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# 数学年刊

Chinese Annals of Mathematics

VOL. I NO. 3. 4

1980



数学年刊编辑委员会编辑  
上海科学技术文献出版社出版



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23165915

分类号	25/3
种次号	166
总登记号	29532
页 数	544
定 价	2.00

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# $\mathcal{D}_{\langle M_k \rangle}$ OPERATORS AND SPECTRAL OPERATORS

WANG SHENGWANG

(Nanking University)

**1.  $\mathcal{D}_{\langle M_k \rangle}$  operators with their spectrum on the complex plane.** Throughout this paper, all notations are the same as [1, 2] and the sequence  $\{M_k\}$  satisfies (M. 1), (M. 2) and (M. 3)<sup>[1]</sup>, i.e., logarithmic convexity, non-quasianalyticity and differentiability. By means of  $\{M_k\}$ , we can define the associated function  $M(t_1, t_2)$  (cf. [7])

$$M(t_1, t_2) = \sup_{\substack{k_i > 0 \\ (i=1, 2)}} \left( \sum_{i=1}^2 k_i \ln |t_i| - \ln M_{k_1+k_2} \right) \quad (t_i \neq 0, i=1, 2),$$

and the space  $\mathcal{D}_{\langle M_k \rangle}$  of two variables

$$\begin{aligned} \mathcal{D}_{\langle M_k \rangle} = \Big\{ \varphi \Big| \varphi \in \mathcal{D}; \|\varphi\|_\nu = \sup_{\substack{s \in R^2 \\ k_i > 0 \\ (i=1, 2)}} \left| \frac{\partial^{k_1+k_2}}{\partial s_1^{k_1} \partial s_2^{k_2}} \varphi(s) \right| / \nu^k M_k \Big| < +\infty \\ \text{for some integer } \nu > 0 \Big\}, \end{aligned}$$

where  $s = (s_1, s_2)$ ,  $k = k_1 + k_2$ . It is evident that for any  $\varphi \in \mathcal{D}_{\langle M_k \rangle}$

$$\sup_{\substack{s \in R^2 \\ k > 0}} \left| \frac{\partial^k \varphi(s)}{\nu^k M_k} \right| < +\infty$$

for some integer  $\nu > 0$ , where  $\partial = \frac{1}{2} \left( \frac{\partial}{\partial s_1} - i \frac{\partial}{\partial s_2} \right)$ , and  $|\partial^k \varphi(s)| \leq \|\varphi\|_\nu \nu^k M_k$ .  $\|\cdot\|_\nu$  will be called  $\nu$ -norm. For the definition and properties of bounded  $\mathcal{D}_{\langle M_k \rangle}$  operators with their spectrum on the complex plane, we refer the reader to see [3, 4]. Let  $\mathbf{X}$  be a Banach space,  $B(\mathbf{X})$  be the ring of all linear bounded operators defined on  $\mathbf{X}$ . If  $T \in B(\mathbf{X})$  is a  $\mathcal{D}_{\langle M_k \rangle}$  operator, we have  $T = T_1 + iT_2$ ,  $T_1 = U_{\text{Re}t}$ ,  $T_2 = U_{\text{Im}t}$ , where  $U$  is a spectral ultradistribution of  $T$ . Since  $\text{supp}(U)$  is compact,  $U$  may be easily extended to the whole space  $\mathcal{E}_{\langle M_k \rangle}$ .

By few computations, as a function of  $(s_1, s_2)$ ,  $e^{i(t_1 s_1 + t_2 s_2)}$  satisfies: for given  $\mu_l > 0$  ( $l=1, 2$ ), there exist  $A > 0$  and an integer  $\nu > 0$  such that

$$\|e^{i(t_1 s_1 + t_2 s_2)}\|_\nu \leq A e^{M(\mu_1 t_1, \mu_2 t_2)},$$

where  $\|e^{i(t_1 s_1 + t_2 s_2)}\|_\nu$  denotes the  $\nu$ -norm of  $e^{i(t_1 s_1 + t_2 s_2)}$ . For every  $\varphi \in \mathcal{D}_{\langle M_k \rangle}$ , there exist  $h_l > 0$  ( $l=1, 2$ ) and  $A' > 0$  such that

