

刘彦佩选集

(Selected Publications of Y.P.Liu)

第二十三编

时代文献出版社

刘彦佩选集

(Selected Publications of Y.P.Liu)

第二十三编



时代文献出版社

刘彦佩选集（第二十三编）

作 者：刘彦佩

出版单位：时代文献出版社

编辑设计：北京时代弄潮文化发展公司

地 址：北京中关村海淀图书城25号家谱传记楼二层

电 话：010-62525116 13693651386

网 址：www.grcsw.com

印 刷：京冀印刷厂

开 本：880×1230 1/16

版 次：2016年3月第1版

书 号：ISBN 978-988-18772-5-3

定 价：全套 1978.00元（共计23编）

版权所有 翻印必究

第二十三编 目录

| | |
|--|-------|
| Vol.23 of Selected Papers | 11201 |
| 23.1 Surface embeddability of graphs via reductions | 11203 |
| 23.2 Up-embeddability and independent number of simple graphs(S.X. Lv) | 11210 |
| 23.3 Homology and cohomology on graphs | 11217 |
| 23.4 On a meson equation of surface type | 11223 |
| 23.5 Vertex splitting and upper embeddable graphs(G.H Dong, N. Wang, Y.Q Huang, H. Ren) | 11231 |
| 23.6 On the number of genus embeddings of complete tripartite graph $K_{n,n,l}$ (Z.L. Shao) | 11245 |
| 23.7 A equation for enumerating loopless unicursal maps (Y.L. Zhang, J.L. Cai) | 11259 |
| 23.8 Enumeration of Eulerian planar near-quadrangulations (Y.L. Zhang, R.X. Hao) | 11263 |
| 23.9 On the functional equations in rectilinear embedding — Counting rooted near quadrangulations on the sphere (L.Y. Pan, R.X. Hao) | 11267 |
| 23.10 Counting two types of quadrangulations: Rooted near quadrangulations on the disc and nonseparable outerplanar quadrangulations(L.Y. Pan, R.X. Hao) | 11272 |
| 23.11 Up-embeddability of graphs with new degree-sum of independent vertices(S.X. Lv) | 11276 |
| 23.12 A meson equation of surface type | 11284 |
| 23.13 General investigation of loopless maps on surface (L.Y. Pan) | 11292 |
| 23.14 Genus polynomial for similar benzene structure graphs(J.C. Zeng) | 11298 |

| | |
|---|-------|
| 双语补遗 | 11311 |
| 23.15 On average crosscap number of a graph(Y.S. Zhang, Y.C. Chen) | 11313 |
| 23.16 Joint-tree model and the maximum genus of graphs(G.H. Dong, N. Wang, Y.Q. Huang) | 11334 |
| 23.17 Minimum genera of certain types of graphs (Z.L. Shao) | 11346 |
| 23.18 Chromatic sums of biloopless nonseparable near-triangulations on the projective plane(Z.X. Li) | 11360 |
| 23.19 Lower bound on the maximum genus of triangle-free graphs(G.H. Dong) | 11372 |
| 23.20 On the number of genus embeddings of complete bipartite graphs(Z.L. Shao, Z.G. Li) | 11379 |
| 23.21 Up-embeddability of graphs with new degree sum of independent vertices(S.X. Lv) | 11390 |
| 23.22 利用商运算计数简单四剖分(潘立彦) | 11398 |
| 23.23 我所初识的高等图论(XXV): 迂的圈剖分 | 11402 |
| 23.24 我所初识的高等图论(XXVI): 图的双圈覆盖 | 11423 |
| 23.25 On Partition of a Travel by Circuits | 11442 |
| 23.26 Proofs of WEC, CDCC and SEC on Graphs | 11465 |
| 23.27 中文选目录 | 11482 |
| 23.28 英文选目录 | 11489 |
| 23.29 著作目录 | 11503 |
| 23.30 自述纲要 | 11579 |
| 23.31 选集总释义 | 11731 |
| 23.32 选集总目录 | 11746 |

