典

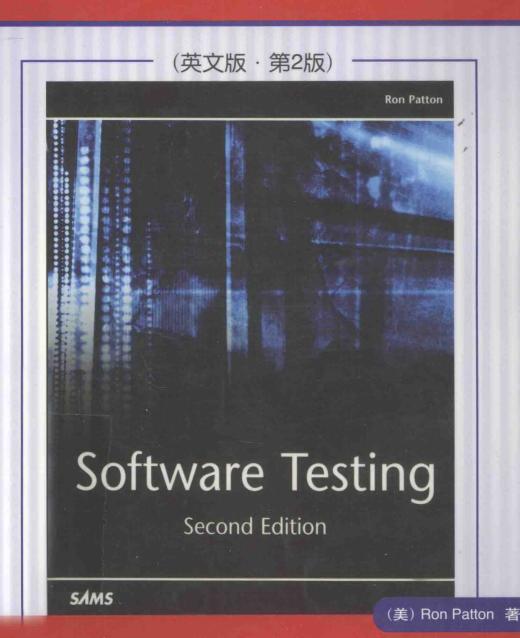
版

书

库

软件测试

原



经 典 原 版 书 库

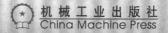
软件测试

(英文版·第2版)

Software Testing

(Second Edition)

(美) Ron Patton 著



English reprint edition copyright © 2006 by Pearson Education Asia Limited and China Machine Press.

Original English language title: Software Testing, Second Edition (ISBN 0-672-32798-8) by Ron Patton, Copyright © 2006.

All rights reserved.

Published by arrangement with the original publisher, Pearson Education, Inc., publishing as Sams.

For sale and distribution in the People's Republic of China exclusively (except Taiwan, Hong Kong SAR and Macau SAR).

本书英文影印版由Pearson Education Asia Ltd.授权机械工业出版社独家出版。未经出版者书面许可,不得以任何方式复制或抄袭本书内容。

仅限于中华人民共和国境内(不包括中国香港、澳门特别行政区和中国台湾地区) 销售发行。

本书封面贴有Pearson Education(培生教育出版集团)激光防伪标签,无标签者。 不得销售。

版权所有,侵权必究。

本书法律顾问 北京市展达律师事务所

本书版权登记号: 图字: 01-2005-4835

图书在版编目(CIP)数据

软件测试(英文版·第2版)/(美)巴顿(Patton, R.) 著. -北京: 机械工业出版社, 2006.1

(经典原版书库)

书名原文: Software Testing, Second Edition

ISBN 7-111-17770-3

I. 软… Ⅱ. 巴… Ⅲ. 软件-测试-英文 Ⅳ. TP311.5

中国版本图书馆CIP数据核字(2005)第127467号

机械工业出版社(北京市西城区百万庄大街22号 邮政编码 100037)

责任编辑: 迟振春

北京瑞德印刷有限公司印刷·新华书店北京发行所发行

2006年3月第1版第2次印刷

718mm×1020mm 1/16·25.75印张

定价: 38.00元

凡购本书,如有倒页、脱页、缺页,由本社发行部调换本社购书热线: (010) 68326294

出版者的话

文艺复兴以降,源远流长的科学精神和逐步形成的学术规范,使西方国家在自然科学的各个领域取得了垄断性的优势,也正是这样的传统,使美国在信息技术发展的六十多年间名家辈出、独领风骚。在商业化的进程中,美国的产业界与教育界越来越紧密地结合,计算机学科中的许多泰山北斗同时身处科研和教学的最前线,由此而产生的经典科学著作,不仅擘划了研究的范畴,还揭橥了学术的源变,既遵循学术规范,又自有学者个性,其价值并不会因年月的流逝而减退。

近年,在全球信息化大潮的推动下,我国的计算机产业发展迅猛,对专业人才的需求日益迫切。这对计算机教育界和出版界都既是机遇,也是挑战;而专业教材的建设在教育战略上显得举足轻重。在我国信息技术发展时间较短、从业人员较少的现状下,美国等发达国家在其计算机科学发展的几十年间积淀的经典教材仍有许多值得借鉴之处。因此,引进一批国外优秀计算机教材将对我国计算机教育事业的发展起积极的推动作用,也是与世界接轨、建设真正的世界一流大学的必由之路。

