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清华经济学系列英文版教材

国际经济学基础

Introduction to International Economics

(美) 多米尼克·萨尔瓦多 (Dominick Salvatore) 著

清华大学出版社

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北京

Dominick Salvatore
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EISBN: 0-471-20226-6

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图书在版编目(CIP)数据

国际经济学基础/(美)萨尔瓦多(Salvatore, D.)著. —北京：清华大学出版社，2006.12
(清华经济学系列英文版教材)

书名原文：Introduction to International Economics

ISBN 978-7-302-14165-5

I. 国… II. 萨… III. 国际经济学—高等学校—教材—英文 IV. F11-0

中国版本图书馆 CIP 数据核字(2006)第 137822 号

责任编辑：王 青
责任印制：孟凡玉

出版发行：清华大学出版社

<http://www.tup.com.cn>

c-service@tup.tsinghua.edu.cn

社总机：010-62770175

投稿咨询：010-62772015

地 址：北京清华大学学研大厦 A 座

邮 编：100084

邮购热线：010-62786544

客户服务：010-62776969

印刷者：北京密云胶印厂

装订者：北京市密云县京文制本装订厂

经 销：全国新华书店

开 本：185×230 印 张：34

版 次：2006 年 12 月第 1 版 印 次：2006 年 12 月第 1 次印刷

印 数：1~5000

定 价：47.00 元

本书如存在文字不清、漏印、缺页、倒页、脱页等印装质量问题，请与清华大学出版社出版部联系调换。联系电话：
010-62770177 转 3103 产品编号：022582-01

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多米尼克·萨尔瓦多是福德姆(Fordham)大学的经济学和商学教授、纽约科学院主席，并在华盛顿的经济政策协会、纽约的联合国以及多家跨国银行和跨国公司担任顾问。

萨尔瓦多教授著有35部书，其中包括《微观经济学：理论和应用》、《国际经济学》等。他还是多家经济学杂志的主编或编委，其100多篇研究论文在顶级商学和经济学杂志以及美国国内或国际学术会议上发表。

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出版说明

为了适应经济全球化的发展趋势,满足国内广大读者了解、学习和借鉴国外先进的管理经验和掌握经济理论的前沿动态,清华大学出版社与国外著名出版公司合作影印出版一系列英文版经济管理方面的图书。我们所选择的图书,基本上是已再版多次、在国外深受欢迎、并被广泛采用的优秀教材,绝大部分是该领域中较具权威性的经典之作。

由于原作者所处国家的政治、经济和文化背景等与我国不同,对书中所持观点,敬请广大读者在阅读过程中注意加以分析和鉴别。

我们期望这套影印书的出版对我国经济科学的发展能有所帮助,对我国经济管理专业的教学能有所促进。

欢迎广大读者给我们提出宝贵的意见和建议;同时也欢迎有关的专业人士向我们推荐您所接触到的国外优秀图书。

清华大学出版社经管事业部

2006.11

世纪之交，中国与世界的发展呈现最显著的两大趋势——以网络为代表的信息技术的突飞猛进，以及经济全球化的激烈挑战。无论是无远弗界的因特网，还是日益密切的政治、经济、文化等方面的国际合作，都标示着21世纪的中国是一个更加开放的中国，也面临着一个更加开放的世界。

教育，特别是管理教育总是扮演着学习与合作的先行者的角色。改革开放以来，尤其是20世纪90年代之后，为了探寻中国国情与国际上一切优秀的管理教育思想、方法和手段的完美结合，为了更好地培养高层次的“面向国际市场竞争、具备国际经营头脑”的管理者，我国的教育机构与美国、欧洲、澳洲以及亚洲一些国家和地区的大量的著名管理学院和顶尖跨国企业建立了长期密切的合作关系。以清华大学经济管理学院为例，2000年，学院顾问委员会成立，并于10月举行了第一次会议，2001年4月又举行了第二次会议。这个顾问委员会包括了世界上最大的一些跨国公司和中国几家顶尖企业的最高领导人，其阵容之大、层次之高，超过了世界上任何一所商学院。在这样高层次、多样化、重实效的管理教育国际合作中，教师和学生与国外的交流机会大幅度增加，越来越深刻地融入到全球性的教育、文化和思想观念的时代变革中，我们的管理教育工作者和经济管理学习者，更加真切地体验到这个世界正发生着深刻的变化，也更主动地探寻和把握着世界经济发展和跨国企业运作的脉搏。