Liu Yanpei
Selected Papers
Volume 23

Beijing Jiaotong University
2014

Contents

| | | |
|----------|--|------|
| 251[471] | Surface embeddability of graphs via reductions | 2253 |
| 252[472] | Up-embeddability and independent number of simple graphs | 2260 |
| 253[473] | Homology and cohomology on graphs | 2267 |
| 254[474] | On a meson equation of surface type | 2273 |
| 255[475] | Vertex splitting and upper embeddable graphs..... | 2281 |
| 256[476] | On the number of genus embeddings of complete tripartite graph $K_{n,n,l}$ | 2295 |
| 257[477] | A equation for enumerating loopless unicursal maps | 2209 |
| 258[478] | Enumeration of Eulerian planar near-quadrangulations | 2313 |
| 259[479] | On the functional equations in rectilinear embedding— Counting rooted near quadrangulations on the sphere..... | 2317 |
| 260[480] | Counting two types of quadrangulations: Rooted near quadrangulations on the disc and nonseparable outerplanar quadrangulations | 2322 |
| 261[483] | Up-embeddability of graphs with new degree-sum of independent vertices | 2326 |
| 262[485] | A meson equation of surface type | 2334 |
| 263[489] | General investigation of loopless maps on surface | 2342 |
| 264[491] | Genus polynomial for similar benzene structure graphs..... | 2348 |

Surface Embeddability of Graphs via Reductions

Yanpei Liu

(Institute of Mathematics, Beijing Jiaotong University, Beijing 100044, P.R.China)

E-mail: ypliu@bjtu.edu.cn

Abstract: On the basis of reductions, polyhedral forms of Jordan axiom on closed curve in the plane are extended to establish characterizations for the surface embeddability of a graph.

Key Words: Surface, graph, Smarandache λ^S -drawing, embedding, Jordan closed curve axiom, forbidden minor.

AMS(2010): 05C15, 05C25

§1. Introduction

A drawing of a graph G on a surface S is such a drawing with no edge crosses itself, no adjacent edges cross each other, no two edges intersect more than once, and no three edges have a common point. A Smarandache λ^S -drawing of G on S is a drawing of G on S with minimal intersections λ^S . Particularly, a Smarandache 0-drawing of G on S , if existing, is called an embedding of G on S .

The classical version of Jordan curve theorem in topology states that a single closed curve C separates the sphere into two connected components of which C is their common boundary. In this section, we investigate the polyhedral statements and proofs of the Jordan curve theorem.

Let $\Sigma = \Sigma(G; F)$ be a polyhedron whose underlying graph $G = (V, E)$ with F as the set of faces. If any circuit C of G not a face boundary of Σ has the property that there exist two proper subgraphs In and Ou of G such that

$$In \bigcup Ou = G; In \bigcap Ou = C, \quad (A)$$

then Σ is said to have the *first Jordan curve property*, or simply write as 1-JCP. For a graph G , if there is a polyhedron $\Sigma = \Sigma(G; F)$ which has the 1-JCP, then G is said to have the 1-JCP as well.

Of course, in order to make sense for the problems discussed in this section, we always suppose that all the members of F in the polyhedron $\Sigma = \Sigma(G; F)$ are circuits of G .

Theorem A(First Jordan curve theorem) *G has the 1-JCP If, and only if, G is planar.*

Proof Because of $\mathcal{H}_1(\Sigma) = 0, \Sigma = \Sigma(G; F)$, from Theorem 4.2.5 in [1], we know that

¹Received December 25, 2010. Accepted August 25, 2011.

$\text{Im } \partial_2 = \text{Ker } \partial_1 = \mathcal{C}$, the cycle space of G and hence $\text{Im } \partial_2 \supseteq F$ which contains a basis of \mathcal{C} . Thus, for any circuit $C \notin F$, there exists a subset D of F such that

$$C = \sum_{f \in D} \partial_2 f; \quad C = \sum_{f \in F \setminus D} \partial_2 f. \quad (B)$$

Moreover, if we write

$$Ou = G[\bigcup_{f \in D} f]; \quad In = G[\bigcup_{f \in F \setminus D} f],$$

then Ou and In satisfy the relations in (A) since any edge of G appears exactly twice in the members of F . This is the sufficiency.

Conversely, if G is not planar, then G only have embedding on surfaces of genus not 0. Because of the existence of non contractible circuit, such a circuit does not satisfy the 1-JCP and hence G is without 1-JCP. This is the necessity. \square

Let $\Sigma^* = \Sigma(G^*; F^*)$ be a dual polyhedron of $\Sigma = \Sigma(G; F)$. For a circuit C in G , let $C^* = \{e^* \mid \forall e \in C\}$, or say the corresponding vector in \mathcal{G}_1^* , of $C \in \mathcal{G}_1$.

