

CPK Cryptosystem and Identity Authentication

CPK公钥体制与标识鉴别

Xianghao Nan

Username

■ ■ ■ ■ ■ ■ ■ ■ ■ ■

Password

■ ■ ■ ■ ■ ■ ■ ■ ■ ■



电子工业出版社

PUBLISHING HOUSE OF ELECTRONICS INDUSTRY
<http://www.phei.com.cn>

Xianghao Nan

**CPK Cryptosystem
and
Identity Authentication**

CPK 公钥体制与标识鉴别

電子工業出版社

Publishing House of Electronics Industry

北京·BEIJING

About the Author

Xianghao Nan

Dean, South China Information Security Institute

Doctorial Tutor, Information Engineering University, PLA

Part Time Professor, Institute of Computer Science and Technology, Peking University

Publications :

1. *Profile to Network Security Technologies*, 2003 (*Chinese*)
2. *Identity Authentication Based on CPK*, 2005 (*Chinese*)
3. *CPK Crypto-system and Cyber Security*, 2007 (*Chinese*)
4. *Cyber Security Technical Framework* , 2010 (*English*)

Forward

Providing trust in the face of anonymity is an impossibility. Since interactions on the Internet can easily be anonymous, it is imperative to find a digital authentication means that is reliable, simple to deploy, and simple to use. A method that will bring trust to the Internet and to the general population is critical. The CPK cryptosystem allows society to enjoy the benefits of eCommerce and individual privacy which is balanced with the social needs.

Anonymity, the ability to perform an act without identifying oneself, is not a new concept, but has been dramatically enhanced because of the Internet. Traditionally, the presence of an offender and a victim in the same location leaves behind physical evidence, and this evidence improves the ability of the police to identify and apprehend an offender. By comparison, the Internet has been shown to be a haven for offenders. Offenders can perform criminal acts at great distances that can transcend national boundaries in near perfect anonymity, making law enforcement dramatically more difficult.

Authentication is the natural defense of anonymity, it forms the foundation for trust by proving identity. Authentication can be used for authorization, privacy, and deterrence.

Authentication for authorization is necessary to access money in the bank or to know that the person who signed the document has the authority to commit for the organization.

Authentication for privacy is necessary to know that a conversation is private between two people. An email to your spouse should not be readable by someone who has attacked the Internet. This form of "direct encryption" has higher levels of trust if there is direct authentication by both parties.

Authentication for deterrence is necessary to be able to know who you interact with. Seeing the license of a car provides some assurance about who you are dealing with if something bad happens, there is a better chance of the police

being able to track down the offender.

Cryptographic Authentication attempts to provide this proof of identity from a distance using purely digital means. This is a difficult problem that transcends the mathematics of cryptography and moves into the philosophical issues of trust and the organizational basis of society.

In Whitfield Diffie 's and Martin Hellman 's 1976 paper "NEW DIRECTIONS IN CRYPTOGRAPHY" the authors introducing the concept of public key cryptography and digital signatures wrote. . .

- Authentication is at the heart of any system involving contracts and billing. Without it, business cannot function. Current electronic authentication systems cannot meet the need for a purely digital, unforgeable, message dependent signature. They provide protection against third party forgeries, but do not protect against disputes between transmitter and receiver.

Since that time, there have been many digital signature schemes proposed and some standardized. In general these are now described as traditional signature schemes that have been implemented as a directed graph of public keys which are signed by a more general key until the point that there is mutual trust.

Traditional digital authentication is tied to the individual. It requires a public key distribution scheme and lacks lawful intercept abilities.

If Alice needs to send an email to Bob, she must first get Bob 's public key from a repository, check the revoked key list, and authenticate this key to some root key that she trusts before she can send a message to Bob. This is a significant effort.

If Alice and Bob are conspirators in a crime, their communications cannot be investigated by law enforcement.

Identity based encryption provides simpler solutions to these problems. From Adi Shamir 's 1984 paper "IDENTITY BASED CRYPTOSYSTEMS AND SIGNATURE SCHEMES" he states:

- In this paper we introduce a novel type of cryptographic scheme, which enables any pair of users to communicate securely and to verify each

other 's signatures without exchanging private or public keys, without keeping key directories, and without using the services of a third party. Professor Shamir further states that. . .

- The scheme remains practical even on a nationwide scale with hundreds of key generation centers and millions of users, and it can be the basis for a new type of personal identification card which everyone can electronically sign checks, credit card slips, legal documents, and electronic mail.