我国管理教育的发展，闭关锁国、闭门造车是绝对不行的，必须同国际接轨，按照国际一流的水准来要求自己。正如朱镕基同志在清华大学经济管理学院成立十周年时所发的贺信中指出的那样：“建设有中国特色的社会主义，需要一大批掌握市场经济的一般规律，熟悉其运行规则，而又了解中国企业实情的经济管理人才。清华大学经济管理学院就要敢于借鉴、引进世界上一切优秀的经济管理学院的教学内容、方法和手段，结合中国的国情，办成世界第一流的经管学院。”作为达到世界一流的一个重要基础，朱镕基同志多次建议清华的MBA教育要加强英语教学。我体会，这不仅因为英语是当今世界交往中重要的语言工具，是连接中国与世界上重要桥梁和媒介，而且更是中国经济管理人才参与国际竞争，加强国际合作，实现中国企业的国际战略的基石。推动和实行英文教学并不是目的，真正的目的在于培养学生——这些未来的企业家——能够具备同国际竞争对手、合作伙伴沟通和

抗的能力。按照这一要求，清华大学经济管理学院正在不断推动英语教学的步伐，使得英语不仅是一门需要学习的核心课程，而且渗透到各门专业课程的学习当中。

课堂讲授之外，课前课后的大量英文原版著作、案例的阅读对于提高学生的英文水平也是非常关键的。这不仅是积累相当的专业词汇的重要手段，而且是对学习者思维方式的有效训练。

我们知道，就阅读而言，学习和借鉴国外先进的管理经验和掌握经济理论动态，或是阅读翻译作品，或是阅读原著。前者属于间接阅读，后者属于直接阅读。直接阅读取决于读者的外文阅读能力，有较高外语水平的读者当然喜欢直接阅读原著，这样不仅可以避免因译者的疏忽或水平所限而造成的纰漏，同时也可以尽享原作者思想的真实表达。而对于那些有一定外语基础，但又不能完全独立阅读国外原著的读者来说，外文的阅读能力是需要加强培养和训练的，尤其是专业外语的阅读能力更是如此。如果一个人永远不接触专业外版图书，他在获得国外学术信息方面就永远会比别人差半年甚至一年的时间，他就会在无形中减弱自己的竞争能力。因此，我们认为，有一定外语基础的读者，都应该尝试一下阅读外文原版，只要努力并坚持，就一定能过了这道关，到那时就能体验到直接阅读的妙处了。

在掌握大量术语的同时，我们更看重读者在阅读英文原版著作时对于西方管理者或研究者的思维方式的学习和体会。我认为，原汁原味的世界级大师富有特色的表达方式背后，反映了思维习惯，反映了思想精髓，反映了文化特征，也反映了战略偏好。知己知彼，对于跨文化的管理思想、方法的学习，一定要熟悉这些思想、方法所孕育、成长的文化土壤，这样，有朝一日才能真正“具备国际战略头脑”。

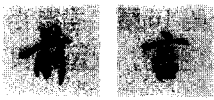
以往，普通读者购买和阅读英文原版还有一个书价的障碍。一本外版书少则几十美元，多则上百美元，一般读者只能望书兴叹。随着全球经济合作步伐的加快，目前在出版行业有了一种新的合作出版的方式，即外文影印版，其价格几乎与国内同类图书持平。这样一来，读者可以不必再为书价发愁。清华大学出版社这些年在这方面一直以独特的优势领先于同行。早在1997年，清华大学出版社敢为人先，在国内最早推出一批优秀商学英文版教材，规模宏大，在企业界和管理教育界引起不小的轰动，更使国内莘莘学子受益良多。

为了配合清华大学经济管理学院推动英文授课的急需，也为了向全国更多的MBA试点院校和更多的经济管理学院的教师和学生提供学习上的支持，清华大学出版社再次隆重推出与世界著名出版集团合作的英文原版影印商学教科书，也使广大工商界人士、经济管理类学生享用到最新最好质优价廉的国际教材。

祝愿我国的管理教育事业在社会各界的大力支持和关心下不断发展、日进日新；祝愿我国的经济建设在不断涌现的大批高层次的面向国际市场竞争、具备国际经营头脑的管理者的勉力经营下早日中兴。