机械工业出版社华章图文信息有限公司较早意识到"出版要为教育服务"。自 1998年开始,华章公司就将工作重点放在了遴选、移译国外优秀教材上。经过几年的不懈努力,我们与Prentice Hall,Addison-Wesley,McGraw-Hill,Morgan Kaufmann等世界著名出版公司建立了良好的合作关系,从它们现有的数百种教材中甄选出 Tanenbaum,Stroustrup,Kernighan,Jim Gray等大师名家的一批经典作品,以"计算机科学丛书"为总称出版,供读者学习、研究及庋藏。大理石纹理的封面,也正体现了这套从书的品位和格调。

"计算机科学丛书"的出版工作得到了国内外学者的鼎力襄助,国内的专家不仅提供了中肯的选题指导,还不辞劳苦地担任了翻译和审校的工作,而原书的作者也相当关注其作品在中国的传播,有的还专程为其书的中译本作序。迄今,"计算机科学丛书"已经出版了近百个品种,这些书籍在读者中树立了良好的口碑,并被许多高校采用为正式教材和参考书籍,为进一步推广与发展打下了坚实的基础。

随着学科建设的初步完善和教材改革的逐渐深化,教育界对国外计算机教材的需求和应用都步入一个新的阶段。为此,华章公司将加大引进教材的力度,在"华章教育"的总规划之下出版三个系列的计算机教材:除"计算机科学丛书"之外,对影印版的教材,则单独开辟出"经典原版书库",同时,引进全美通行的教学辅导书"Schaum's Outlines"系列组成"全美经典学习指导系列"。为了保证这三套丛书的权

威性,同时也为了更好地为学校和老师们服务,华章公司聘请了中国科学院、北京大学、清华大学、国防科技大学、复旦大学、上海交通大学、南京大学、浙江大学、中国科技大学、哈尔滨工业大学、西安交通大学、中国人民大学、北京航空航天大学、北京邮电大学、中山大学、解放军理工大学、郑州大学、湖北工学院、中国国家信息安全测评认证中心等国内重点大学和科研机构在计算机的各个领域的著名学者组成"专家指导委员会",为我们提供选题意见和出版监督。

这三套丛书是响应教育部提出的使用外版教材的号召,为国内高校的计算机及相关专业的教学度身订造的。其中许多教材均已为M. I. T., Stanford, U.C. Berkeley, C. M. U. 等世界名牌大学所采用。不仅涵盖了程序设计、数据结构、操作系统、计算机体系结构、数据库、编译原理、软件工程、图形学、通信与网络、离散数学等国内大学计算机专业普遍开设的核心课程,而且各具特色——有的出自语言设计者之手、有的历经三十年而不衰、有的已被全世界的几百所高校采用。在这些圆熟通博的名师大作的指引之下,读者必将在计算机科学的宫殿中由登堂而入室。

权威的作者、经典的教材、一流的译者、严格的审校、精细的编辑,这些因素使我们的图书有了质量的保证,但我们的目标是尽善尽美,而反馈的意见正是我们达到这一终极目标的重要帮助。教材的出版只是我们的后续服务的起点。华章公司欢迎老师和读者对我们的工作提出建议或给予指正,我们的联系方法如下:

电子邮件: hzjsj@hzbook.com 联系电话: (010) 68995264

联系地址:北京市西城区百万庄南街1号

邮政编码: 100037

专家指导委员会

(按姓氏笔画顺序)

尤晋元 王 珊 冯博琴 史忠植 史美林 石教英 吕 建 孙玉芳 吴世忠 吴时霖 张立昂 李伟琴 李师贤 李建中 杨冬青 邵维忠 陆丽娜 陆鑫达 陈向群 周伯生 周克定 周傲英 孟小峰 岳丽华 范 明 钟玉琢 郑国梁 施伯乐 唐世渭 袁崇义 梅宏 高传善 程 旭 程时端 谢希仁 裘宗燕 戴葵

About the Author

Ron Patton lives in the Seattle area with his wife, Valerie. His software test experience is wide and varied, from mission-critical systems to painting programs for kids. Ron graduated from Penn State in 1984 with a B.S. degree in computer science. He began his career at Texas Instruments as a quality assurance engineer, testing embedded systems and user interface software for industrial automation equipment. In 1992 he joined Microsoft as a software test lead in the Systems Group for Multimedia Viewer, the authoring tool and multimedia display engine used by Encarta, Cinemania, and Bookshelf. He moved on to become the software test manager of the Kids Product Unit, shipping CD-ROM titles such as Creative Writer, Fine Artist, 3D Movie Maker, and the Magic School Bus series. Before he left Microsoft, he was the software test manager of the Hardware Group, responsible for the software shipped with the mouse, keyboard, gaming, telephony, and ActiMates product lines. He currently is a freelance project management and software quality consultant.

