



世界政治与国际关系原版影印丛书



比较政治学读本

COMPARATIVE POLITICS: NOTES AND READINGS

〔美〕 Bernard E. Brown



北京大学出版社
Peking University Press

Comparative Politics

Notes and Readings

Ninth Edition

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Peking University Press

Beijing

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Bernard E. Brown: **Comparative Politics: Notes and Readings.**

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ISBN: 0-15-507885-2

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图书在版编目(CIP)数据

比较政治学读本/(美)布朗编著. —影印本. —北京:北京大学出版社, 2003. 8
(世界政治与国际关系原版影印丛书)

ISBN 7-301-06154-4

I. 比… II. 布… III. 比较政治学—高等学校教材—英文 IV. D0

中国版本图书馆 CIP 数据核字 (2003) 第 004143 号

书 名: 比较政治学读本(Comparative Politics: Notes and Readings)

著作责任者: [美] Bernard E. Brown 编著

责任编辑: 耿协峰

标准书号: ISBN 7-301-06154-4/D·0713

出版发行: 北京大学出版社

地 址: 北京市海淀区中关村北京大学校内 100871

网 址: <http://cbs.pku.edu.cn>

电 话: 发行部 62750672 邮购部 62752015 编辑部 62753121

电子信箱: zpup@pup.pku.edu.cn

排 版 者: 兴盛达打字服务社

印 刷 者: 北京大学印刷厂

经 销 者: 新华书店

787 毫米×960 毫米 16 开本 25.25 印张 850 千字

2003 年 8 月第 1 版 2003 年 8 月第 1 次印刷

定 价: 44.00 元

《世界政治与国际关系原版影印丛书》

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出版说明

引进和交流,是国际研究诸学科发展壮大所不可或缺的环节和纽带。没有引进和交流,学术就难以活跃,也不易创新。每一位从事世界政治与国际关系研究的学者、每一位学习世界政治与国际关系的学生,无不深感阅读外文原文文献的重要性,他们都深知,原文的报刊、教材和专著,是获取最新国际信息、最新理论论争、最新参考资料的必不可少的重要来源,而获得这样的原文文献的机会是不均等的,因此,他们极其渴望更为方便地直接接触到原文文献。而在目前不易直接在国内购买原版书籍的情况下,采取原版影印的方式引进国际上的优秀教材和专著是解决问题的一条捷径,如此就可以使国内普通读者方便地获得最有权威的原文读物,从而可以快速了解国外同行的教学和学术成果,为深入学习和研究、为开展有效的对外学术交流、也为国际关系诸学科在我国的创新和发展,打下更坚实的基础。

这套“世界政治与国际关系原版影印丛书”,正是基于上述认识而组织出版的,并且得到了我国国际关系教学与科研领域最有权威的专家教授们的认可,他们分别来自于北京大学国际关系学院、复旦大学国际关系与公共事务学院、中国人民大学国际关系学院、外交学院、清华大学国际问题研究所、中国社会科学院世界经济与政治研究所、中共中央党校战略研究所等单位,作为本套丛书的学术顾问,他们愿意向我国该学科及相关领域的广大学者和学生共同推荐这套丛书。

本丛书第一批先行选入几本经典文献选读性质的国外优秀教材,内容主要在国际关系理论方面,也包括国际政治经济学方面的优秀教材。它们皆可称为原文中的精品,值得研读和收藏,不仅如此,由于它们本身在国外的大学课堂里都是应用较广的教材和读物,所以特别适合作为我国国际关系与世界政治专业大学教学中的参考读物,甚至可以直接作为以外文授课的课堂教材。在每本书的前面,我们都邀请国内比较权威的专家学者撰写了精彩的导论,以指导读者更好地阅读和使用这些文献。

今后,我们会陆续推出更新、更好的原版教材和专著,希望广大读者提出宝贵意见和建议,尤其欢迎更多的专家学者向我们推荐适合引进的国外优秀教材和专著,以帮助我们完善这套丛书的出版,并最终形成一套完整的世界政治与国际关系及其相关学科适用的原文教学研究参考书系。

