S. Trade Policy, the U. S. -Canadian Free Trade Agreement, and

Their Implications for the Developing Countries”

Andre SAPIR (Professor of Economics, Universite Libre de Bruxelles, Belgium):

“The Theory of Regional Economic Integration Completing the European Common Market in 1992, and the Implication of Increased Regionalism for the Developing Countries and World Trade”

Florian A. ALBURO (Professor of Economics, University of the Philippines):

“Organization and Operation of ASEAN and the Asian Pacific Trade Association, Their Future and Effects on East Asian Trade”

Shu-Chin YANG (see “About the Authors”):

“Summary and Conclusions”

This volume includes three of the lectures as transcribed from the recorded tapes, by Professors Davey, Snape, and Yang, which have been revised or rewritten by them. We hope these papers will provide a base for further study of this important subject in China.

Mr. Zhu Tong of IIE did the preliminary editing of the Davey and Snape lectures from the tapes, which were further edited by the authors themselves and finally by Professor Yang. Yang's paper was a revision of his opening statement, and summary and conclusions. Mr. Xiong Xian Liang, Mr. Li

Zhong Xin and Mr. Wu Li Gong and Miss Yuan An transcribed the Davey, Snape, and Yang lectures respectively. Mr. Zhu Tong translated Davey and Snape lectures into Chinese.

I am pleased to take this opportunity to thank all the experts for their excellent presentations and stimulating discussions which made the seminar a success. I like also to express my gratitude to all others who have contributed to the success of the seminar, particularly the concerned units of the State Education Commission of China, the Chinese Review Committee and the International Advisory Panel and its Secretariat for the World Bank Chinese University Development Project II, from which the funding of the seminar and this publication came, as well as the faculty and staff of IIE.

April 18, 1991

Shu-Chin Yang