Ron's most memorable project was ActiMates Barney, for which he test managed both the hardware and software efforts. "Microsoft actually paid my team and me to shake, bake, freeze, thaw, pull, drop, tumble, dunk, and shock dozens of prototype Barney dolls until we reduced them to piles of electronic rubble and purple fuzz," he recalls. "You can't get much more test satisfaction than that."

If you have comments or suggestions for this book, or if you find a bug in it that you want to report, you can send Ron an email at test@valart.com.

Dedication

To my best friend and wife, Valerie, who's hoping that after I finish this second edition we can go on vacation to a tropical island.

Acknowledgments

Many thanks go to Sams Publishing and the editors and staff who helped me publish this second edition. A big thank you goes to Danny Faught who provided great input as an expert reviewer.

To my parents, Walter and Eleanore, for allowing me to quit my accordion lessons and buying me a TRS-80 Model I computer back in 1977. To my sister, Saundra, for keeping my parents busy with her baton competitions so I could hide in my room and learn to program. To Ruth Voland, my computer science teacher at Mohawk High School, for dragging me to all those science fairs and giving me extra time on the school's ASR 33 teletypes. To Mark Ferrell, who taught me electronics and kept me out of trouble as a teenager. To Alan Backus and Galen Freemon of TI for allowing me the freedom to explore software test automation. To all my past co-workers and employees for teaching me more than I could have ever learned myself about software testing. And, to my wonderful wife, Valerie, for saying, "Go ahead, send it in, see what happens" when, in 1991, I posed the question of sending my résumé to a little company in far-away Seattle called Microsoft. Each of you made a contribution to this book. Thank you!

We Want to Hear from You!

As the reader of this book, *you* are our most important critic and commentator. We value your opinion and want to know what we're doing right, what we could do better, what areas you'd like to see us publish in, and any other words of wisdom you're willing to pass our way.

As a publisher for Sams Publishing, I welcome your comments. You can email or write me directly to let me know what you did or didn't like about this book—as well as what we can do to make our books better.

Please note that I cannot help you with technical problems related to the topic of this book. We do have a User Services group, however, where I will forward specific technical questions related to the book.

When you write, please be sure to include this book's title and author as well as your name, email address, and phone number. I will carefully review your comments and share them with the author and editors who worked on the book.

Email: feedback@samspublishing.com

Mail: Paul Boger

Publisher

Sams Publishing 800 East 96th Street

Indianapolis, IN 46240 USA

For more information about this book or another Sams Publishing title, visit our website at www.samspublishing.com. Type the ISBN (0672327988) or the title of a book in the Search field to find the page you're looking for.

Table of Contents

	Introduction	1
	About the Second Edition	1
	Who Should Use This Book?	2
	What This Book Will Do for You	
	Software Necessary to Use This Book	3
	How This Book Is Organized	3
	Part I: The Big Picture	3
	Part II: Testing Fundamentals	4
	Part III: Applying Your Testing Skills	4
	Part IV: Supplementing Your Testing	5
	Part V: Working with Test Documentation	5
	Part VI: The Future	
	Appendix	6
	Conventions Used in This Book	6
Part I	The Big Picture	
1	Software Testing Background	9
	Infamous Software Error Case Studies	9
	Disney's Lion King, 1994–1995	. 10
	Intel Pentium Floating-Point Division Bug, 1994	10
	NASA Mars Polar Lander, 1999	11
	Patriot Missile Defense System, 1991	12
	The Y2K (Year 2000) Bug, circa 1974	12
	Dangerous Viewing Ahead, 2004	13
	What Is a Bug?	13
	Terms for Software Failures	13
	Software Bug: A Formal Definition	
	Why Do Bugs Occur?	16
	The Cost of Bugs	. 18
	What Exactly Does a Software Tester Do?	19
	What Makes a Good Software Tester?	20
	Summary	21
	Quiz	22