$$|\hat{\varphi}(t_1, t_2)| \leq A' e^{-M(h_1 t_1, h_2 t_2)},$$

where

$$\hat{\varphi}(t_1, t_2) = \frac{1}{(2\pi)^2} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} e^{-i(t_1 s_1 + t_2 s_2)} \varphi(s_1, s_2) ds_1 ds_2$$

is the Fourier transform of  $\varphi(s_1, s_2)$ . Using the same argument as in [1] theorem 3, we can easily prove that one of the spectral ultradistributions of  $\mathcal{D}_{(M_k)}$  operator  $T$  can be expressed as

$$U_\varphi = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} e^{i(t_1 T_1 + t_2 T_2)} \hat{\varphi}(t_1, t_2) dt_1 dt_2.$$

Let  $T$  be a spectral operator,  $S, N, E(\cdot)$  be the scalar part, radical part and the spectral measure of  $T$  respectively. The following theorem gives a sufficient condition of a spectral operator to be a  $\mathcal{D}_{(M_k)}$  operator.

**Theorem 1.** *Let  $T \in B(\mathbf{X})$  be a spectral operator satisfying*

$$\sup_{k>0} \sup_{\substack{|\mu_j| \leq 1 \\ \delta_j \in \mathfrak{B} \\ j=1, 2, \dots, k}} \left( \left\| \frac{N^n}{n!} \sum_{j=1}^k \mu_j E(\delta_j) \right\| M_n \right)^{\frac{1}{n}} \rightarrow 0 \quad (n \rightarrow \infty), \quad (1)$$

where  $\mathfrak{B}$  denotes the class of Borel subsets in the complex plane, then  $T$  is a  $\mathcal{D}_{(M_k)}$  operator and one of its spectral ultradistributions can be expressed as

$$U_\varphi = \sum_{n=0}^{\infty} \frac{N^n}{n!} \int \partial^n \varphi(s) dE(s).$$

*Proof* Let  $\varphi \in \mathcal{D}_{(M_k)}$  satisfy

$$|\partial^n \varphi| \leq \|\varphi\|_\nu \nu^n M_n \quad (n=0, 1, 2, \dots),$$

by (1), we have

$$\left\| \frac{N^n}{n!} \sum_{j=1}^k \mu_j E(\delta_j) \right\| \leq \frac{A}{(2\nu)^n M_n},$$

where  $A > 0$  only depends on  $\nu, |\mu_j| \leq 1$ . By a simple computation, we get

$$\sum_{n=0}^{\infty} \left\| \frac{N^n}{n!} \int \partial^n \varphi dE \right\| \leq 2A \|\varphi\|_\nu. \quad (2)$$

Put

$$U_\varphi = \sum_{n=0}^{\infty} \frac{N^n}{n!} \int \partial^n \varphi dE,$$

then  $U_1 = I, U_s = T$  and

$$\begin{aligned} U_{\varphi\psi} &= \sum_{n=0}^{\infty} \frac{N^n}{n!} \int \partial^n (\varphi\psi) dE = \sum_{n=0}^{\infty} N^n \sum_{k=0}^n \frac{1}{k!(n-k)!} \int \partial^k \varphi \partial^{n-k} \psi dE \\ &= \sum_{k=0}^{\infty} \frac{1}{k!} N^k \int \partial^k \varphi dE \cdot \sum_{j=0}^{\infty} \frac{1}{j!} N^j \int \partial^j \psi dE = U_\varphi U_\psi, \end{aligned}$$

i.e.,  $U: \mathcal{D}_{(M_k)} \rightarrow B(\mathbf{X})$  is a continuous homomorphism. Therefore  $T$  is a  $\mathcal{D}_{(M_k)}$  operator. Theorem is proved.