最后也要特别提醒读者,我们引进这套丛书,目的主要在于推动学术交流、促进学科发育、完善教学体系,而其著作者的出发点和指导思想、基本观点和结论等,则完全属于由读者加以认识、比较、讨论甚至批评的内容,均不代表北京大学出版社。

布朗《比较政治学读本》导言

潘 维

布朗《比较政治学读本》是美国比较政治学本科教学参考资料里最有名气的一种，自1961年的第一版到2000年已经出了九版。该读本节选了一些文章和书籍，多为美国学者的作品，也包括少量欧洲古典作品。

这本集子里包含的阅读资料显然“与时俱进”，每一版的重点都不同，体现当时比较政治学的理论兴趣。在此之前，研究社会革命曾经是重点，后来又以“现代化”为重点，再后来研究共产党国家是显学。这一版突出强调了20世纪90年代以来对民主化的研究。这一版的末尾加了一个部分，是关于“善治”的，代表了民主化狂热过后的一种新的兴趣。

美国是比较政治学的发源地，也是这门学科最重要的研究基地。比较政治学是个奇怪的学科，以研究方法得名。几乎所有的政治话题，凡用比较的方法来研究，都称为比较政治学。横向比较其他国家，以及纵向比较历史都属于比较的方法。布朗《比较政治学读本》通常都在第一部分节选方法论方面的文章。比较的方法对理论进步有很大贡献。例如，社会主义、民主主义、农民革命、资本主义等等，在一些国家成功，在另一些国家就不成功。因为比较政治学的发达，比较不同国家成了今天政治学讨论的习惯。在美国，除了国际政治和“美国政治”之外，比较政治学几乎成了政治学的全部内容。

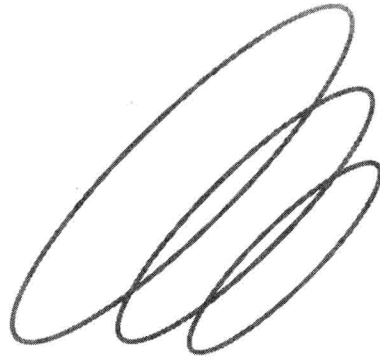
比较政治学在美国起源，主要有四个原因：(1)第二次世界大战后，美国的“国家利益”延伸到世界的几乎每个角落，需要理解那里的政治与经济社会；(2)进行冷战需要理解共产党国家的理论与实践；(3)上述两种需求得到政府和民间财力的积极支持；(4)二战前后欧洲大学教授移民美国，带来了那些欧洲国家在第三世界的经验和知识。

美国一流大学里的政治系教授多有自己特殊的造诣和思想，对读物有所偏重，一般不使用流行于市场的通用读本。他们会给本科生和研究生分别做一个阅读资料集，称为“reader”，由学生自己去复印店里购买。仅仅阅读资料集是不够的，还要读教授布置的书，或书的部分章节。研究生每门课每周的阅读量通常为十万字左右，教授们大体依照这个标准提出阅读要求。通用读本为许多其他大学的比较政治学本科教学提供了方便，减轻了教授的负担，也让本科生较快地了解本学科的状况。

对我国而言，比较政治学还刚刚兴起，这本阅读资料显然是很有意义的，对本科生、研究生、乃至教员都颇具参考价值。对于那些准备留学美国的政治学学子，这本读物能够帮助他们尽快熟悉和适应美国课堂上的话题。

这个读本无疑有浓重的美国色彩，是从美国“主流”的角度来制定取选标准的，属于“非主流”的思想很难得到体现。在美国的一流大学，教授们则还会要求学生兼读《共产党宣言》、《湖南农民运动考察报告》、《怎么办》以及批评帝国主义和市场经济的左派文献。当然，这种要求主要是对研究生提出的。

PREFACE



Almost four decades ago, Roy C. Macridis and I brought out the first edition of this book. Our aim was to introduce students to major approaches in the field of comparative politics, especially those reflecting new theories and concepts. We kept successive editions up-to-date by including choice articles and essays from a wide range of professional journals and books. The extraordinary longevity of this book is a tribute to the creativity, vigor, and significance of the work being done by students of comparative politics and, I should like to think, a vindication of our original conception of the discipline.

