# 经济全球化与 一球两制格局的前景

李玉珂 著

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#### 序

党的十六大报告指出:"当人类社会跨人 21 世纪的时候,我国进入全面建设小康社会、加快推进社会主义现代化的新的发展阶段。国际局势正在发生深刻变化。世界多极化和经济全球化的趋势在曲折中发展,科技进步日新月异,综合国力竞争日趋激烈。"这种错综复杂的国际形势,使中国的社会主义现代化建设既面临着严峻的挑战,也独具难得的历史机遇。

众所周知,经济全球化是生产、贸易、金融、投资、服务等越出国界而在全球范围内的大规模流动,是世界经济相互融合、相互依赖和相互联系的表现。表面上看来,它是随着生产力和科学技术的发展而产生的客观趋势,是生产要素的全球配置。如果更深一层地说来,经济全球化并不是一种什么新现象,早在 150 多年前,马克思恩格斯就已指出,"资产阶级,由于开拓了世界市场,使一切国家的生产和消费都成为世界性的……过去那种地方的和民族的自给自足和闭关自守状态,被各民族的各方面的互相往来和各方面的互相依赖所代替了。物质的生产是如此,精神的生产也是如此……一句话,它按照自己的面貌为自己创造出一个世界。"① 可见,全球化实际上是资产阶级力求按照本身的需要为自己创造出一个由自己主导、为自己服务的世界。从这个意义上说,全球化就是资本主义化。

但今天的经济全球化已经和150年前有明显的不同。首先,

① 《马克思恩格斯选集》第1卷,人民出版社 1995 年版,第 276 页。

世界格局发生了重大变化,一个地球,两种社会制度并存竞争,无论是发达资本主义国家还是社会主义国家都要参与经济全球化。其次,经济全球化已经成为当今世界的一个基本特征,任何国家要发展,都不能背离经济全球化潮流,但世界仍不太平,霸权主义有新的发展。再次,经济全球化也不能不与政治多极化、文化多元化相互缠绕。由于当今的社会主义制度是在经济文化比较落后的国家相继建立的,因此在参与经济全球化的过程中,社会主义国家是处于不利的地位。在这种情况下,如何看待经济全球化的本质和影响?社会主义国家如何处理与发达资本主义国家的关系?怎样应对经济全球化?两种社会制度各自的发展前景怎样?这一系列涉及科学社会主义历史命运的问题,需要马克思主义理论工作者对此进行深入研究、探讨,认真回答。

读者面前的这本专著,是李玉珂博士几年来对于经济全球化与"一球两制"格局关系问题潜心思考的结果。1998—2001年,在中国人民大学攻读博士学位期间,他就以此为题撰写自己的毕业论文,表现出一个年轻的马克思主义理论工作者的使命意识和理论勇气。

本书试图从跨学科的角度,采取理论与实际、逻辑与历史相结合的方法,用马克思主义的基本观点考察了经济全球化的发展和演变,分析了经济全球化的根本性质,认为经济全球化是资本主义生产方式对外扩张的过程,是民族历史向世界历史转变的过程,这个过程必然是一个充满矛盾和冲突的过程。它对两种社会制度的影响自然是双重的。作者告诉我们,经济全球化并不是普度众生的福音,不是一厢情愿的浪漫过程,它不是全球资本主义化,发展中国家要参与全球化,既要积极主动,又要时刻保持清醒的头脑,更要对社会主义的未来发展前景充满信心。

作者认为,在经济全球化的背景下,"一球两制"格局有了 新的变化和发展,这就是两种社会制度之间交往竞争、联系制 约、借鉴扬弃,这种变化的最终结果,将是社会主义的全球化, 因此,我们今天应当抓住机遇,脚踏实地地进行社会主义现代化 建设,为社会主义的全球化创造条件。

需要说明的是,经济全球化与"一球两制"格局的关系是一个高难度的课题,亟须立志攻关的学者,孜孜以求,同心协力,争取新的进展,是为序!

沙泽地

2003年12月19日于中国人民大学

#### ABSTRACT

Economic Globalization and the Prospect of the Pattern of "One World, Two Social Systems"

Economic globalization has become a objective fact and inexorable trend of the world economy, it is also a fundamental feature of today's time. It is the fundamental contradiction of today world pattern of the "one world, two social systems". What's the relation between economic globalization and the two social system on the globe? The existence of the fundamental feature and pattern can effect socialist countries with backward economy and culture, and devote themselves to the socialist modernization . It is a severe question how to understand and deal with economic globalization, which is relating to development of socialist system and future tendency. On this question, it is harmful to our understanding to judge with our own wishful thinking and suppose with a gross deception. We should research the essence and the development regularity of economic globalization . In this book I analyze the interaction and influence each other from the relation between economic globalization and two social system . I explore and research the objective trends and prospects of development of capitalism be instead of socialism. In fact I analyze how to recognize capitalism and socialism and their prospects of development.