**Corollary 1.** *If  $N, E(\cdot)$  satisfy*

$$\left( \frac{M_n}{n!} \vee (N^n E) \right)^{\frac{1}{n}} \rightarrow 0 \quad (n \rightarrow \infty),$$

then  $T$  is a  $\mathcal{D}_{(M_k)}$  operator.

**Corollary 2.** *Let  $N$  be a quasinilpotent, then if and only if*

$$\left( \frac{\|N^n\|}{n!} M_n \right)^{\frac{1}{n}} \rightarrow 0 \quad (n \rightarrow \infty), \quad (3)$$

$S+N$  is a  $\mathcal{D}_{\langle M_k \rangle}$  operator for every scalar operator  $S$  commuting with  $N$ .

We shall call  $N$  a  $\{M_k\}$ -quasinilpotent if it satisfies (3) (cf. [4]). The following proposition gives some properties of a  $\{M_k\}$ -quasinilpotent.

**Proposition.** *Let  $N$  be a quasinilpotent, the following assertions are equivalent:*

- (i)  $N$  is a  $\{M_k\}$ -quasinilpotent.
- (ii) For every  $\lambda > 0$ , there exists  $B_\lambda > 0$  such that<sup>1)</sup>

$$\|R(\zeta, N)\| \leq B_\lambda e^{M^*(\frac{\lambda}{|\zeta|})} \quad (|\zeta| \text{ is sufficiently small}).$$

- (iii) For every  $\mu > 0$ , there exists  $A_\mu > 0$  such that

$$\|e^{izN}\| \leq A_\mu e^{M(\mu|z|)}.$$

*Proof* By [9] proposition 4.5, the equivalence of (i), (iii) is evident (cf. [4]). It remains to prove the equivalence of (ii), (iii).

(ii) $\Rightarrow$ (iii). By putting  $\lambda = \frac{\mu}{2}$  in (ii),  $r = \delta_\mu(|z|)$  (cf. [1]) and using lemma 4 in [1], we have

$$\begin{aligned} \|e^{izN}\| &\leq \frac{1}{2\pi} \int_{|\zeta|=r} |e^{iz\zeta}| \|R(\zeta, N)\| |d\zeta| \leq B_\lambda r e^{|z|r} e^{M^*(\frac{\lambda}{r})} \\ &\leq B_\lambda e^{|z|\delta_\mu(|z|)} e^{M^*(\frac{\mu}{2\delta_\mu(|z|)})} \leq 2B_\lambda e^{M(\mu|z|)}, \end{aligned}$$

where  $0 < r < 1$ .

(iii) $\Rightarrow$ (ii). Since  $\|R(\zeta, N)\| = \|R(|\zeta|e^{\frac{\pi}{2}i}, e^{(\frac{\pi}{2}-\arg\zeta)i}N)\|$ , we can easily obtain (ii) by applying the sufficient part of theorem 5 in [1] to the operator  $e^{(\frac{\pi}{2}-\arg\zeta)i}N$ .

**2.  $\mathcal{D}_{\langle M_k \rangle}$  operators with their spectrum on the real line.** In this section, all functions in  $\mathcal{D}_{\langle M_k \rangle}$  are of one variable, hence if  $T \in B(\mathbf{X})$  is a  $\mathcal{D}_{\langle M_k \rangle}$  operator, then  $\sigma(T) \subset \mathbb{R}$ , the real line. Now we consider the conditions to guarantee a bounded  $\mathcal{D}_{\langle M_k \rangle}$  operator  $T$  to be a spectral. In the sequel, the conjugate space of  $\mathbf{X}$  will be denoted by  $\mathbf{X}^*$ .

