

Howard J. Carmichael

*Theoretical and Mathematical Physics*

# Statistical Methods in Quantum Optics 2

Non-Classical Fields

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by H. J. Carmichael

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**For Marybeth**

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## Preface

I must admit with regret, and not a little embarrassment, that eight years have passed since I sat down to write a preface for the first volume of this book. A great deal has changed for the community of quantum opticians in the meantime. The interests of some have been turned to the fascinating properties of degenerate quantum gases where a number of analogies with quantum optics are to be found. Then there is the quantum information revolution: a whole new language to be learned, built around John Bell's reading of the Bohr-Einstein debate and venerable words like entanglement, launched in a new direction, with the goals of achieving an unbreakable code and a new paradigm for computation—a quantum-mechanical one. Considering the passage of time and what has occurred, I can only trust it will not disappoint to announce that this second volume of *Statistical Methods* has not been diverted in either direction—or, perhaps, rather closer to the truth, it could not: a path was already set in the preface to Volume 1, and this is the path I have followed in preparing Chaps. 9 through 19 of Volume 2.

The subtitle, *Nonclassical Fields*, is perhaps not as accurate as it might be as a summary of content; or to put it another way, if my aim from the start had been to write a book on this topic, parts of that book would differ significantly from what follows here. Possibly the most important thing missing, and something that should be said, is that there are two quite distinct paths to a definition of nonclassicality in quantum optics. The first is grounded in the existence, or otherwise, of a nonsingular and positive Glauber-Sudarshan  $P$  function. The physical grounding is in the treatment of optical measurements, specifically the photoelectric effect: for a given optical field, can the photoelectron counting statistics, including all correlations, be reproduced by a Poisson process of photoelectron generation driven by a classical light intensity, allowed most generally to be stochastic? Viewed at a more informal level, the question asks whether or not the infamous proposal of Bohr, Kramers, and Slater for the interaction of classical light and quantized atoms can be upheld in the presence of the observable photoelectron counting statistics.

This criterion for nonclassicality is likely to be the one offered up by most quantum optics when pressed for a definition. There is, however, a second. It is an outgrowth of John Bell's work and does not speak directly about measurements of any sort. At issue are the variances and covariances of a set of quantum mechanical observables—the quadrature amplitudes occupying this or that optical mode: can these quantities all be computed from a classical probability distribution, admitting hidden variables but no nonlocal connections between the values they take? Generally speaking, but not always, variances and covariances computed from an entangled state within quantum mechanics cannot be recovered from a classical distribution. Squeezed light provides a notable counterexample; for it, a positive definite Wigner function serves as the required classical probability distribution. Thus, by the second Bell-based criterion, squeezed light is not nonclassical. (Though in a perverse reversal of Bell's argument, the entangled character of the two-mode squeezed state is often seen to trump this observation.) Squeezed light is of course nonclassical by the former  $P$  function criterion.

In this volume squeezed light is nonclassical. The "Nonclassical" of the subtitle is to be read in the  $P$  function sense. Starting with two chapters on squeezing in the degenerate parametric oscillator, the volume continues on with the theme taken up in Volume 1 of "methods developed in quantum optics for analyzing quantum fluctuations in terms of a visualizable evolution over time." These are the methods of the quantum-classical correspondence: the phase-space representations, which when applied to an operator master equation yield a Fokker-Planck equation, albeit, in many cases, only after a system size expansion of the full equation of motion is made—i.e., only when the quantum noise is sufficiently small. Applied to the degenerate parametric oscillator, the methods fail, though the positive  $P$  representation of Drummond and Gardiner does manage to resurrect "a visualizable evolution over time"—qualified, however, by serious difficulties of a new kind.

Chapters 9 and 10 deal with squeezing, the degenerate parametric oscillator, and how squeezed light generation causes the standard phase-space methods to fail. Chapter 11 then develops the positive  $P$  approach, while Chap. 12 uncovers the problems it encounters when the system size expansion no longer holds.

