# 生物反应工程原理

**Bioreaction Engineering Principles** (3rd Edition)

John Villadsen, Jens Nielsen and Gunnar Lidén

原著第3版

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# Bioreaction Engineering Principles (3rd Edition)

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John Villadsen Jens Nielsen Gunnar Lidén

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#### **Preface**

In early 2009, we were approached by Springer Verlag, the company that had absorbed Kluwer Academic/Plenum Publishers. The second edition of our textbook "Bioreaction Engineering Principles" was now sold out, and we were asked to prepare a third edition.

With very little hesitation we accepted the offer.

Since 2003 the book has been used as course-book, in European universities and also in North and South America, in the Far East, and in Australia. We wished not only to revise the text, but also to write a book that would appeal to students at the best universities, at least until 2020. In short courses given at major Biotech companies we have also found that some of the material in the previous editions could be used right away to give the companies a better understanding of their processes and to propose better design of their reactors. This acceptance of the book by the industrial community prompted us to include even more examples relevant for design of processes and equipment in the industry. The changes that have been made since the second edition are outlined in the first, introductory chapter of the present edition.

Our initial enthusiasm to embark on a complete revision of the text was mollified by the duties imposed on two of us (J.N. and G.L.) in handling large research groups and with the concomitant administration. One of us (J.V.) had much more time available in his function as senior professor, and he became the main responsible person for the work during the almost 2 years since the start of the project. But we are all happy with the result of our common efforts – "Tous pour un, un pour tous."

Some chapters have been read and commented by our colleagues. Special thanks are owed to Prof. John Woodley for commenting on Chaps. 2 and 3, and to Prof. Alvin Nienow for long discussions concerning the right way to present Chap. 11. The former Ph.D. students, Drs. Mikkel Nordkvist and Thomas Grotkjær have kindly given comments to many of the chapters.

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We also thank Ph.D. student Saeed Sheykshoaie at Chalmers University who redrew many of the figures in the last rush before finishing the manuscript. Ph.D. student Jacob Brix at DTU has often assisted J.V. with his extensive knowledge of "how to handle the many tricks of Word."

Lyngby, Denmark Gothenburg, Sweden Lund, Sweden John Villadsen Jens Nielsen Gunnar Lidén

## **List of Symbols**

Symbols that are defined and used only within a particular Example, Note, or Problem are not listed. It should be noted that a few symbols are used for different purposes in different chapters. For this reason, more than one definition may apply for a given symbol.

a	Cell age (h)
a	Specific interfacial area (m <sup>2</sup> per m <sup>3</sup> of medium)
$a_{d}$	Specific interfacial area (m <sup>2</sup> per m <sup>3</sup> of gas-liquid dispersion)
$a_{ m cell}$	Specific cell surface area (m <sup>2</sup> per gram dry weight)
A	Matrix of stoichiometric coefficients for substrates, introduced in (7.2)
b(y)	Breakage frequency (h <sup>-1</sup> )
В	Matrix of stoichiometric coefficients for metabolic products,
	introduced in (7.2)
$c_i$	Concentration of the <i>i</i> th chemical compound (kg m $^{-3}$ )
$C_i^*$	Saturation concentration of the <i>i</i> th chemical compound (kg $m^{-3}$ )
$c_i^*$	Vector of concentrations (kg m <sup>-3</sup> )
$C_{ij}$	Concentration control coefficient of the jth intermediate with respect to
•	the activity of the <i>i</i> th enzyme
$C_i^J$	Flux control coefficient with respect to the activity of the ith enzyme
$C_i^J$ $C^*$	Matrix containing the control coefficients defined in (6.34)
$d_{ m b}$	Bubble diameter (m)
$\delta_{ m f}$	Thickness of liquid film (m)
$d_{ m mean}$	Mean bubble diameter (m)
$d_{ m mem}$	Lipid membrane thickness (m)
$d_{\mathrm{s}}$	Stirrer diameter (m)
$d_{\mathrm{Sauter}}$	Mean Sauter bubble diameter (m), given by (10.18)
D	Dilution rate $(h^{-1})$ , given by $(3.1)$
$D_{max}$	Maximum dilution rate (h <sup>-1</sup> )
$D_{mem}$	Diffusion coefficient in a lipid membrane (m <sup>2</sup> s <sup>-1</sup> )
$D_{ m eff}$	Effective diffusion coefficient (m <sup>2</sup> s <sup>-1</sup> )