If  $f \in \mathcal{D}'_{\langle M_k \rangle}$ , from [8, 9], there exist countable many regular measures  $\mu_n$  ( $n \geq 0$ ) satisfying

$$\langle f, \varphi \rangle = \sum_{n=0}^{\infty} \frac{1}{n!} \int \varphi^{(n)}(t) d\mu_n(t) \quad (4)$$

and for every  $h > 0$ , there exists  $A > 0$  such that

$$\sum_{n=0}^{\infty} \frac{h^n}{n!} M_n \int |d\mu_n| \leq A. \quad (5)$$

By a similar method used in [10], it can be easily proved that:

1° for  $f \in \mathcal{D}'_{\langle M_k \rangle}$ , if  $f' = 0$ , then  $f = \text{const}$ ;

2° for  $f \in \mathcal{D}'_{\langle M_k \rangle}$ , if  $f'$  is a measure, then  $f$  is a function of bounded variation in every finite interval.

1) In (ii), we have to suppose that  $\left\{ \frac{M_k}{k!} \right\}$  is logarithmically convex. As for  $M^*\left(\frac{\lambda}{|\zeta|}\right)$ ,  $M(\mu|z|)$ , we refer the reader to see [1].

In general, the sequence  $\{\mu_n\}$  ( $n \geq 0$ ) in (4), (5) is not unique. Now we introduce the following:

**Definition.** Let  $n_0$  be a positive integer,  $f \in \mathcal{D}'_{(M_k)}$  with compact support (i. e.,  $f \in \mathcal{S}'_{(M_k)}$ ) is called  $n_0$ -singular, if for all  $n \geq n_0$ , there exist  $\mu_n$  in (4), (5) with  $\text{Supp}(\mu_n)$  contained in a closed subset  $F$  satisfying  $\text{mes } F = 0$ . If  $n_0 = 1$ ,  $f$  is called singular. Suppose that  $T \in B(X)$  is a  $\mathcal{D}_{(M_k)}$  operator,  $U$  is its spectral ultradistribution, we say that  $T$  is  $n_0$ -singular (singular), if for every  $x \in X$ ,  $x^* \in X^*$ ,  $x^* U x$  is  $n_0$ -singular (singular).

In the sequel, we shall often suppose that  $f \in \mathcal{D}'_{(M_k)}$  has compact support and when  $f$  is  $n_0$ -singular,  $\mu_n$  ( $n \geq n_0$ ) will denote what satisfy the conditions described in the above definition. Therefore all of these  $\mu_n$  ( $n \geq n_0$ ) are singular with respect to Lebesgue measure, but the inverse is false.

**Lemma 1.** If  $f \in \mathcal{D}_{(M_k)}$  is  $n_0$ -singular, then for every  $n \geq n_0$ ,  $\mu_n$  is unique, especially,  $\mu_0$  is also unique when  $n_0 = 1$ .

*Proof* It suffices to prove that when  $f = 0$ , then  $\mu_n = 0$  ( $n \geq n_0$ ). In fact,  $f = 0$  is equivalent to

$$\sum_{n=0}^{\infty} \frac{(-1)^n}{n!} \mu_n^{(n)} = 0 \quad \text{or} \quad \left( \sum_{n=1}^{\infty} \frac{(-1)^n}{n!} \mu_n^{(n-1)} \right)' = -\mu_0. \quad (6a)$$

Since  $\mathcal{D}_{(M_k)}$  is differentiable, it follows that  $g_1 = \sum_{n=1}^{\infty} \frac{(-1)^n}{n!} \mu_n^{(n-1)} \in \mathcal{D}'_{(M_k)}$ . Since all of  $\text{supp}(\mu_n)$  ( $n \geq 0$ ) are contained in a neighbourhood of  $\text{supp}(f)$ , we may suppose that  $\text{supp}(g_1)$  is compact. By (6a),  $g_1$  is a function of bounded variation. Similarly,  $\sum_{n=k}^{\infty} \frac{(-1)^n}{n!} \mu_n^{(n-k)} = g_k$  are also functions of bounded variation for all  $k > 1$ . By the hypothesis, we can easily see that the subset where  $g_k \neq 0$  is of Lebesgue measure zero, hence as ultradistributions in  $\mathcal{D}'_{(M_k)}$ ,  $g_k = 0$  ( $k \geq n_0$ ), i. e.,

$$\left. \begin{aligned} (-1)^{n_0} \frac{\mu_{n_0}}{n_0!} + (-1)^{n_0+1} \frac{\mu'_{n_0+1}}{(n_0+1)!} + \dots &= 0, \\ (-1)^{n_0+1} \frac{\mu_{n_0+1}}{(n_0+1)!} + \dots &= 0, \\ \dots &= 0. \end{aligned} \right\} \quad (6b)$$