Problems with the positive  $P$  representation aside, much of the appeal of the phase-space approach is lost when the system size expansion fails. Its very premise is a classical dynamic plus quantum fluctuation "fuzz," the "fuzz" a perturbation by definition; "fluctuation" is defined in a classical sense from the very beginning. While the positive  $P$  representation escapes this background to some extent, it also retreats from all but a formal connection with the physics—as a generator of quantum averages—and any resolution of its difficulties can only deepen that retreat.



On this score it is worthwhile to recall my appeal in the preface to Volume 1: "Nothing in the Schrödinger equation fluctuates. What then *is* a quantum fluctuation?" A classically inspired method for computing quantum averages is unlikely to illuminate this question. The seven chapters from Chap. 13 to Chap. 19 work towards an outlook that possibly can.

The context for the development is provided by cavity QED, which is explored in Chaps. 13–16. Its defining conditions of strong dipole coupling between a resonant atomic transition and an optical cavity mode are essentially the same—for single atoms—as those defining a small system size, such that the system size expansion fails, and experiments have reached a remarkable level of sophistication, a level hardly imagined as researchers set out to realize strong dipole coupling some 20 years ago.

My attempt to illuminate the "What *is* a quantum fluctuation?" question occupies Chaps. 17–19. Here quantum trajectory theory is developed. The approach, at bottom, is conventional, recalling observations that have been made about the meaning of quantum mechanics since the time of Niels Bohr. Certainly nothing fluctuates in the Schrödinger equation; indeed, the Schrödinger equation describes no realized happenings of any sort—no realized events; it governs the time evolution of probabilities of events. To actually *realize* events, the probabilities must be put into action, to play out as a stochastic process. But here is the sticking point: the playing out is not unique, not only in the trivial sense that the throwing of a die yields different answers on every throw, but because the very shape of the die is not uniquely defined from within the Schrödinger equation itself. It is we the commentators who chose a shape through the question we chose to ask—or so it might appear, though in practice it is not so much a matter of commentators and their questions, but a subdivision of the physical world into a subsystem acting and one acted (irreversibly) upon. With only the "acting" subsystem defined, there are, of course, many possibilities for the subsystem "acted (irreversibly) upon" and such a division is not unique.

The many years that have passed since I began writing this book have left me indebted to numerous people, for their support and encouragement, and for the detection of many of those irritating errors that inevitably seem to make it into the typeset text. I thank both the University of Oregon and the University of Auckland for support during periods of concentrated work on the book. I am also indebted to the Alexander Humboldt Foundation, my German sponsor, Wolfgang Schleich, and his tireless wife Kathy, for their support during a year spent in Ulm; the visit allowed me to restart a project that had languished for quite some time. Then the patience of the editorial office of Prof. Wolf Beiglböck at Springer can only be wondered at. Finally, there are my students in Auckland, Mile Gu, Andy Chia, Changsuk Noh, Rob Fisher, and Felipe Dimer de Oliveira, who provided indispensable service by

reading parts of the text and detecting so many of those irritating errors, and my special thanks go to Hyunchul Nha who, as my postdoc, made numerous contributions that enabled me to improve what is written.

I must add that work on the book has stolen many hours away from my wife Marybeth. My principal debt is to her. We can both now be happy that this one cause, at least, of stolen hours is at a close.

*Auckland*  
*January 2007*

Howard Carmichael

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## The Degenerate Parametric Oscillator I: Squeezed States

### 9.1 Introduction

Volume 1 of this book introduced the formalism of open systems in quantum optics as it existed in the early 1980s, two decades after the invention of the laser. In it we met the operator master equation, the quantum regression formula, and the phase-space methods, based on the  $P$ ,  $Q$ , and Wigner representations, that provide “classical” visualizations of the fluctuations of the radiation field—the so-called quantum–classical correspondence. We also met Fokker–Planck equations and stochastic differential equations, and the various methods of classical statistical physics that help with the analysis within the phase-space representations. The application of all these things was illustrated by two classic examples: resonance fluorescence and the single-mode laser.