赵纯均 教授

清华大学



本书是适用于一个学期课程的国际经济学教材，针对的是那些只上过一两门经济学原理课程的本科生。本书旨在通过大量实例研究引导学生进入国际经济学领域，告诉学生学习国际经济学的重要性和相关性。本书解释了国际经济的运行机制，它能给国家和人民带来哪些好处，以及全球经济面临的主要问题。所有这些都以清楚、接近现实的方式讲解，没有很强经济学背景的学生也可以很容易地理解。

当前国际经济面临的挑战

本书对国际经济的理论和原则进行了简洁但全面、及时和清晰的探讨。这些理论和原则对于理解、评价并解决当前美国与世界所面临的重要问题都是绝对必要的。这些问题包括：

1. 国际自由贸易受到的制约仍很严重，世界正分裂为几个主要的贸易区。
2. 资本市场的全球化导致汇率波动，金融环境日益动荡和政府在国内货币控制的进一步弱化。
3. 发展中国家、新兴国家和经济转型国家频频出现的金融与经济危机威胁着整个国际货币体系的稳定性。
4. 欧洲居高不下的结构性失业及缓慢的经济增长，以及日本持续10年之久的经济萧条，使世界贸易与世界经济增长趋缓，并导致更多的贸易争端。
5. 飞速的技术变革、全球化以及不断增强的国际竞争正在导致美国就业保障的大规模缩减，尽管失业率较低。
6. 中东欧和苏联的经济转轨进程不稳定，而且不如预期的迅速，这向全世界提出了挑战。
7. 许多发展中国家的极度贫穷以及愈演愈烈的国际间不平衡，向世界提出了强烈的道义、政治和发展问题。

全书的结构

全书共分为6部分。第1部分（第2章至第4章）介绍贸易理论（如贸易基础和贸易所得）。第2部分（第5章至第6章）介绍贸易政策（如贸易壁垒及其效应）。第3部分（第7章至第9章）考察经济一体化、经济发展和国际资源流动。第4部分（第10章至第12章）介绍一国国际收支平衡的衡量方法、外汇市场和汇率的决定。第5部分（第13章至第14章）考察开放经

济宏观经济学或国内经济与世界其他经济体的相互关系。第6部分(第15章至第16章)考察当前国际货币体系的运作情况。在典型的国际经济学课程中,老师可能会跳过第3部分,只留下其他的12章和第1章导论,从而可以一周讲授一章。

学生须知

- 各章节采用同一个实例来讨论含义相同的基本概念,这是本书的一大特色。例如,从第2章至第6章(讨论贸易理论与政策)所使用的图表与计量模型是相同的。这使学生不必每次都学习一个新实例,从而大大减轻了负担。
- 实例与图表中所使用的实际数值是按比例来表现的。这使概念和理论更具体、更易于接受,也更恰当,图表更易于阅读和理解。
- 全书共有81个案例。每章3~6个不等。这些实际的案例研究显示了国际经济学的重要性以及与现实的相关性。
- 每章均按数字排列划分,方便查阅。所有的图表均在文中有详细解释,并在标题下做了简单归纳。

每章都包括下列内容:

- 本章小结(summary)。回顾了每一章的重要内容。
- 关键术语(key terms)。各章的重点术语以黑体列出,并附有英文。全书最后附有全部关键术语总表。
- 复习题与练习题(review questions and problems)。要求学生进行运算或对某一特定事件做出解释。
- 附录(appendices)。比正文中内容更难的材料在附录中提供。
- 参考书目(selected bibliography)。包括对文中所涉及问题最具参考价值的详细书目。
- 因特网(Internet)。每章结尾都提供数据资料、信息和每章议题分析的链接与网址,可使学生进入并使用因特网中丰富的信息资源。

除课文外还有:

- 网站(website)。网站提供更多的例子、案例、知识点以及可以通过网络解答的问题和习题。为反映国际经济中重要的新进展,我们会不断对网站进行更新。

教师须知

- 作者提供的教师手册,包括各章教学目标和授课建议,各章后问题的答案,每章一套共15道多项选择题及答案,以及各章的附加问题和附加的文章。
- 教师手册中还提供教材中全部图形与表格的幻灯片。
- 每章的PowerPoint,由科罗拉多大学的Dale Deboer教授提供。

福德姆大学(Fordham University)著名经济学教授
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To My Mother

Preface

This is the first edition of a text for a one-semester course in international economics for undergraduate economics and business programs whose students have only one or two courses in principles of economics. The aim of this text is to provide the student with an introduction to the field of international economics using many real-world case studies. This is to point out to the student the relevance and importance of studying international economics. The text seeks to explain how the world economy works, the major benefits that it provides to people and nations, and the most serious problems that it faces, all in a clear and realistic way that students with a limited background in economics can understand.