(6b) shows that  $\mu_{n_0} = \mu_{n_0+1} = \dots = 0$ . If  $n_0 = 1$ , by  $\mu_n = 0$  ( $n \geq 1$ ) and (6a), we have  $\mu_0 = 0$ . Thus the lemma is proved.

For  $\varphi \in \mathcal{D}_{(M_k)}$ ,  $\hat{\varphi} = \frac{1}{2\pi} \int_{-\infty}^{+\infty} e^{-its} \varphi(t) dt$  and  $\check{\varphi}(s) = \int_{-\infty}^{\infty} e^{its} \varphi(t) dt$  will express the Fourier and inverse Fourier transform of  $\varphi$  respectively. When  $f$  is an ultradistribution,  $\hat{f}$ ,  $\check{f}$  also have the same meaning.

**Remark** If ultradistributions  $f = \sum_{n=0}^{\infty} \frac{(-1)^n \mu_n^{(n)}}{n!}$  and  $g = \sum_{n=0}^{\infty} \frac{(-1)^n \nu_n^{(n)}}{n!}$  are singular, where  $\mu_n$ ,  $\nu_n$  ( $n \geq 1$ ) satisfy those conditions described in the preceding definition,

then from  $\check{f} = \check{g}$  (i.e.,  $\sum_{n=0}^{\infty} \frac{(it)^n}{n!} \check{\mu}_n(t) = \sum_{n=0}^{\infty} \frac{(it)^n}{n!} \check{\nu}_n(t)$ ), we can deduce  $f = g$ , by the above lemma, we have  $\mu_n = \nu_n$ , hence  $\check{\mu}_n = \check{\nu}_n$  ( $n \geq 0$ ), i.e., from  $\check{f} = \check{g}$ , we get  $\check{\mu}_n = \check{\nu}_n$ .

**Lemma 2.** For  $f \in \mathcal{D}'_{\langle M_k \rangle}$  has compact support, we have  $\check{f}(s) = \langle f_t, e^{its} \rangle$ .

*Proof* For every  $\varphi \in \mathcal{D}_{\langle M_k \rangle}$ ,  $\varphi(t) = \int_{-\infty}^{\infty} e^{its} \hat{\varphi}(s) ds$  is the limit of the integral sum  $\sum_{j=0}^n e^{its_j} \hat{\varphi}(s_j) \Delta s_j$  with respect to the topology of  $s_{\langle M_k \rangle}$ , hence

$$\begin{aligned} \int_{-\infty}^{\infty} \langle f_t, e^{its} \rangle \hat{\varphi}(s) ds &= \lim \sum_{j=1}^n \langle f_t, e^{its_j} \rangle \hat{\varphi}(s_j) \Delta s_j \\ &= \left\langle f_t, \lim \sum_{j=1}^n e^{its_j} \hat{\varphi}(s_j) \Delta s_j \right\rangle = \langle f, \varphi \rangle. \end{aligned}$$

**Lemma 3.** Suppose that  $X$  is reflexive,  $T$  is a bounded singular  $\mathcal{D}_{\langle M_k \rangle}$  operator,  $\mathbf{U}$  is its spectral ultradistribution, then there exist operator-valued measures  $u_n(\cdot)$  ( $n \geq 0$ ) such that

(i) each of  $u_n(\cdot)$  is bounded and strongly countably additive and for every  $h > 0$  there exists  $A > 0$  such that

$$\|u_n(\delta)\| \leq A n! h^n / M_n \quad (n \geq 0) \quad (7)$$

for every Borel subset  $\delta$  on the real line;

(ii) for every  $\varphi \in \mathcal{D}_{\langle M_k \rangle}$

$$\mathbf{U}_\varphi = \sum_{n=0}^{\infty} \frac{1}{n!} \int \varphi^{(n)}(t) du_n(t), \quad (8)$$

in which every integral converges in the sense of strong operator topology and the series converges in the sense of uniform operator topology.