Lemma 1 *Let C be a circuit in Σ . Then, $G^* \setminus C^*$ has at most two connected components.*

Proof Suppose H^* be a connected component of $G^* \setminus C^*$ but not the only one. Let D be the subset of F corresponding to $V(H^*)$. Then,

$$C' = \sum_{f \in D} \partial_2 f \subseteq C.$$

However, if $\emptyset \neq C' \subset C$, then C itself is not a circuit. This is a contradiction to the condition of the lemma. From that any edge appears twice in the members of F , there is only one possibility that

$$C = \sum_{f \in F \setminus D} \partial_2 f.$$

Hence, $F \setminus D$ determines the other connected component of $G^* \setminus C^*$ when $C' = C$. \square

Any circuit C in G which is the underlying graph of a polyhedron $\Sigma = \Sigma(G; F)$ is said to have the *second Jordan curve property*, or simply write 2-JCP for Σ with its dual $\Sigma^* = \Sigma(G^*; F^*)$ if $G^* \setminus C^*$ has exactly two connected components. A graph G is said to have the 2-JCP if all the circuits in G have the property.

Theorem B(Second Jordan curve theorem) *A graph G has the 2-JCP if, and only if, G is planar.*

Proof To prove the necessity. Because for any circuit C in G , $G^* \setminus C^*$ has exactly two connected components, any C^* which corresponds to a circuit C in G is a cocircuit. Since any edge in G^* appears exactly twice in the elements of V^* , which are all cocircuits, from Lemma 1, V^* contains a basis of $\text{Ker } \delta_1^*$. Moreover, V^* is a subset of $\text{Im } \delta_0^*$. Hence, $\text{Ker } \delta_1^* \subseteq \text{Im } \delta_0^*$. From Lemma 4.3.2 in [1], $\text{Im } \delta_0^* \subseteq \text{Ker } \delta_1^*$. Then, we have $\text{Ker } \delta_1^* = \text{Im } \delta_0^*$, i.e., $\tilde{H}_1(\Sigma^*) = 0$. From the dual case of Theorem 4.3.2 in [1], G^* is planar and hence so is G . Conversely, to

prove the sufficiency. From the planar duality, for any circuit C in G , C^* is a cocircuit in G^* . Then, $G^* \setminus C^*$ has two connected components and hence C has the 2-JCP. \square

For a graph G , of course connected without loop, associated with a polyhedron $\Sigma = \Sigma(G; F)$, let C be a circuit and E_C , the set of edges incident to, but not on C . We may define an equivalence on E_C , denoted by \sim_C as the transitive closure of that $\forall a, b \in E_C$,

$$\begin{aligned} a \sim_C b \Leftrightarrow & \exists f \in F, (a^\alpha C(a, b) b^\beta \subset f) \\ & \vee (b^{-\beta} C(b, a) a^{-\alpha} \subset f), \end{aligned} \quad (C)$$

where $C(a, b)$, or $C(b, a)$ is the common path from a to b , or from b to a in $C \cap f$ respectively. It can be seen that $|E_C / \sim_C| \leq 2$ and the equality holds for any C not in F only if Σ is orientable.

In this case, the two equivalent classes are denoted by $E_L = E_L(C)$ and $E_R = E_R(C)$. Further, let V_L and V_R be the subsets of vertices by which a path between the two ends of two edges in E_L and E_R without common vertex with C passes respectively.

From the connectedness of G , it is clear that $V_L \cup V_R = V \setminus V(C)$. If $V_L \cap V_R = \emptyset$, then C is said to have the *third Jordan curve property*, or simply write 3-JCP. In particular, if C has the 3-JCP, then every path from V_L to V_R (or vice versa) crosses C and hence C has the 1-JCP. If every circuit which is not the boundary of a face f of $\Sigma(G)$, one of the underlain polyhedra of G has the 3-JCP, then G is said to have the 3-JCP as well.