The thesis can be divided into six parts include the introduction. Introduction: Emphasize the meaning of economic globalization and

the purpose of the topic selection and the ways of research, then put the new question. I think economic globalization is a fundmental feature in our times which is a long period of transition from capitalism to socialism. It refer to the product, trade, finance and investment and other economic action float in the world wide with a great scope and go out national boundaries. It is the global disposition of productive essential factor. It makes commodities, trades, services, funds and technology have more and more global characteristics. And it means the fusion of world economy in a high degree, and have a mutual dependence and connection. This result in a whole series of new questions, such as what deep and far influence will exert to capitalism and socialism? What is the change of relation between capitalism and socialist? How it propel "one world, two social systems" forward? How to develop in the economic globalization process as socialism? Why will we build socialism modernization with Chinese characteristics? What kind of economic globalization is the world need? As a development theory, Marxism should be based on development and changes of mankind society and the world history, then analyze the development trends of economic globalization, and its inner logic and regularity, band the influence to "one world, two systems" and their future prospects.

Chapter 1 mainly analyses the regularity of evolution and the inner logic from the production and development of economic globalization. I think the process of production and development of economic globalization can be divided in to three stages. The first stage is from 1500s to 1870s, the capitalist class opened up the world market by strong ships and heavy guns. Economic globalization has appeared its seeds, and its leading role is England. The second stage is from 1870s to

1950s, the west developed countries had been on the way to modernization and expend toward the world. The third stage is from world two to today, it is period of economic globalization develop rapidly. Capitalism propel economic globalization forward by rapid development of multi - company and financial fund, and Economy of Knowledge and New Economy, and its leading role is America. The reason of rapid development of economic globalization based on comprehensive factors, which include the adjustment of economic policy in every country, reform and adjustment of economic systems, revolution of science and technology result in rapid development of productive force, the continual expansion of multi - company and international trade and international finance and international environment which is in peace and development. Economic globalization is maintained close links with capitalist productive relation. It is expansion of the mode of capitalist production, but it is not the world capitalization. It is also a process which national economy continual join the world economy and national history continual go to the world history. It is a process which is full of contradiction and conflict. We can not depart from the basic principle of Marxism to analyze the trends of economic globalization. Marxism maintain the historical materialism, proceed from the world history, and keep connection with the mode of capitalist production, then think the future of economic globalization is communism. analyze emphatically how economic globalization affect on Chapter 2 the capitalist system deeply and far. For capitalism, on the one hand, economic globalization provided broad outside space of development, large room for manoeuvre of alleviating all kinds of social contradiction, adjusting relations of production and economic structure. In the course of globalization, capitalism country are adjusting social political

theory, economic policy of development, the policy of industry, the policy of employment, devoting their major efforts to developing New Economy, improving their comprehensive national power. Western capitalism played a leading role in globalization and obtained much benefit from globalization. In the pattern of "one world, two systems", capitalist occupy a competitive edge position and socialist should use the experience of capitalist for reference. on the other hand, globalization weakened the internal base of capitalism, deepened its social crisis. so, economic globalization is also the globalization of capitalist contradiction, which could not resolved in the capitalist system, to some degree, they suffocated the life of —force of capitalism, at last they will lead to the decline of capitalism system.

summary how economic globalization affected on socialist system. In the found of the socialist system, learn and absorb outstanding civilization of mankind which include capitalism, innovate socialist system and triumph over capitalism at the end, economic globalization provide lots of advantageous condition. In the time of economic globalization and the pattern of "one world, two systems", first, every country should understand what's socialism and How to build socialism, then, explore a right way of socialism construction and development with their national characteristics. Economic globalization lead by capitalism will pound at the construction of socialist modernization and future development, make socialist movement suffer setbacks. and threat the safety and stability of socialist country. It indicate socialism is not immutable and frozen, socialism must reform and innovate on the base of maintaining the essence and basic values of socialuse the achievement of civilization of developed capitalist country for reference, build socialist modernization firmly, try to gain the

last victory of socialism, this is a long way.

Chapter 4 probes into the relations between economic globalization and socialist modernization and gives the example as the modernizing construction of socialist with Chinese characteristics. The author thinks that economic globalization and socialist modernization supplement and complement each other. From the point of economic globalization socialist must take a modernizing road with respective characteristics. which is a organic integration of socialist, modernization and respective characteristics. Modernization with Chinese characteristics abandons other countries' defects of modernizing road. It is the Chinese modernization, the budding modernization, the modernization of surmounting development, the modernization of sustainable development and the all - round modernization. Under the background of economic globalization building the modernization with Chinese characteristics must take part in the regional or global economic cooperation and exchange positively. And must take a road of open development, must work out proper foreign economic strategy, must deal with the relations between opening to the outside world, self - reliance, assimilating and blazing new trails properly and also must take the sustainable development road firmly. In the process of participating in economic globalization and modernizing construction it is very important that keep the social stable. prevent the gap between the rich and the poor from expanding, crack down various criminal offences in economic field, strengthen the construction of spiritual civilization and ideological work and persist in developing in step with material and spiritual civilization. The significance of the modernization with Chinese characteristics mainly displays in that it enriches the experience of modernizing construction all over the world, shows the superiority of socialist

country, widen the process of economic globalization, promote the peace, the stableness and the development of the world, enriches socialist theory and practice and put forward the socialist movement of the world.