CHALLENGES FACING THE WORLD ECONOMY TODAY

The text sets out to present a simple but comprehensive, up-to-date, and clear exposition of the principles of international economics. These principles are essential for the understanding, evaluating, and solving the important issues facing the United States and the world today.

These issues include:

1. The restrictions on the flow of international trade that remain high and the breaking up of the world into several major trading blocks.
2. The globalization of capital markets which has led to volatile exchange rates, unstable financial conditions, and erosion of governments' control over domestic monetary matters.

3. The frequent financial and economic crises in developing, emerging, and transitional economies that threaten the stability of the entire international monetary system.
4. The high structural unemployment and slow growth in Europe, as well as a decade long economic crisis in Japan, that dampen the growth of world trade and the world economy, leading to many trade disputes.
5. The rapid technological changes, globalization, and increased international competition that are causing widespread downsizing and job insecurity in the United States, even under low unemployment rates.
6. The restructuring of the transition economies of Central/Eastern Europe and the former Soviet Republics that is not proceeding as evenly and as rapidly as expected. This presents a challenge for the entire world.
7. The deep poverty in many developing countries and the widening international inequalities that pose serious moral, political, and developmental problems in the world today.

ORGANIZATION OF THE BOOK

The book is organized into six parts. Part One (Chapters 2–4) deals with trade theory (i.e., the basis and the gains from trade). Part Two (Chapters 5 and 6) deals with trade policy (i.e., obstructions to the flow of trade and their effects). Part Three (Chapters 7–9) examines economic integration, economic development, and international resource flows. Part Four (Chapters 10–12) deals with the measurement of a nation's balance of payments, foreign exchange markets, and exchange rate determination. Part Five (Chapters 13–14) examines open-economy macroeconomics or the relationships between the domestic economy and the rest of the world. Part Six (Chapters 15–16) examines the operation of the present international monetary system. In a typical course in international economics, instructors may drop the chapters in Part Three, leaving 12 chapters plus the introductory material. This will allow them to cover one chapter per week during the course.

For the Student

- *An example is utilized in all chapters that deal with the same basic concepts discussed in the text.* This feature is unique to this text. For example, the same graphical and numerical model is used in all chapters from Chapters 2 through 6 (the chapters that deal with trade theory and policy). This greatly reduces the real burden on the student, who will not have to start with a new example every time.

- *Actual numbers are used in the examples and the graphs are presented on scale.* This makes the various concepts and theories more concrete, accessible, and pertinent to the student. It also makes the graphs easier to read and to understand.
- *There are 81 case studies* (from three to six per chapter) that show the relevance and importance of international economics.
- *The sections in each chapter are numbered for easier referencing.* All of the graphs and diagrams are carefully explained in the text and then summarized in the captions.
- The judicious use of *color and shading* enhances the readability of the text and aids the student in understanding the principles involved.

Each chapter includes:

- **Learning Objectives**
- **Key Terms** that are introduced in bold-face type with their definition appearing in the margin. A glossary of all these terms is provided at the end of the book.
- **Concept Checks** that summarize the most important concepts introduced in the text. Being able to use these questions in studying helps the student in the learning process.
- A **Summary** paragraph that reviews each section of the text.
- **Review Questions and Problems** that ask the student to calculate a specific measure or explain a particular event.
- **Appendices** that develop more difficult material than what is presented in the chapter.
- **Selected Bibliographies** that are included along with specific mention of the topics they deal with. An authors index is included at the end of the book for reference.
- An **INTERNET** section at the end of each chapter that provides Internet site addresses or links to data sources, information, and analyses of the topics presented in each chapter. This shows the student how to access and use the wealth of information available on the Internet.

Also included are:

- A **Website** for the book that presents additional examples, cases, and theoretical points and includes questions, as well as problems, that can be answered or solved using the Internet. The Website will be continuously updated to reflect important new developments in the international economy as they unfold.
- A **Study Guide** that is prepared by Professor Arthur Raymond of Muhlenberg College is available for students. This provides the student an

extensive review of key concepts, numerous additional illustrative examples, and practice problems and exercise sets.