Lemma 2 Let C be a circuit of G which is associated with an orientable polyhedron $\Sigma = \Sigma(G; F)$. If C has the 2-JCP, then C has the 3-JCP. Conversely, if $V_L(C) \neq \emptyset$, $V_R(C) \neq \emptyset$ and C has the 3-JCP, then C has the 2-JCP.

Proof For a vertex $v^* \in V^* = V(G^*)$, let $f(v^*) \in F$ be the corresponding face of Σ . Suppose In^* and Ou^* are the two connected components of $G^* \setminus C^*$ by the 2-JCP of C . Then,

$$In = \bigcup_{v^* \in In^*} f(v^*) \text{ and } Ou = \bigcup_{v^* \in Ou^*} f(v^*)$$

are subgraphs of G such that $In \cup Ou = G$ and $In \cap Ou = C$. Also, $E_L \subset In$ and $E_R \subset Ou$ (or vice versa). The only thing remained is to show $V_L \cap V_R = \emptyset$. By contradiction, if $V_L \cap V_R \neq \emptyset$, then In and Ou have a vertex which is not on C in common and hence have an edge incident with the vertex, which is not on C , in common. This is a contradiction to $In \cap Ou = C$.

Conversely, from Lemma 1, we may assume that $G^* \setminus C^*$ is connected by contradiction. Then there exists a path P^* from v_1^* to v_2^* in $G^* \setminus C^*$ such that $V(f(v_1^*)) \cap V_L \neq \emptyset$ and $V(f(v_2^*)) \cap V_R \neq \emptyset$. Consider

$$H = \bigcup_{v^* \in P^*} f(v^*) \subseteq G.$$

Suppose $P = v_1 v_2 \cdots v_l$ is the shortest path in H from V_L to V_R .

To show that P does not cross C . By contradiction, assume that v_{i+1} is the first vertex of P crosses C . From the shortestness, v_i is not in V_R . Suppose that subpath $v_{i+1} \cdots v_{j-1}$, $i+2 \leq j < l$, lies on C and that v_j does not lie on C . By the definition of E_L , $(v_{j-1}, v_j) \in E_L$ and

hence $v_j \in V_L$. This is a contradiction to the shortestness. However, from that P does not cross C , $V_L \cap V_R \neq \emptyset$. This is a contradiction to the 3-JCP. \square

Theorem C (Third Jordan curve theorem) *Let $G = (V, E)$ be with an orientable polyhedron $\Sigma = \Sigma(G; F)$. Then, G has the 3-JCP if, and only if, G is planar.*

Proof From Theorem B and Lemma 2, the sufficiency is obvious. Conversely, assume that G is not planar. By Lemma 4.2.6 in [1], $\text{Im}\partial_2 \subseteq \text{Ker}\partial_1 = \mathcal{C}$, the cycle space of G . By Theorem 4.2.5 in [1], $\text{Im}\partial_2 \subset \text{Ker}\partial_1$. Then, from Theorem B, there exists a circuit $C \in \mathcal{C} \setminus \text{Im}\partial_2$ without the 2-JCP. Moreover, we also have that $V_L \neq \emptyset$ and $V_R \neq \emptyset$. If otherwise $V_L = \emptyset$, let

$$D = \{f | \exists e \in E_L, e \in f\} \subset F.$$

Because $V_L = \emptyset$, any $f \in D$ contains only edges and chords of C , we have

$$C = \sum_{f \in D} \partial_2 f$$

that contradicts to $C \notin \text{Im}\partial_2$. Therefore, from Lemma 2, C does not have the 3-JCP. The necessity holds. \square

§2 Reducibilities

For S_g as a surface (orientable, or nonorientable) of genus g , If a graph H is not embedded on a surface S_g but what obtained by deleting an edge from H is embeddable on S_g , then H is said to be *reducible* for S_g . In a graph G , the subgraphs of G homeomorphic to H are called a type of *reducible configuration* of G , or shortly a *reduction*. Robertson and Seymour in [2] has been shown that graphs have their types of reductions for a surface of genus given finite. However, even for projective plane the simplest nonorientable surface, the types of reductions are more than 100 [3, 7].