*Proof* For  $x \in X$ ,  $x^* \in X^*$ ,  $\|x\| \leq 1$ ,  $\|x^*\| \leq 1$ , the class of ultradistributions  $x^* \mathbf{U} x$  is bounded in  $s'_{\langle M_k \rangle}$  and their supports are contained in  $\sigma(T)$ . By [8, 9], there exist regular measures  $\mu_n(\cdot; x, x^*)$  ( $n \geq 0$ ) satisfying: for every  $h > 0$ , there exists  $A > 0$  such that

$$\|\mu_n(\cdot; x, x^*)\| \leq A n! h^n / M_n \quad (n \geq 0) \quad (9)$$

uniformly for all  $\|x\| \leq 1$ ,  $\|x^*\| \leq 1$ , where  $\|\mu\|$  denotes the total variation of  $\mu$ . In addition, we have

$$x^* \mathbf{U}_\varphi x = \sum_{n=0}^{\infty} \frac{1}{n!} \int \varphi^{(n)}(t) d\mu_n(t; x, x^*),$$

in which the series converges absolutely and uniformly with respect to all  $\|x\| \leq 1$ ,  $\|x^*\| \leq 1$ . By the singularity of  $T$ , we may suppose that for every  $n \geq 1$ ,  $\text{supp}(\mu_n(\cdot; x, x^*))$  is contained in a fixed closed subset  $F$  with Lebesgue measure zero. From lemma 1,  $\mu_n(\cdot; x, x^*)$  ( $n \geq 0$ ) is unique, hence for every Borel subset  $\delta$ ,  $\mu_n(\delta; x, x^*)$  is a bounded bilinear functional of  $x, x^*$ . By the reflexivity of  $X$ , there exists for every  $n \geq 0$  a bounded linear operator  $u_n(\delta)$  defined on  $X$  such that

$$x^* u_n(\delta) x = \mu_n(\delta; x, x^*).$$

From (9),  $u_n(\cdot)$  satisfies (i). Evidently, we may suppose that  $\text{supp}(u_n)$  ( $n \geq 0$ ) is contained in a fixed neighbourhood  $G$  of  $\sigma(T)$ . As for (ii), using the following inequality

$$\begin{aligned} \left| x^* \int \varphi^{(n)}(t) du_n(t) x \right| &= \left| \int \varphi^{(n)}(t) d\mu_n(t; x, x^*) \right| \\ &\leq A \sup_{t \in G} |\varphi^{(n)}(t)| n! h^n \|x\| \|x^*\| / M_w, \end{aligned}$$

we get the result that the series in (8) converges with respect to the uniform operator topology. Finally, by [12] Theorem IV. 10.8 and Definition IV. 10.7, every integral in (8) converges in the strong operator topology.

**Lemma 4.** *Under the hypotheses of the preceding lemma, we have*

$$\check{u}_n(\tau) \check{u}_m(\sigma) = \check{u}_{n+m}(\tau + \sigma) \quad (m, n \geq 0), \quad (10)$$

in which  $\tau, \sigma$  are real numbers.

*Proof* For every  $x \in X$ ,  $x^* \in X^*$ , we have

$$x^* U_{e^{i(\tau+\sigma)t}} x = x^* U_{e^{i\tau t}} U_{e^{i\sigma t}} x = \sum_{n=0}^{\infty} \frac{(i\tau)^n}{n!} x^* \check{u}_n(\tau) U_{e^{i\sigma t}} x, \quad (11)$$