xii Sofware Testing

2	The Software Development Process	23
	Product Components	23
	Product Components What Effort Goes Into a Software Product?	24
	What Parts Make Up a Software Product?	
	Software Project Staff	
	Software Development Lifecycle Models	30
	Big-Bang Model	31
	Code-and-Fix Model	32
	Waterfall Model	
	Spiral Model	34
	Summary Ass Mas Constant Value Value Ass.	36
	Quiz	
3	The Realities of Software Testing	37
	Testing Axioms	38
	It's Impossible to Test a Program Completely	38
	Software Testing Is a Risk-Based Exercise	
	Testing Can't Show That Bugs Don't Exist	
	The More Bugs You Find, the More Bugs There Are	
		41
	Not All the Bugs You Find Will Be Fixed	42
	When a Bug's a Bug Is Difficult to Say	43
	Product Specifications Are Never Final	44
	Software Testers Aren't the Most Popular Members of a	
	Project Team.	45
	Software Testing Is a Disciplined Technical Profession	
	Software Testing Terms and Definitions	46
	Precision and Accuracy	46
	Verification and Validation	47
	Quality and Reliability	48
	Testing and Quality Assurance (QA)	48
		49
	Quiz	49
: 11	Testing Fundamentals	
4	Examining the Specification	53
	Getting Started	53
	Black-Box and White-Box Testing	55
	Static and Dynamic Testing	
	Static Black-Box Testing: Testing the Specification	

	Contents	xiii
	Performing a High-Level Review of the Specification	57
	Pretend to Be the Customer	57
	Research Existing Standards and Guidelines	. 58
	Review and Test Similar Software	59
	Low-Level Specification Test Techniques	60
	Specification Attributes Checklist	60
	Specification Terminology Checklist	61
	Summary	61
	Quiz	62
5	Testing the Software with Blinders On	63
3		03
	Dynamic Black-Box Testing: Testing the Software While	8
	Blindfolded	64
	Test-to-Pass and Test-to-Fail	66
	Equivalence Partitioning	67
	Data Testing	70
	Boundary Conditions	70
	Sub-Boundary Conditions	75
	Default, Empty, Blank, Null, Zero, and None	77
	Invalid, Wrong, Incorrect, and Garbage Data	78
	State Testing Testing the Software's Logic Flows	79
	Testing States to Fail	80
	Testing States to Fail	84
	Other Black-Box Test Techniques Behave Like a Dumb User	87
	Look for Bugs Where You've Already Found Them	87
	Think like a Hacker	
	Follow Experience, Intuition, and Hunches	88
	Summary	89
	Quiz	89
	A solve 1 feeting 140°	09
6	Examining the Code	91
	Static White-Box Testing: Examining the Design and Code	91
	Formal Reviews Garages in a base little and some street and	92
	Peer Reviews	94
	Walkthroughs	95
	Inspections	95
	Coding Standards and Guidelines	96
	Examples of Programming Standards and Guidelines	96
	Obtaining Standards	98

xiv	Sofware	Testino	a
XIV	Sofware	rest	ıng

	Generic Code Review Checklist	
	Data Reference Errors	99
	Data Declaration Errors	100
	Computation Errors	101
	Comparison Errors	
	Control Flow Errors	102
	Subroutine Parameter Errors	102
	Input/Output Errors	102
	Other Checks	103
	Summary	103
	Quiz	104
7	Testing the Software with X-Ray Glasses	105
	Dynamic White-Box Testing	106
	Dynamic White-Box Testing Versus Debugging	107
	Testing the Pieces	108
	Unit and Integration Testing	109
	An Example of Module Testing	
	Data Coverage	113
	Data Flow	114
	Sub-Boundaries	115
	Formulas and Equations	115
	Error Forcing	116
		117
		118
	Branch Coverage	119
	Condition Coverage	120
	Summary	121
	Quiz	122
Part III	Applying Your Testing Skills	
8	Configuration Testing	125
	An Overview of Configuration Testing	126
	Isolating Configuration Bugs	129
	Sizing Up the Job	131
	Approaching the Task	. 132
	Decide the Types of Hardware You'll Need	133
	Decide What Hardware Brands, Models, and Device	
	Drivers Are Available	133