For a surface S_g , $g \geq 1$, let \mathcal{H}_{g-1} be the set of all reductions of surface S_{g-1} . For $H \in \mathcal{H}_{g-1}$, assume the embeddings of H on S_g have ϕ faces. If a graph G has a decomposition of ϕ subgraphs H_i , $1 \leq i \leq \phi$, such that

$$\bigcup_{i=1}^{\phi} H_i = G; \quad \bigcup_{i \neq j} (H_i \cap H_j) = H; \quad (1)$$

all H_i , $1 \leq i \leq \phi$, are planar and the common vertices of each H_i with H in the boundary of a face, then G is said to be with the *reducibility 1* for the surface S_g .

Let $\Sigma^* = (G^*; F^*)$ be a polyhedron which is the dual of the embedding $\Sigma = (G; F)$ of G on surface S_g . For surface S_{g-1} , a reduction $H \subseteq G$ is given. Denote $H^* = [e^* | \forall e \in E(H)]$. Naturally, $G^* - E(H^*)$ has at least $\phi = |F|$ connected components. If exact ϕ components and each component planar with all boundary vertices are successively on the boundary of a face, then Σ is said to be with the *reducibility 2*.

A graph G which has an embedding with reducibility 2 then G is said to be with *reducibility 2* as well.

Given $\Sigma = (G; F)$ as a polyhedron with under graph $G = (V, E)$ and face set F . Let H be a reduction of surface S_{p-1} and, $H \subseteq G$. Denote by C the set of edges on the boundary of H in G and E_C , the set of all edges of G incident to but not in H . Let us extend the relation \sim_C : $\forall a, b \in E_C$,

$$a \sim_C b \Leftrightarrow \exists f \in F_H, a, b \in \partial_2 f \quad (2)$$

by transitive law as a equivalence. Naturally, $|E_C / \sim_C| \leq \phi_H$. Denote by $\{E_i | 1 \leq i \leq \phi_C\}$ the set of equivalent classes on E_C . Notice that $E_i = \emptyset$ can be missed without loss of generality. Let V_i , $1 \leq i \leq \phi_C$, be the set of vertices on a path between two edges of E_i in G avoiding boundary vertices. When $E_i = \emptyset$, $V_i = \emptyset$ is missed as well. By the connectedness of G , it is seen that

$$\bigcup_{i=1}^{\phi_C} V_i = V - V_H. \quad (3)$$

If for any $1 \leq i < j \leq \phi_C$, $V_i \cap V_j = \emptyset$, and all $[V_i]$ planar with all vertices incident to E_i on the boundary of a face, then H, G as well, is said to be with *reducibility 3*.

§3. Reducibility Theorems

Theorem 1 A graph G can be embedded on a surface $S_g (g \geq 1)$ if, and only if, G is with the *reducibility 1*.

Proof Necessity. Let $\mu(G)$ be an embedding of G on surface $S_g (g \geq 1)$. If $H \in \mathcal{H}_{g-1}$, then $\mu(H)$ is an embedding on $S_g (g \geq 1)$ as well. Assume $\{f_i | 1 \leq i \leq \phi\}$ is the face set of $\mu(H)$, then $G_i = [\partial f_i + E([f_i]_{in})]$, $1 \leq i \leq \phi$, provide a decomposition satisfied by (1). Easy to show that all G_i , $1 \leq i \leq \phi$, are planar. And, all the common edges of G_i and H are successively in a face boundary. Thus, G is with *reducibility 1*.

Sufficiency. Because of G with *reducibility 1*, let $H \in \mathcal{H}_{g-1}$, assume the embedding $\mu(H)$ of H on surface S_g has ϕ faces. Let G have ϕ subgraphs H_i , $1 \leq i \leq \phi$, satisfied by (1), and all H_i planar with all common edges of H_i and H in a face boundary. Denote by $\mu_i(H_i)$ a planar embedding of H_i with one face whose boundary is in a face boundary of $\mu(H)$, $1 \leq i \leq \phi$. Put each $\mu_i(H_i)$ in the corresponding face of $\mu(H)$, an embedding of G on surface $S_g (g \geq 1)$ is then obtained. \square

Theorem 2 A graph G can be embedded on a surface $S_g (g \geq 1)$ if, and only if, G is with the *reducibility 2*.