$$\begin{aligned} x^* U_{e^{i(\tau+\sigma)t}} x &= \sum_{k=0}^{\infty} \frac{[i(\tau+\sigma)]^k}{k!} x^* \check{u}_k(\tau+\sigma) x = \sum_{k=0}^{\infty} \sum_{n=0}^k \frac{(i\tau)^n}{n!} \frac{(i\sigma)^{k-n}}{(k-n)!} x^* \check{u}_k(\tau+\sigma) x \\ &= \sum_{n=0}^{\infty} \frac{(i\tau)^n}{n!} \sum_{m=0}^{\infty} \frac{(i\sigma)^m}{m!} x^* \check{u}_{n+m}(\tau+\sigma) x = \sum_{n=0}^{\infty} \frac{(i\tau)^n}{n!} \int e^{i\tau t} dx^* v_n(t) x, \end{aligned} \quad (12)$$

in which

$$v_n(\delta) = \sum_{m=0}^{\infty} \frac{(i\sigma)^m}{m!} \int_{-\delta}^{\delta} e^{i\sigma t} du_{n+m}(t) \quad (13)$$

depends on  $\sigma$  and  $\delta$  is a Borel subset. Putting  $\sigma$  fixed, from (9), for every  $h > 0$  there exists  $A > 0$  such that for all  $\|x\| \leq 1$ ,  $\|x^*\| \leq 1$ ,

$$\begin{aligned} \|x^* v_n(t) x\| &\leq \sum_{m=0}^{\infty} \frac{|\sigma|^m}{m!} \|x^* u_{n+m}(t) x\| \leq A \sum_{m=0}^{\infty} \frac{|\sigma|^m}{m!} (n+m)! \left(\frac{h}{2}\right)^{m+n} / M_{n+m} \\ &\leq (An! h^n / M_n) \left( \sum_{m=0}^{\infty} \frac{|\sigma|^m (n+m)!}{m! n! 2^{n+m}} h^m / M_m \right) \\ &\leq (An! h^n / M_n) \sum_{m=0}^{\infty} (|\sigma| h)^m / M_m. \end{aligned}$$

Since  $B = \sum_{m=0}^{\infty} \frac{(|\sigma| h)^m}{M_m} < +\infty$ , it follows that

$$\|x^* v_n(t) x\| \leq A B n! h^n / M_w$$

uniformly for all  $\|x\| \leq 1$ ,  $\|x^*\| \leq 1$ . Therefore  $v_n(\cdot)$  ( $n = 0, 1, 2, \dots$ ) are bounded strongly countably additive operator-valued measures. Evidently, the utradistribution  $\sum_{n=0}^{\infty} \frac{(-1)^n}{n!} (x^* v_n(t) x)^{(n)}$  is singular. By (11), (12) and the remark after lemma 1, we have

$$x^* \check{u}_n(\tau) U_{e^{i\sigma}} x = x^* \check{v}_n(\tau) x,$$

i. e.,

$$\sum_{m=0}^{\infty} \frac{(i\sigma)^m}{m!} x^* \check{u}_n(\tau) \check{u}_m(\sigma) x = \sum_{m=0}^{\infty} \frac{(i\sigma)^m}{m!} x^* \check{u}_{n+m}(\tau + \sigma) x. \quad (14)$$

For fixed  $\tau$ , the two sides of (14) are the inverse Fourier transform of  $\sum_{m=0}^{\infty} \frac{(-1)^m}{m!}$   
 $\cdot (x^* \check{u}_n(\tau) u_m(\cdot) x)^{(m)}$ ,  $\sum_{m=0}^{\infty} \frac{(-1)^m}{m!} (x^* w_{n+m}(\cdot) x)^{(m)}$  respectively, where  $w_{n+m}(\delta) = \int_{\delta} e^{i\tau t} d u_{n+m}(t)$ ,  $\delta$  is a Borel subset. Still by the remark after lemma 1, we get (10). Lemma 4 is thus proved.