- A **Schaum Outline** on the *Theory and Problems of International Economics* (4th edition, 1996), prepared by the author, that can be purchased in most bookstores. This provides a problem-solving approach to the topics presented in the traditional way in this and other international economics texts.

For the Instructor

- An **Instructor's Manual**, prepared by the author, is available which includes chapter objectives and lecture suggestions, answers to the end-of-chapter problems, a set of 15 multiple-choice questions with answers, and additional problems and essays for each part of the text.
- **Transparency masters** for all the figures and tables in the text are available in the *Instructor's Manual*.
- **PowerPoints** for each chapter, prepared by Professor Dale DeBoer of the University of Colorado.

ACKNOWLEDGMENTS

This text grew out of the undergraduate courses in international economics that I have taught at Fordham University over the past 30 years. I was very fortunate to have had many excellent students whose questions and comments contributed to the clarity of this text.

I owe a great intellectual debt to my brilliant former teachers of international economics, Professor Arthur I. Bloomfield and Professor Michael Michaely. I have received much useful advice by Professors Robert Baldwin (University of Wisconsin), Jagdish Bhagwati (Columbia University), Alan Blinder (Princeton University), and William Branson (Princeton University), Phillip Cagan (Columbia University), Richard Cooper (Harvard University), W.M. Corden (Johns Hopkins University), the late Rudi Dornbusch (MIT), Martin Feldstein (Harvard University and National Bureau of Economic Research), Ronald Findlay (Columbia University), Gerald Helleiner (University of Toronto), Lawrence Klein (University of Pennsylvania), Ronald McKinnon (Stanford University), Robert Mundell (Columbia University), Edmund Phelps (Columbia University), Jeffrey Sachs (Columbia University), T.N. Srinivasan (Yale University), Robert Stern (University of Michigan), Joseph Stiglitz (Columbia University), and Lawrence Summers (former U.S. Treasury Secretary and now President of Harvard University).

I have also received assistance in preparing the sixth edition of this text by various people in a number of well-known international and national organizations: Michael Mussa, Vito Tanzi, and George Tavlas, formerly of the International Monetary Fund; Michael Finger and David Tarr of the World Bank; Fred Campano, Karl Sauvart, and Douglas Walker, formerly of the United Nations; Robert Balckhurst and Ake Linden of the World Trade Organization (WTO); Antonio Costa, formerly of the European Bank for Reconstruction and Development and now the Chief Anticorruption Officer of the United Nations; Andre' Sapir of the European Economic Community; Per M. Wijkman of the European Free Trade Association; Donald Rousslang of the U.S. International Trade Commission; Victor Fuchs and Robert E. Lipsey of the National Bureau of Economics Research; Morris Morkre of the Federal Trade Commission; Larry Mishel of the Economic Policy Institute; and Jacob Frenkel, former Governor of the Bank of Israel and now Vice-President of Merrill Lynch.

The following Professors reviewed the text and made many valuable suggestions:

Jeffrey K. Sarbaum
*University of North Carolina at
Greensboro*

Kwan S. Kim
University of Notre Dame

Gregory Brock
Georgia Southern University

Richard T. Baillie
Michigan State University

David Lehr
Longwood College

William H. Phillips
University of South Carolina

Fred J. Ruppel
Eastern Kentucky University

Jaishankar Raman
Valparaiso University

William Holmes
Temple University

Robert Scully
Barry University

Christopher Cornell, Clive Daniels, Edward Dowling, Nick Gianaris, Duncan James, Darryl McLeod, Derrick Reagle, James Santangelo, and Henry Schwalbenberg, my colleagues at Fordham University, have also provided much useful advice. My graduate assistants: John Espinosa, Matthew Festa, and Daniel Moalusi provided significant help with many aspects of the project.

Finally, I would like to express my gratitude to Susan J. Elbe, my Publisher at Wiley; Judith Joseph, Associate Publisher and Leslie Kraham, my

Acquisition Editor; Cindy Rhodes, Associate Editor; Janine Rosado, Production Editor; Heather King, Marketing Manager; Jessica Bartelt and Brigeth Rivera, Editorial Assistants; and the entire staff at Wiley for their kind and skillful assistance. Finally, I would like to thank Angela Bates and Rae Fortunato, department secretaries, for their efficiency and cheerful disposition.

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