Proof Necessity. Let $\mu(G) = \Sigma = (G; F)$ be an embedding of G on surface $S_g (g \geq 1)$ and $\mu^*(G) = \mu(G^*) = (G^*, F^*) (= \Sigma^*)$, its dual. Given $H \subseteq G$ as a reduction. From the duality between the two polyhedra $\mu(H)$ and $\mu^*(H)$, the interior domain of a face in $\mu(H)$ has at least a vertex of G^* , $G^* - E(H^*)$ has exactly $\phi = |F_{\mu(H)}|$ connected components. Because of each component on a planar disc with all boundary vertices successively on the boundary of the disc, H is with the *reducibility 2*. Hence, G has the *reducibility 2*.

Sufficiency. By employing the embedding $\mu(H)$ of reduction H of G on surface $S_g (g \geq 1)$ with *reducibility 2*, put the planar embedding of the dual of each component of $G^* - E(H^*)$ in

the corresponding face of $\mu(H)$ in agreement with common boundary, an embedding of $\mu(G)$ on surface $S_g(g \geq 1)$ is soon done. \square

Theorem 3 A 3-connected graph G can be embedded on a surface $S_g(g \geq 1)$ if, and only if, G is with reducibility 3.

Proof Necessity. Assume $\mu(G) = (G, F)$ is an embedding of G on surface $S_g(g \geq 1)$. Given $H \subseteq G$ as a reduction of surface S_{g-1} . Because of $H \subseteq G$, the restriction $\mu(H)$ of $\mu(G)$ on H is also an embedding of H on surface $S_g(g \geq 1)$. From the 3-connectedness of G , edges incident to a face of $\mu(H)$ are as an equivalent class in E_C . Moreover, the subgraph determined by a class is planar with boundary in coincidence, i.e., H has the reducibility 3. Hence, G has the reducibility 3.

Sufficiency. By employing the embedding $\mu(H)$ of the reduction H in G on surface $S_g(g \geq 1)$ with the reducibility 3, put each planar embedding of $[V_i]$ in the interior domain of the corresponding face of $\mu(H)$ in agreement with the boundary condition, an embedding $\mu(G)$ of G on $S_g(g \geq 1)$ is extended from $\mu(H)$. \square

§4. Research Notes

A. On the basis of Theorems 1–3, the surface embeddability of a graph on a surface(orientable or nonorientable) of genus smaller can be easily found with better efficiency.

For an example, the sphere S_0 has its reductions in two class described as $K_{3,3}$ and K_5 . Based on these, the characterizations for the embeddability of a graph on the torus and the projective plane has been established in [4]. Because of the number of distinct embeddings of K_5 and $K_{3,3}$ on torus and projective plane much smaller as shown in the Appendix of [5], the characterizations can be realized by computers with an algorithm much efficiency compared with the existences, e.g., in [7].

B. The three polyhedral forms of Jordan closed planar curve axiom as shown in section 2 initiated from Chapter 4 of [6] are firstly used for surface embeddings of a graph in [4]. However, characterizations in that paper are with a mistake of missing the boundary conditions as shown in this paper.

C. The condition of 3-connectedness in Theorem 3 is not essential. It is only for the simplicity in description.

D. In all of Theorem 1–3, the conditions on planarity can be replaced by the corresponding Jordan curve property as shown in section 2 as in [4] with the attention of the boundary conditions.

References

- [1] Liu, Y.P., *Topological Theory on Graphs*, USTC Press, Hefei, 2008.
- [2] Robertson, N. and P. Seymour, Generalizing Kuratowski's theorem, *Cong. Numer.*, **45** (1984), 129–138.

- [3] Archdeacon, D., A Kuratowski theorem for the projective plane, *J. Graph Theory*, **5** (1981), 243–246.
- [4] Liu, Yi. and Y.P. Liu, A characterization of the embeddability of graphs on the surface of given genus, *Chin. Ann. Math.*, **17B**(1996), 457–462.
- [5] Liu, Y.P., *General Theory of Map Census*, Science Press, Beijing, 2009.
- [6] Liu, Y.P., *Embeddability in Graphs*, Kluwer, Dordrecht/Boston/London, 1995.
- [7] Glover, H., J. Huneke and C.S. Wang, 103 graphs that are irreducible for the projective plane, *J. Combin. Theory*, **B27**(1979), 232–370.