**Lemma 5.** Putting  $E(\cdot) = u_0(\cdot)$ ,  $N = u_1(R)$ , we have

- (i)  $E(\cdot)$  is a spectral measure;
- (ii) for every Borel subset  $\delta$  and every  $n \geq 0$ ,

$$u_n(\delta) = N^n E(\delta) = E(\delta) N^n;$$

- (iii)  $N$  is a quasinilpotent satisfying

$$\lim_{n \rightarrow \infty} \left( \frac{\|N^n\|}{n!} M_n \right)^{\frac{1}{n}} = 0. \quad (15)$$

*Proof* (i) From lemma 3 (i), it remains to prove that

$$E(\delta) E(\varepsilon) = E(\delta \cap \varepsilon), \quad E(\delta) + E(\varepsilon) - E(\delta) E(\varepsilon) = E(\delta \cup \varepsilon), \quad (16)$$

for all Borel subsets  $\delta, \varepsilon$ . Putting  $n = m = 0$  in (10), we obtain  $\check{E}(\tau) \check{E}(\sigma) = \check{E}(\tau + \sigma)$ , i.e.,

$$\int e^{i\tau t} dE(t) \check{E}(\sigma) = \int e^{i(\tau+\sigma)t} dE(t) = \int e^{i\tau t} d_t \left( \int_{-\infty}^t e^{i\sigma t} dE(\tau) \right).$$

Since the inverse Fourier transform is 1-1, it follows that

$$E(\delta) \check{E}(\sigma) = \int_{\delta} e^{i\sigma t} dE(t). \quad (17)$$

(17) may be written as

$$\int e^{i\sigma t} dE(\delta) E(t) = \int_{\delta} e^{i\sigma t} dE(t),$$

still by the property (1-1) of the inverse Fourier transform, we have  $E(\delta) E(\varepsilon) = E(\delta \cap \varepsilon)$ . Finally, by the additivity of  $E(\cdot)$ ,

$$E(\delta \cup \varepsilon) = E(\delta) + E(\varepsilon \setminus (\delta \cap \varepsilon)) = E(\delta) + E(\varepsilon) - E(\delta \cap \varepsilon).$$

(16) holds.

(ii) and (iii) Putting  $\sigma = 0$ ,  $m = 1$  and substituting  $n$  by  $n - 1$  in (10), we have

$$\check{u}_n(\tau) = \check{u}_{n-1}(\tau) N. \quad (18)$$

Similarly,  $\check{u}_n(\tau) = N \check{u}_{n-1}(\tau)$ . Let  $n = 0, 1, 2, \dots$ , it follows that

$$\check{u}_n(\tau) = \check{E}(\tau) N^n = N^n \check{E}(\tau).$$

By the property (1-1) of the inverse Fourier transform again, we get

$$u_n(\cdot) = E(\cdot) N^n = N^n E(\cdot),$$

especially,  $N^n = u_n(R)$ . Therefore by (9), for every  $h > 0$ ,

$$\|N^n\| = \|u_n(R)\| \leq A n! h^n / M_n,$$

i.e., (15) holds.

Summarizing the above discussions, we obtain

**Theorem 2.** Suppose  $X$  is reflexive.  $T \in B(X)$  is a singular  $\mathcal{D}_{(M_k)}$  operator if and

only if  $T$  is a spectral operator satisfying

(i) For every  $x \in X$  and  $x^* \in X^*$ ,  $\text{supp}(x^* N^n E(\cdot)x)$  is contained in a fixed closed subset  $F$  of Lebesgue measure zero for all  $n \geq 1$  ( $F$  may depend on  $x, x^*$ ), where  $E(\cdot), N$  are the spectral measure and radical part of  $T$  respectively;

(ii)  $N$  satisfies (15).

**Corollary.** Suppose  $X$  is reflexive.  $T \in B(X)$  is a singular  $D_{(M_k)}$  operator and  $\text{mes } \sigma(T) = 0$  if and only if  $T$  is a spectral operator satisfying

(i)  $\text{mes } \text{supp}(E(\cdot)) = 0$ ;

(ii)  $N$  satisfies (15).

Theorem 2 is an extention of some results of [5]<sup>1)</sup>.

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1) But the author has not seen the full proof of [5].