Chapter 5 analyzes the prospect of two systems from the point of the influences which economic globalization brings to the "one world, two systems". Economic globalization changes the relations between two systems from confrontation in the Cold War to dialogue that two systems have more forgiveness and harmony than before. This forgiveness and harmony are not unity. In the process of the association and competition between two systems the internal part of capitalist has accumulated socialist factors continuously. This has laid a foundation of the global victory of socialist. From the point of socialist we oppose the globalization of neo - liberalism which is leaded and promoted by western capitalist countries. It only can bring the world to "westernization" and "capitalization". Therefore we need a kind of justice and equality. We need a kind of economic globalization that each nation develop equally and permit the coexisting of each nation's own characteristics and diversities. But in the condition that capitalist occupies a dominant position we must keep sober - minded and understand the " one world, two systems" and its prospect properly. Although socialist system is faced with very severe situation its replacement of capitalist is a objective law of world history. We must firm confidence to build modernization and realize the globalization of socialist.

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#### 导 论

20世纪90年代以来,产生于西方学术界的"全球化"一词 已成为国际社会广泛关注的热点话题、特别是自 1997 年始干东 南亚的亚洲金融危机爆发之后,国际国内学术界关于经济全球化 的探讨、争论就一直没有停止过,有关经济全球化的文章更是经 常映人眼帘, 赞成者有之, 反对者有之, 怀疑者有之, 不同的学 者从不同的立场和不同的视角可以得出不同的结论,赋予它不同 的意义。概括而言,中国学术界对此问题探讨的焦点主要集中在 什么是经济全球化,经济全球化的本质、类型、特征如何,它对 整个世界不同发展程度、不同社会制度国家的经济、政治、文化 有何影响,特别是对像中国这样的发展中国家带来哪些影响,我 们如何应对经济全球化的挑战等等问题上。对于全球化问题的看 法,其影响已经渗透到经济、政治、文化、国家主权、生活方 式、意识形态等诸多领域,所以"人们可以区分出众多的全球化 过程: 金融全球化、市场和市场战略全球化, 特别是竞争全球 化、技术全球化和与此相联系的知识、科学研究、发明创造的全 球化,生活方式、消费行为与文化生活的全球化,调节与控制机 会的全球化,作为一个世界从政治上紧密结合在一起的全球化, 观察和意识的全球化"。① 但大多数学者基本上认为,全球化就 是特指经济全球化,它是居于核心的地位。

① 转引自张世鹏、殷叙彝编译《全球化时代的资本主义〈前言〉》,中央编译出版社 1998 年版,第 6 页。

至于加何定义全球化,现今的看法仍然众说纷纭。里斯本小 组在 1995 年出版的《竞争的极限——经济全球化与人类的未来》 中这样描述全球化:"全球化涉及的是组成今天世界体系的众多 国家和社会之间各种联系的多样性。它描述的是这样一个过程, 在这个世界部分地区所发生的事件, 所作出的决策和行动, 可以 对于遥远的世界其他地区的个人和团体产生具有巨大意义的后 果。全球化包括两种不同的现象,即作用范围(或者扩大)和强 烈程度(或者深化)。一方面,这个概念被解释为席卷这个星球 大部分地区的,乃至在世界范围内发生影响的一系列过程。所以 这个概念具有一种空间范围的内容。另一方面,它又意味着组成 世界的各个国家、社会彼此之间的交往和交换关系,横向联系和 彼此之间相互依赖性进一步加强。这个过程在不断深化的同时, 又不断向外扩展。它远远不仅仅是一个抽象概念。全球化可以说 的是现代生活一个众所周知的特征。"① 有的学者以国际货币基 金组织于1997年5月在《世界经济展望》中对经济全球化所下 的定义为基础,即"全球化是指跨国商品与服务交易及国际资本 流动规模和形势的增加,以及技术的广泛迅速传播使世界经济的 相互依赖性增强"②,并对此加以发挥。

笔者以为,经济全球化是指随着世界各国生产力和科学技术的迅猛发展,生产、贸易、金融、投资等经济行为越出国界而在全球范围内的大规模流动,是生产要素的全球配置,它使得商品、贸易、服务、资金、技术等越来越具有全球性的特征,是世界经济相互融合、相互依赖和相互联系的表现。

① 转引自张世鹏、殷叙彝编译《全球化时代的资本主义〈前言〉》,中央编译出版社 1998 年版,第 4-5 页。

② 国际货币基金组织:《世界经济展望》,中国金融出版社 1997 年中文版,第 45 页。