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$D_i$	Diffusion coefficient of the <i>i</i> th chemical compound (m <sup>2</sup> s <sup>-1</sup> )
$e_0$	Enzyme concentration (g enzyme $L^{-1}$ )
$E_{\mathbf{g}}$	Activation energy of the growth process in (7.28)
$\stackrel{-g}{E}$	Mixing efficiency, defined in (11.1)
E	Elemental matrix for all compounds
E <sub>c</sub>	Elemental matrix for calculated compounds
E <sub>m</sub>	Elemental matrix for measured compounds
$f(\mathbf{y},t)$	Distribution function for cells with property y in the population (8.1)
<b>F</b>	Variance—covariance matrix
	Gravity (m s <sup>-2</sup> )
G	Gibbs free energy (kJ mol <sup>-1</sup> )
$G^0$	Gibbs free energy at standard conditions (kJ mol <sup>-1</sup> )
	Gibbs free energy of combustion of the <i>i</i> th reaction component
$\Delta G_{ci}$	(kJ mol <sup>-1</sup> )
AC	Gibbs free energy of denaturation (kJ mol <sup>-1</sup> ) (7.29)
$\Delta G_{\rm d}$	
$\Delta G_{\mathrm{c}i}^{0}$	Gibbs free energy of combustion of the <i>i</i> th reaction component at
400	standard conditions (kJ mol <sup>-1</sup> )  Cibbs free energy of formation at standard conditions (kJ mol <sup>-1</sup> )
${\it \Delta G_{ m f}^0}$	Gibbs free energy of formation at standard conditions (kJ mol <sup>-1</sup> )
Gr	Grashof number, defined in Table 10.6
h	Test function, given by (3.54)
h(y)	Net rate of formation of cells with property y upon cell division (cells $h^{-1}$ )
$h^+(y)$	Rate of formation of cells with property y upon cell division (cells $h^{-1}$ )
$h^-(y)$	Rate of disappearance of cells with property y upon cell division (cells $h^{-1}$ )
$H_{A}$	Henry's constant for compound A (atm L mol <sup>-1</sup> )
$\Delta H_{ci}$	Enthalpy of combustion of the <i>i</i> th reaction component (kJ $\text{mol}^{-1}$ )
$\Delta H_{\rm f}^0$	Enthalpy of formation (kJ mol <sup>-1</sup> )
I	Identity matrix (diagonal matrix with 1 in the diagonal)
Ĵ	Jacobian matrix (9.102)
$k_0$	Enzyme activity (g substrate [g enzyme] $^{-1}$ h $^{-1}$ )
$k_i$	Rate constant (e.g., kg kg <sup>-1</sup> h <sup>-1</sup> )
$k_{\rm g}$	Mass transfer coefficient for gas film (e.g., mol atm <sup>-1</sup> s <sup>-1</sup> m <sup>-2</sup> )
$k_{\mathrm{l}}$	Mass transfer coefficient for a liquid film surrounding a gas bubble
<i>N</i> 1	$(m s^{-1})$
$k_{l}a$	Volumetric mass transfer coefficient (s <sup>-1</sup> )
$k_{\rm s}$	Mass transfer coefficient for a liquid film surrounding a solid particle
NS.	$(\text{m s}^{-1})$
Ka	Acid dissociation constant (mol $L^{-1}$ )
$K_1$	Overall mass transfer coefficient for gas-liquid mass transfer (m s <sup>-1</sup> )
K <sub>1</sub>	Partition coefficient
$K_{\rm eq}$	Equilibrium constant
$K_{\rm eq}$	Michaelis constant (g $L^{-1}$ ) (6.1)
m m	Amount of biomass (kg)
***	Autount of ofoliass (RE)