Up-embeddability and independent number of simple graphs*

Shengxiang Lv†

Department of Mathematics, Hunan University of Science and Technology,
Hunan Xiangtan 411201, China

Yanpei Liu

Department of Mathematics, Beijing Jiaotong University, Beijing 100044, China

Abstract: Let G be a $k(k \leq 3)$ -edge connected simple graph with minimal degree $\delta \geq 3$ and girth g , $r = \lfloor \frac{g-1}{2} \rfloor$. If the independent number $\alpha(G)$ of G satisfies

$$\alpha(G) < \frac{6(\delta-1)\lfloor \frac{g-1}{2} \rfloor - 6}{(4-k)(\delta-2)} - \frac{6(g-2r-1)}{4-k},$$

then G is up-embeddable.

Keywords: Up-embeddability; Maximum genus; Independent number.
MSC(2000): 05C10

1 Introduction

The *maximum genus*, $\gamma_M(G)$, of a connected graph G is the largest integer k such that there exists a cellular embedding of G in the orientable surface with genus k . Recall that any cellular embedding of G has at least one region. By the Euler polyhedral equation, the maximum genus $\gamma_M(G) \leq \lfloor \frac{\beta(G)}{2} \rfloor$, where $\beta(G) = |E(G)| - |V(G)| + 1$ is the cycle rank or Betti number of G . A graph G is *up-embeddable* if $\gamma_M(G) = \lfloor \frac{\beta(G)}{2} \rfloor$ exactly.

For a spanning tree T in graph G , $\xi(G, T)$ denotes the number of components of $G \setminus E(T)$ with odd number of edges. $\xi(G) = \min_T \xi(G, T)$ is called the *Betti deficiency number* of G , where the minimum is taken over all spanning trees T of G .

Theorem 1.1(Xuong [9], Liu [3]) *Let G be a graph, then*

$$(1) \gamma_M(G) = \frac{1}{2}(\beta(G) - \xi(G));$$

*Supported by National Natural Science Foundation of China (No.10671021) and Program for New Century Excellent Talents in University.

†E-mail: lsxx23@yahoo.com.cn

(2) G is up-embeddable if and only if $\xi(G) \leq 1$.

Let A be an edge subset of $E(G)$. $c(G \setminus A)$ denotes the number of components of $G \setminus A$, when $b(G \setminus A)$ denotes the number of components of $G \setminus A$ with odd Betti number. In 1981, Nebesky [7] obtained an combinatorial expression of $\xi(G)$ in terms of the edge set.

Theorem 1.2(Nebesky [7]) *Let G be a graph, then*

$$\xi(G) = \max_{A \subseteq E(G)} \{c(G \setminus A) + b(G \setminus A) - |A| - 1\}.$$

Let F_{i_1}, \dots, F_{i_l} be l distinct components of $G \setminus A$. $E(F_{i_1}, \dots, F_{i_l})$ denotes the set of edges whose end vertices are in different components F_{i_m} and F_{i_n} ($1 \leq m < n \leq l$). For an induced subgraph F of G , $E(F, G) = E(F, G \setminus E(F))$. An independent set is the set of vertices in a graph, no two of which are adjacent. The cardinality of a maximum independent set is called the independent number of a graph G and is denoted by $\alpha(G)$. For more graphical notations without explanation, see [1].

Theorem 1.3(Huang and Liu [4]) *Let G be a graph. If G is not up-embeddable, i.e., $\xi(G) \geq 2$, then there exists an edge subset $A \subseteq E(G)$ satisfying the following properties:*

- (1) $c(G \setminus A) = b(G \setminus A) \geq 2$;
- (2) for any component F of $G \setminus A$, F is an induced subgraph of G ;
- (3) for any $l \geq 2$ distinct components F_{i_1}, \dots, F_{i_l} of $G \setminus A$, $|E(F_{i_1}, \dots, F_{i_l})| \leq 2l - 3$;
- (4) $\xi(G) = 2c(G \setminus A) - |A| - 1$.

The study of the maximum genus was inaugurated by Nordhaus, Stewart and White[8]. From then on, various classes of graphs have been proved up-embeddable. A formerly known result[9] states that every 4-edge connected graph is up-embeddable. But, there exists 3-edge connected graphs(see [2]) which are not up-embeddable. Based on this, what kind of restrictions, under which a graph is up-embeddable, are studied extensively. Huang and Liu[5] proved that the maximum genus of a connected 3-regular graph G is equal to the maximum nonseparating independent number of G . In this paper, we study the up-embeddability of simple graphs via the independent number and obtain the following results.

