

中国贫困农村社区发展世行贷款项目
China Poor Rural Communities Development Project

贫困监测终期报告

Final Report of Poverty Monitoring Survey

(2011)

国家统计局住户调查办公室
国务院扶贫办外资项目管理中心



经济科学出版社
Economic Science Press

F323.8
20126
5011

阅 览

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(总第5号)

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Department of Household Surveys of National Bureau of Statistics
and

Foreign Capital Project Management Center of the State Council
Leading Group Office of Poverty Alleviation and Development



经济科学出版社

图书在版编目 (CIP) 数据

贫困监测终期报告 / 国家统计局住户调查办公室,
国务院扶贫办外资项目管理中心著. —北京: 经济
科学出版社, 2011. 9

ISBN 978 - 7 - 5141 - 0968 - 9

I. 贫… II. ①国…②国… III. 农村 - 贫困 -
调查报告 - 中国 IV. F323. 8

中国版本图书馆 CIP 数据核字 (2011) 第 170471 号

责任编辑: 孙怡虹

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贫困监测终期报告 (2011)

国家统计局住户调查办公室

国务院扶贫办外资项目管理中心

经济科学出版社出版、发行 新华书店经销

社址: 北京市海淀区阜成路甲 28 号 邮编: 100142

总编部电话: 88191217 发行部电话: 88191540

网址: [www. esp. com. cn](http://www.esp.com.cn)

电子邮件: [esp@ esp. com. cn](mailto:esp@esp.com.cn)

北京中科印刷有限公司印装

880 × 1230 16 开 13.75 印张 450000 字

2011 年 9 月第 1 版 2011 年 9 月第 1 次印刷

ISBN 978 - 7 - 5141 - 0968 - 9 定价: 30.00 元

(图书出现印装问题, 本社负责调换)

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前 言

中国贫困农村社区发展项目（以下简称四期项目）是中国政府利用世界银行和英国国际发展部联合贷款，在广西、四川、云南 18 个贫困县实施的综合性开发式扶贫项目。项目实施期为 2005 ~ 2010 年。作为四期项目的一部分，国家统计局、国务院扶贫办和广西、四川、云南三省（自治区）统计机构合作在四期项目区开展贫困监测与评估抽样调查。此项调查受到国家统计局的高度重视。调查的具体工作最初由国家统计局农村司负责，广西、四川、云南调查总队及四期项目县统计局和调查队参与数据的收集和处理工作。从 2010 年 11 月起，国家统计局成立了住户调查办公室，把原来分别由农村司和城市司负责的以城乡居民为调查对象的城乡住户调查、贫困监测调查、农民工监测调查等交由住户调查办公室统一组织、统一管理。作为一项重要的针对贫困居民生活状况的专项调查，中国贫困农村社区发展项目贫困监测与评估抽样调查，也一并移交住户调查办公室负责。

四期项目贫困监测与评估调查实施期为 2006 ~ 2010 年，共 5 年，调查样本由 180 个村和 1800 个户以及抽中户的家庭人口构成，由国家统计局统一采用分层随机抽样方法抽取。基础数据来自调查户的记账以及调查员对村、户的访问调查。5 年中，国家统计局对县级调查员进行了 4 次培训，对数据质量进行了全过程控制。中国贫困农村社区发展世行贷款项目《贫困监测终期报告》就是根据 5 年调查资料编辑而成。报告共分为七章：第 1 章，项目简介和贫困监测方法；第 2 章，2006 ~ 2010 年中国农村社会经济发展背景；第 3 章，项目区贫困状况及其变化；第 4 章，贫困农户的改善；第 5 章，少数民族农户的改善；第 6 章，项目区农户公共事务参与；第 7 章，项目影响与效果评估。

报告力求真实地反映四期项目区的贫困状况、农户生活水平和发展机会的改善，客观评价项目活动的作用。但是，由于项目村和非项目村在项目执行前的发展水平和条件不同，项目执行中所遭受的自然、社会、经济影响也不相同，项目影响有长期和短期之分，

Preface

During 2005-2010, Chinese Government conducted a development-oriented poverty alleviation project, China Poor Rural Communities Development Project (PRCDP for short), in 18 poverty-stricken counties of Guangxi, Sichuan and Yunnan, using the joint loan from the World Bank and Department for International Development of England. As a part of PRCDP, the Poverty Monitoring and Evaluation Survey (PMES for short) was carried out in PRCDP areas, on the base of the cooperation of the State Council Leading Group Office of Poverty Alleviation and Development, National Bureau of Statistics and its branches in Guangxi, Sichuan and Yunnan. NBS was responsible for the operation and management of PRCDP PMES. The NBS survey offices and county-level survey teams in project areas took part in the data collection. In 2011, NBS set up the Department of Household Surveys to manage and organize various sampling surveys such as rural and urban household survey, poverty monitoring survey and migrants monitoring survey and so on. As an important special survey aimed at poverty-stricken people, the PRCDP poverty monitoring and evaluation survey was also transferred from the Rural Department of NBS to the Department of Household Surveys.

The PRCDP poverty monitoring and evaluation survey was conducted during 2006 – 2010. The sample involved 1 800 households from 180 villages and was selected by NBS under the two-level random sampling method. The data was collected through diary-book keeping as well as visiting interview at both the village level and the household level. During the five years, NBS had carried out trainings for four times to raise the statistical ability of staffs at county level and to control the data quality. The final report of Poverty Monitoring and Evaluation Survey was based on the data collected from the 5-year survey. There are seven chapters in this report: Chapter One is about the introduction to PRCDP project and poverty monitoring approaches; Chapter Two is about the background of social and economic development in rural China between 2006 and 2010; Chapter Three, poverty situation and the changes in PRCDP areas; Chapter Four, the improvements of low-income rural households; Chapter Five, improvements of ethnic minority rural households; Chapter Six, participation in public affairs and Chapter Seven, the impact evaluation of PRCDP areas.

The Report is compiled to reflect poverty conditions, household welfare, development opportunities and their changes in the project areas, and we try to evaluate the impact of PRCDP objectively. However, there may be some differences between the effect shown through data and the re-

要对项目在社会、经济等方面的作用做出完全的评估是非常困难的。另外，项目实施是一个不断吸收贫困农户参与的动态过程，因而反映年度效果的年度增长额（率）数据与项目的实际效果也存在一定差异，希望读者在比较项目村与非项目村的发展变化时，注意到这些差异。由于编者水平所限，缺点错误在所难免，敬请读者指正并提出宝贵意见。

四期项目贫困监测与评估调查分项目在执行过程中，一如既往地得到了许多专家的建议、帮助和指导，在此要特别感谢：世界银行的阿伦·皮埃萨先生（Alan Piazza）、李果先生、刘晓帆女士，国务院扶贫办外资项目管理中心的欧青平先生、刘胜安先生、王菁女士，以及其他对此项工作给予了大力支持的各界人士。

编 者

二〇一一年五月

al influence of the project, because the project effect (some long-term project activities in particular) may lag behind the process of project activities themselves. In addition, we should pay attention to the large gaps of initial conditions and the dynamic factors between project villages and non-project villages among the areas. Any suggestions and comments are deeply welcome.

As the project went on, many experts contributed their valuable suggestions and advice. In particular, we would like to appreciate Mr Alan Piazza、Mr Li Guo and Ms Liu Xiaofan from the World Bank and Mr Ou Qingping、Liu Sheng'an and Ms Wang Jing from Foreign Capital Project Management Center of the State Council Leading Group Office of Poverty Alleviation and Development. We thank for all the help.

Editors
May, 2011

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