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```
Maintenance-associated ATP consumption (moles ATP [kg DW]<sup>-1</sup>
m_{\rm ATP}
              h^{-1})
              Maintenance-associated specific substrate consumption (kg [kg DW]<sup>-1</sup>
m_{\rm s}
              The nth moment of a one-dimensional distribution function, given by
M_n(t)
             Number of cells per unit volume (cells m<sup>-3</sup>) (8.1)
n
             Stirring speed (s<sup>-1</sup>)
Ν
N_{A}
              Aeration number, defined in (11.14)
Ne
              Flow number, defined in (11.6)
N_{p}
             Power number, defined in (11.10)
             Extracellular metabolic product concentration (kg m<sup>-3</sup>)
p
             Partial pressure of compound A (e.g., atm.)
p_{A}
p(y,y^*,t)
             Partitioning function (8.5)
P
             Dimensionless metabolic product concentration
P
             Permeability coefficient (m s<sup>-1</sup>).
P
             Power input to a bioreactor (W)
             Power input to a bioreactor at gassed conditions (W)
P
             Variance—covariance matrix for the residuals, given by (3.48)
Рe
             Peclet number, defined in Table 10.6
             Volumetric rate of transfer of A from gas to liquid (mol L^{-1} h^{-1})
q_{\rm A}^t
             Volumetric rate of formation of biomass (kg \overrightarrow{DW} m<sup>-3</sup> h<sup>-1</sup>)
q_{x}
             Volumetric rate vector (kg m<sup>-3</sup> h<sup>-1</sup>)
q
\mathbf{q^t}
             Vector of volumetric mass transfer rates (kg m<sup>-3</sup> h<sup>-1</sup>)
Q
Q
             Number of morphological forms
             Heat of reaction (kJ mol<sup>-1</sup>)
Q_t
             Fraction of repressor-free operators, given by (7.47)
Q_2
             Fraction of promotors being activated, given by (7.53)
Q_3
             Fraction of promoters, which form complexes with RNA polymerase, in
             (7.55)
             Specific reaction rate for species i (kg [kg DW]<sup>-1</sup> h<sup>-1</sup>)
r_i
             Enzymatic reaction rate (Chap. 6) (g substrate L^{-1} h^{-1})
r
             Specific ATP synthesis rate (moles of ATP [kg DW]<sup>-1</sup> h<sup>-1</sup>)
r_{ATP}
             Specific reaction rate vector (kg [kg DW]<sup>-1</sup> h<sup>-1</sup>)
r
             Specific substrate formation rate vector (kg [kg DW]^{-1} h^{-1})
\mathbf{r}_{\mathrm{s}}
             Specific product formation rate vector (kg [kg DW]^{-1} h^{-1})
\mathbf{r}_{\mathbf{p}}
             Specific formation rate vector of biomass constituents (kg [kg DW]<sup>-1</sup>
\mathbf{r}_{\mathbf{x}}
             h^{-1})
             Vector containing the rates of change of properties, in (8.2)
\mathbf{r}(\mathbf{y},\mathbf{t})
             Gas constant (=8.314 J K^{-1} mol<sup>-1</sup>)
R
R
             Recirculation factor (Sect. 9.1.4)
R
             Redundancy matrix, given by (3.41)
R_r
             Reduced redundancy matrix
```

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```
Re
             Reynolds number, defined in Table 10.6
             Extracellular substrate concentration (kg m<sup>-3</sup>)
s
             Extracellular substrate concentration vector (kg m<sup>-3</sup>)
S
             Substrate concentration in the feed to the bioreactor (kg m^{-3})
S_{\mathbf{f}}
             Dimensionless substrate concentration
S
\Delta S
             Entropy change (kJ \text{ mol}^{-1} \text{ K}^{-1})
             Schmidt number, defined in Table 10.6
Sc
Sh
             Sherwood number, defined in Table 10.6
             Time (h)
             Circulation time (s) (11.7)
t_{c}
             Mixing time (s) (11.3)
t_{\rm m}
T
             Temperature (K)
T
             Matrix in (5.11). T^{T}, the transform of T, is the stoichiometric matrix
\mathbf{T}_1
             Matrix corresponding to calculated fluxes (5.12)
             Matrix corresponding to measured rates (5.12)
T_2
             Bubble rise velocity (m s<sup>-1</sup>)
u_{\rm b}
             Cybernetic variable, given by (7.36)
u_i
             Superficial gas velocity (m s<sup>-1</sup>)
u_{\rm s}
             Vector containing the specific rates of the metamorphosis reaction
u
             (kg kg^{-1} h^{-1})
             Liquid flow (m^3 h^{-1})
ν
             Liquid effluent flow from the reactor (m<sup>3</sup> h<sup>-1</sup>)
v_e
             Liquid feed to the reactor (m^3 h^{-1})
v_{\rm f}
             Gas flow (m^3 h^{-1})
v_{\mathbf{g}}
             Flux of internal reaction i in metabolic network (kg [kg DW]<sup>-1</sup> h<sup>-1</sup>)
v_i
             Impeller induced flow (m<sup>3</sup> s<sup>-1</sup>) (11.6)
v_{\text{pump}}
             Flux vector, i.e., vector of specific intracellular reaction rates (kg [kg
             DW]^{-1}h^{-1}
V
             Volume (m<sup>3</sup>)
V_{\rm d}
             Total volume of gas-liquid dispersion (m<sup>3</sup>) (10.16)
V_{g}
             Dispersed gas volume (m<sup>3</sup>) (10.16)
             Liquid volume (m<sup>3</sup>)
V_1
             Total property space (8.2)
V_{y}
             Cybernetic variable, given by (7.47)
w_i
             Biomass concentration (kg m^{-3})
х
X
             Dimensionless biomass concentration
X_i
             Concentration of the ith intracellular component (kg [kg DW]<sup>-1</sup>)
X
             Vector of concentrations of intracellular biomass components (kg [kg
             DW]^{-1})
             Property state vector
y
Y_{ii}
             Yield coefficient of j from i (kg j per kg of i or C-mol of j per kg of i)
Y_{xATP}
             ATP consumption for biomass formation (moles of ATP [kg DW]<sup>-1</sup>)
             Concentration of the ith morphological form (kg [kg DW]<sup>-1</sup>)
Z_i
```