	Contents	XV
	Decide Which Hardware Features, Modes, and Options Are	
	Possible Pare Down the Identified Hardware Configurations to a	134
	Manageable Set	134
	Identify Your Software's Unique Features That Work with the	
	Hardware Configurations	135
	Design the Test Cases to Run on Each Configuration	136
	Execute the Tests on Each Configuration	
	Rerun the Tests Until the Results Satisfy Your Team	
	Obtaining the Hardware	137
	Identifying Hardware Standards	139
	Configuration Testing Other Hardware	139
	Summary	
	Quiz	140
9	Compatibility Testing	141
	Compatibility Testing Overview	142
	Platform and Application Versions	143
	Backward and Forward Compatibility	144
	The Impact of Testing Multiple Versions	
	Standards and Guidelines	
	High-Level Standards and Guidelines	147
	Low-Level Standards and Guidelines	148
	Data Sharing Compatibility	148
		150
	Quiz	151
10	Foreign-Language Testing	153
	Making the Words and Pictures Make Sense	154
	Translation Issues	154
	Text Expansion	155
	ASCII, DBCS, and Unicode	155
	Hot Keys and Shortcuts	. 156
	Extended Characters Computations on Characters	157
		158
	0	158
	Text in Graphics	159
	Keep the Text out of the Code	159

xvi Sofware Testing

	Localization Issues	160
	Content	160
	Data Formats	162
	Configuration and Compatibility Issues	163
	Foreign Platform Configurations	163
	Data Compatibility	165
15.3	How Much Should You Test?	166
	Summary	167
	Quiz	. 168
11	Usability Testing	169
16.1	User Interface Testing	
	What Makes a Good UI?	
	Follows Standards and Guidelines	
	Intuitive.	173
	Consistent godffiedona	
	Flexible	175
	Comfortable	
	Correct	
	Useful	
	Testing for the Disabled: Accessibility Testing	178
	Legal Requirements	
	Accessibility Features in Software	180
	Summary	182
	Quiz	182
12	Testing the Documentation	183
	Types of Software Documentation	183
	The Importance of Documentation Testing	187
	What to Look for When Reviewing Documentation	188
	The Realities of Documentation Testing	189
	Summary	190
	Quiz	190
13	Testing for Software Security	193
	WarGames—the Movie	194
	Understanding the Motivation	
	Threat Modeling	
	Is Software Security a Feature? Is Security Vulnerability a Bug?	
	Understanding the Buffer Overrun	201
	Chacistanding the Builer Overrall	201

		Contents	xvii
	Using Safe String Functions	1	203
	Computer Forensics		205
	Summary		208
	Quiz		209
14	Website Testing		211
	Web Page Fundamentals		212
	Black-Box Testing		
	Text		215
	Hyperlinks		216
	Graphics	100	217
	Forms		217
	Objects and Other Simple Miscellaneous Fund	ctionality	218
	Gray-Box Testing White-Box Testing		218
	White-Box Testing		220
	Configuration and Compatibility Testing		
	Usability Testing		
	Introducing Automation		226
	Summary		227
	Quiz		227
Part IV	Supplementing Your Testing		
15	Automated Testing and Test Tools		231
	The Benefits of Automation and Tools		231
	Test Tools		233
	Viewers and Monitors		234
	Drivers		235
	Stubs		236
	Stress and Load Tools		237
	Interference Injectors and Noise Generators		238
	Analysis Tools		239
	Software Test Automation		239
	Macro Recording and Playback		240
	Programmed Macros		242
	Fully Programmable Automated Testing Tools		243
	Random Testing: Monkeys and Gorillas		245
	Dumb Monkeys		246
	Semi-Smart Monkeys		248
	Smart Monkeys		248

XVIII	Sofware Testing	
	Realities of Using Test Tools and Automation	250
	Summary	251
	Quiz	252
16	Bug Bashes and Beta Testing	253
	Having Other People Test Your Software	253
	Test Sharing	255
	Beta Testing	256
	Outsourcing Your Testing	258
	Summary	259
	Quiz	259
Part V	Working with Test Documentation	
17	Planning Your Test Effort	263
1,000	The Goal of Test Planning	
	Test Planning Topics	
	High-Level Expectations	
	People, Places, and Things	
	Definitions	
	Inter-Group Responsibilities	
	What Will and Won't Be Tested	
	Test Phases	
	Test Strategy	
	Resource Requirements	
	Tester Assignments	
	Test Schedule	
	Test Cases	
	Bug Reporting	
	Metrics and Statistics	
	Risks and Issues	
	Summary	
	Quiz	
107		
18	Writing and Tracking Test Cases	277
	The Goals of Test Case Planning	277
	Test Case Planning Overview	279
	Test Design	28
	Test Cases	283
	Test Procedures	285