We begin Volume 2 by recalling some elementary aspects of laser theory, which introduce us to the theme that will carry us forward into a discussion of nonclassical fields. The quantum theory of the laser illustrates how an operator master equation, the quantum–classical correspondence, and the methods of classical statistical physics can be used to solve a nontrivial problem of some practical importance. There is, however, something missing in this example; we alluded to this at the end of Sect. 7.1.3. The laser is essentially a classical device. Thus, we seem to lose the distinction between quantum statistics and classical statistics in passing from the Hamiltonian of Sect. 7.2.1 to the classical stochastic description of the laser developed in Chap. 8. That is not to say, of course, that laser action has nothing to do with quantum mechanics. Stimulated emission gain results from an inverted population in *quantized* atomic states, and the fluctuations of the laser field, characterized by  $n_{\text{spon}}$ , are certainly quantum fluctuations; they arise from the intrinsic probabilistic character of quantum mechanics, not from any externally imposed statistical assumption. On the other hand, these fluctuations can be described by a *classical* stochastic process. The laser operates as a classical nonlinear oscillator with additive noise. Surely, in general, we would not expect a quantum field



to be describable in the language of classical statistics, where the fundamental quantities are probabilities. The fundamental quantities in quantum mechanics are probability amplitudes. Why does our theory of the laser not recognize the distinction?

In fact the language of the laser theory developed in Chap. 8 *is*, in a sense, quantum mechanical, but in a limited sense only. After all, the Fokker–Planck equations (8.61a), (8.86a), (8.121a), and (8.131a) determine the Glauber–Sudarshan  $P$  representation for a quantum-mechanical density operator; they evolve a quantum state. The important qualification is that the laser example does not exploit the full flexibility of the  $P$  representation and consequently avoids the need for probability amplitudes. We deduce this, on the one hand, from the fact that the  $P$  distribution need not satisfy all the requirements of a classical probability density—it may take on negative values, or be more singular than a  $\delta$ -function, as it is for a Fock state (Eqs. 3.31, 3.40, and 3.41)—yet for the laser it does. Thus, in quantum-mechanical language, the state of the laser field (Eq. 3.15) is a statistical mixture of coherent states. To define it, we need only the probabilities that enter this mixture. There is no call for probability amplitudes. Wherever amplitude (phase) information is needed, it is provided by the phase-space variable  $\alpha$ , the complex amplitude of the laser field.

A second issue, beyond that of merely representing states, is the issue of dynamics. We cannot generally expect the state of a quantum system to evolve over time according to the rules governing the time evolution of a classical stochastic process. Our experience with the phase-space representation for two-level atoms (Chap. 6) provides an example showing that there is no guarantee that the quantum–classical correspondence will identify a Fokker–Planck equation with a given master equation. In the laser case, however, it does just this.

The two mentioned properties—a positive, nonsingular  $P$  distribution, and the Fokker–Planck form for the phase-space equation of motion—are not entirely independent. It is as well, however, to note them both. What if, for example, we substitute the  $Q$  representation for the  $P$  representation? The  $Q$  distribution is positive and nonsingular by definition, but it need not satisfy a Fokker–Planck equation, as we will see very shortly (Sect. 10.1.2).

Indeed, there is a choice to be made between different phase-space representations (Chap. 4). As a result, there is apparently some ambiguity in asserting that an optical field, like the field emitted by a laser, is essentially classical because it is described within a particular phase-space representation by a classical stochastic process. Such a description may exist in one representation and not in another. The  $P$  representation is special, though, as it is this representation, not the  $Q$  or Wigner representation, that gives the normal-ordered, time-ordered averages (Sect. 4.3.3) that enter the quantum theory of photodetection and coherence [9.1, 9.2]. Because of this, any field that is described by a classical stochastic process within the  $P$  representation can be described, equivalently, within the framework of classical statistical op-