Theorem 1.4 *Let G be a $k(k \leq 3)$ -edge connected simple graph with minimal degree $\delta \geq 3$ and girth g , $r = \lfloor \frac{g-1}{2} \rfloor$. If the independent number $\alpha(G)$ of G satisfies*

$$\alpha(G) < \frac{6(\delta-1)\lfloor \frac{g}{2} \rfloor - 6}{(4-k)(\delta-2)} - \frac{6(g-2r-1)}{4-k},$$

then G is up-embeddable.

2 Characterizations of induced subgraphs

The distance between two vertices u and v in a graph G , denoted by $d_G(u, v)$, is the length of a shortest (u, v) -path in G . The distance between the edge ab and vertex v in a graph G is $d_G(ab, v) = \min \{d_G(a, v), d_G(b, v)\}$. Clearly, $d_G(uv, u) = d_G(uv, v) = d_G(u, u) = 0$. The i ($i \geq 0$) neighbor set of a vertex or an edge x in a graph G is $N_i(x) = \{v \mid d_G(x, v) = i, v \in V(G)\}$. For an induced subgraph F of a graph G , the vertex $v \in V(F)$ is called a t -touching vertex or simply touching vertex of F , if v is the end vertex of t ($t \geq 1$) edges in $E(F, G)$. In paper [6], we obtain the following Proposition 1 and Proposition 2.

Proposition 1 Let G be a simple graph with minimal degree ≥ 3 , girth g , $r = \lfloor \frac{g-1}{2} \rfloor$. H is a connected induced subgraph of G , $\beta(H) \geq 1$. If $\{u, v\} \subseteq V(H)$ contains all the touching vertices of H , then,

- (1) when $g = 2r + 2$, there exists an edge $ab \in E(H)$ such that $\min\{d_H(ab, u), d_H(ab, v)\} \geq r$;
- (2) when $g = 2r + 1$, there exists a vertex $a \in V(H)$ such that $\min\{d_H(a, u), d_H(a, v)\} \geq r$.

Proposition 2 Let G be a simple graph with minimal degree ≥ 3 , girth g , $r = \lfloor \frac{g-1}{2} \rfloor$. H is a connected induced subgraph of G , $\beta(H) \geq 1$. If H has exactly three 1-touching vertices u, v, w , then,

- (1) when $g = 2r + 2$, there exists an edge $ab \in E(H)$ such that $\min\{d_H(ab, u), d_H(ab, v)\} \geq r-1$, $\max\{d_H(ab, u), d_H(ab, v)\} \geq r$, $d_H(ab, w) \geq r$;
- (2) when $g = 2r + 1$, there exists a vertex $a \in V(H)$ such that $\min\{d_H(a, u), d_H(a, v)\} \geq r-1$, $\max\{d_H(a, u), d_H(a, v)\} \geq r$, $d_H(a, w) \geq r$.

Lemma 2.1 Let G be a simple graph with minimal degree ≥ 3 , girth $g \geq 4$, $r = \lfloor \frac{g-1}{2} \rfloor$. H is a connected induced subgraph of G , $\beta(H) \geq 1$. If $|E(H, G)| \leq 3$, then there exists an independent set A of H , which has no touching vertex of H , such that

$$|A| \geq \frac{(\delta-1)\lfloor \frac{g}{2} \rfloor - 1}{\delta-2} - g + 2r + 1.$$

Proof Firstly, when H has exactly three 1-touching vertices $\{u, v, w\}$, by Proposition 2, there exists an edge or a vertex x in H such that $\min\{d_H(x, u), d_H(x, v)\} \geq r-1$ and $\min\{\max\{d_H(x, u), d_H(x, v)\}, d_H(x, w)\} \geq r$. Suppose $d_H(x, u) = \min\{d_H(x, u), d_H(x, v)\} \geq r-1$ and $\min\{d_H(x, v), d_H(x, w)\} \geq r$.

Case 1 When $g = 2r + 1 \geq 5$, then x is a vertex in H . As $N_i(x)$ ($0 \leq i \leq r-2$) has no touching vertices of H , thus

$$N_i(x) \geq \delta \cdot (\delta-1)^{i-1}, \quad 1 \leq i \leq r-1.$$