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#### **Greek Letters**

	Staighiannathia agoffaighta fan aghathata i in internallular agostia a i
$\alpha_{ji}$	Stoichiometric coefficients for substrate <i>i</i> in intracellular reaction <i>j</i>
$eta_{ji}$	Stoichiometric coefficient for metabolic product $i$ in intracellular reaction $j$ Shear rate (s <sup>-1</sup> )
γ	
$\gamma_{ji}$	Stoichiometric coefficient for intracellular component i in intracellular
	reaction $j$
Ϋ́	Shear rate ( $s^{-1}$ ), defined in (11.24)
Γ	Matrices containing the stoichiometric coefficients for intracellular biomass
•	components
δ	Vector of measurement errors in (3.43)
Δ	Matrix for stoichiometric coefficients for morphological forms
3	Gas holdup (m <sup>3</sup> of gas per m <sup>3</sup> of gas-liquid dispersion)
ε	Porosity of a pellet
$arepsilon_{ji}$	Elasticity coefficients, defined in (6.27)
ε	Vector of residuals in (3.46)
E	Matrix containing the elasticity coefficients
$\eta$	Dynamic viscosity (kg m $^{-1}$ s $^{-1}$ )
$\eta$	Internal effectiveness factor, defined in (9) of Note 6.2
$\pi_i$	Partial pressure of compound i (atm)
$\theta$	Dimensionless time
$\kappa_i$	Degree of reduction of the ith compound
$\mu$	Specific growth rate of biomass (h <sup>-1</sup> )
$\mu_{ ext{max}}$	Maximum specific growth rate $(h^{-1})$
$\mu_q$	Specific growth rate for the qth morphological form (kg DW [kg DW] $^{-1}$ h $^{-1}$ )
$ ho_{ m cell}$	Cell density (kg wet biomass [m <sup>-3</sup> cell])
$ ho_{ m l}$	Liquid density (kg m <sup>-3</sup> )
σ	Surface tension (N m <sup>-1</sup> )
$\sigma^2$	Variance
τ	Space time in reactor (h)
τ	Shear stress (N m <sup>-2</sup> ), defined in (11.25)
$ au_{ m p}$	Tortousity factor, used in (6.23)
$\Phi_n$	Thiele modulus for reaction of order $n$ (2) and (5) in Note 6.2
$\Phi_{ m gen}^{''}$	Generalized Thiele modulus, Note 6.2
$\psi(X)$	Distribution function of cells (8.8)
, , ,	

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#### **Abbreviations**

AcCoA Acetyl co-enzyme A
ADP Adenosine diphosphate
AMP Adenosine monophosphate
ATP Adenosine triphosphate

CoA Coenzyme A

DHAP Dihydroxy acetone phosphate

DNA Deoxyribonucleic acid

E<sub>c</sub> Energy charge

EMP Embden-Meyerhof-Parnas

FAD Flavin adenine dinucleotide (oxidized form)
FADH Flavin adenine dinucleotide (reduced form)

FDA Food and Drug Administration

F6P Fructose-6-phosphate F1,6P Fructose 1,6 diphosphate

GAP Glyceraldehyde triphosphate

2 PG 2-phosphoglycerate
3 PG 3-phosphoglycerate
1,3 DPG 1,3 diphosphoglycerate
GTP Guanosine triphosphate
G6P Glucose-6-phosphate

HAc Acetic acid HLac Lactic acid

LAB Lactic acid bacteria

MCA Metabolic control analysis MFA Metabolic Flux Analysis

NAD+ Nicotinamide adenine dinucleotide (oxidized form)
NADH Nicotinamide adenine dinucleotide (reduced form)

NADP+ Nicotinamide adenine dinucleotide phosphate (oxidized form)
NADPH Nicotinamide adenine dinucleotide phosphate (reduced form)

PEP Phosphoenol pyruvate PP Pentose phosphate

PSS Protein synthesizing system PTS Phosphotransferase system

PYR Pyruvate

P/O ratio Number of molecules of ATP formed per atom of oxygen used in the

oxidative phosphorylation

RNA Ribonucleic acid mRNA Messenger RNA List of Symbols xix

rRNA	Ribosomal RNA
tRNA	Transfer RNA
RQ	Respiratory quotient
R5P	Ribose-5-phosphate
TCA	Tricarboxylic acid

UQ Ubiquinone

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