Roy Macridis and I met regularly to argue, disagree, and then generally agree (or agree to disagree) in appraising trends within the discipline. Roy passed away in 1991. I decided to respect his memory by continuing, now for the second time, to update this volume, which has proven so useful to generations of students and teachers; but without Roy it is not as much fun.

As in the past I have sought to furnish selections from recent literature while retaining classic statements. The readings provide tools of analysis as well as substantive studies that illustrate their utility. In selecting materials, I have endeavored to avoid bias in favor of any single school, theory, or concept—instead offering a balanced overview of approaches.

Faithful readers will note several changes in the structure of this book. In seeking to understand the ongoing transformation of global society, it is important to maintain contact with the founders of the modern discipline of comparative politics. Two thinkers stand out among these founders: Max Weber and Emile Durkheim. I have added, for reasons explained later, the authors of *The Federalist Papers*. The founders offer models of comparative political analysis: a perspective on the long-term changes in state and society that have accompanied the emergence of modern science; the use of the comparative method in identifying and accounting for differences and similarities; and the need always to relate inquiry to significant issues. Hence, an extended treatment of *The Federalist Papers*, Max Weber's study of capitalism and rural society in Germany, and Emile Durkheim's *Division of Labor* is presented in the introductory essay. All methodological questions in comparative politics can be clarified by reference to these classic works.

The central concern of the founders was the nature and meaning of modernity, marked by the end of feudalism and the outbreak of political revolutions in the late eighteenth century, and its impact on all facets of political systems (legitimacy, political dynamics, decision-making, and performance). It seemed to be appropriate, then, to move to the front of this collection the analysis of political change. Theoretical issues that run through all the selections may thus be examined at the outset.

About half the readings are new to this edition. They reflect the dramatic events of the past decade: the collapse of the Soviet Union and other communist systems in Europe; the struggle of

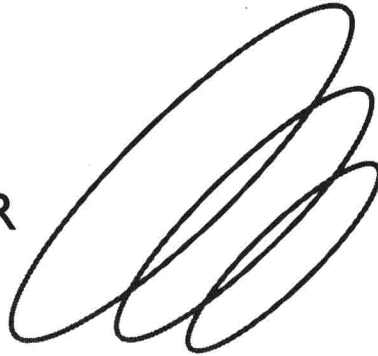
former communist nations to create democratic institutions and a market economy; the explosion of ethnic conflict and the resurgence of religious fundamentalism. I have therefore expanded treatment of transition from authoritarianism to democracy and taken a closer look at relations between the state and civil society, without neglecting problems of established democracies and industrial societies. I hope this collection of writings will encourage a new generation of readers to explore and analyze the major issues of our time in a comparative perspective.

My thanks go to friends and colleagues who have used this book in the past, and have offered their advice and support. I would like to thank Professor George Vernardakis from Middle Tennessee State University for his suggestions. I am grateful also to Susan G. Alkana, editorial consultant to Harcourt College Publishers, for her help in getting this new edition into production.

It remains difficult to do justice to all points of view within the confines of one volume, and responsibility for final choices necessarily is mine. I am grateful, of course, to authors and publishers for granting their kind permission to reprint.

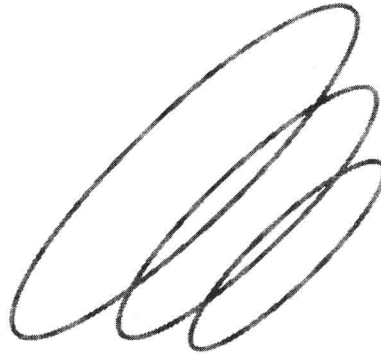
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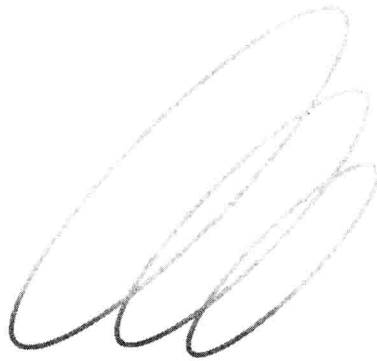
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