One of the values of identity based encryption is the key generating centers can be operated by organizations who can naturally vouch for an individual 's identity. For example, an university can vouch for a professor or a student, or a corporation can vouch for an employee.

Identity based encryption also provides a deterrence against the abuse of trust. In practice, a key generated by a corporation has the valuable side effect of allowing policing by that corporation of an individual 's use of that key.

The CPK algorithm represents a great step forward in identity based encryption. It creates a simple to understand, easy to implement, and easy to deploy system that provides all the benefits that these visionaries imagined for public key and identity based cryptosystems.

This book delivers a complete analysis of what Identity, Authentication and Trust mean in a digital age. It shows how CPK can meet the challenges of the Internet to make it a safer place.

This book may not result in world peace, but it can provide a roadmap to calm the chaos which exists on the Internet today.

James P. Hughes
Palo Alto, CA, USA

Note: The forward was written for the book of "Identity Authentication". In this new book, the forward was reused because the contents of the old book was not changed except the newly added Part Eleven.

Preface

A report submitted by the US President 's Information Technology Advisory Committee (PITAC) in 2005 ,entitled *Cyber Security—A Crisis of Prioritization* ,marked the arrival of a new era of cyber security (cyber world). If the main task of " information security " was a passive prevention that consists mainly of plugging and patching ,the main task of " cyber security " is active management that consists mainly of building a trusting (authenticating) system. It is a new mission. In the past ,since there were no proper evidence-showing and verifying systems ,information security can only adopt the principle of " mutual trust " ,or based on the presumption that the subject was trustworthy. However ,cyber security is totally different. It is established on the basis of " mutual suspicion " ,not allowing authentication or verification under presumption.

Such changes of main task and basic principles first affect basic theory of security. All the security protocols and standards adopting the principle of " mutual trust " in the past shall be reconsidered with " mutual suspicion " ,for example ,communication protocols and standards ,computing protocols and standards. This will surely lead to a revolutionary change.

At the EU crypt '2007 annual meeting ,James Hughes (executive chairman of Crypt '04) and Guan Zhi (Ph. D student of Peking University) delivered a presentation on identity-based Combined Public Key (CPK) system. The authoritative experts attending the meeting affirmed that CPK system is novel. Identity-based system represents new development trend of modern cryptosystem ,and attracts attention from cryptography community around the world. CPK Cryptosystem has attracted great attention from China 's top leaders ,and also has received substantial support from the administrations of Guangdong Science and Technology Department and Beijing Municipal Science and Technology Commission. Researchers/Professors Zhou Zhong-yi ,Chen Hua-ping ,Lü Shu-wang ,Zhai Qi-bing ,Li Yi-fa and Doctors Tang Wen ,Guan Zhi ,Chen

Yu, Tian Wen-chun, Zheng Xu have involved in this CPK project.

Another important progress is that a theory of authentication logic is established based on identity authentication, to promote the conventional belief logic and trust logic to truth logic. The truth logic based on identity authentication is different from the belief logic based on data authentication. The truth logic consisted of identity of entity authentication and body of entity authentication, can conduct "pre-proof". That is, identity authentication can be conducted before the body event occurs, so as to effectively prevent illegal events from happening. Large scale authentication technology is the core technology to establishing a secure world. CPK system can solve such international puzzle well.

Solutions in the main fields of trusting (authenticating) system in the book are introduced. Such fields include a number of problems which cannot be solved in the past but easily dealt with now, for instance: illegal communication access, illegal software running, seal authentication systems, etc. From examples of application, readers can find that due to the core issue of identity authentication has been solved, a number of difficult problems that was impossible to solve in the past can be easily tackled. Thus, "identity authentication" is the "silver bullet" of cyber security, which will lead to the solution of all other problems. This is the base of a holistic solution of trusting (authenticating) system. In the process of researching, Communication expert Sun Yu, Computer expert Qu Yan-wen, IT expert James Hughes and sci&tech information expert Zhao Jian-guo offered useful suggestions.

At the beginning of 2009, U. S. government has released some documents related with cyber security. The documents have stressed three points: Addressing system in internet, identity authentication and secure software engineering. The address is the identity of communication. It tells us the identity management, including identity definition and identity authentication, will be the basic techniques of future cyber security. How to define identity is an important subject but beyond this book. However, we have enough experience in defining identity in real life such as the mailing address, phone number, bank account

number, and so on. This is the reason why we stand for real name system. From the rules of identity definition in real life we may draw an important conclusion: in authenticating system, identity must have special meaning and the meaning must be commonly recognized. It is obvious that, the address is defined randomly and only explained by special DNS in existing IPv4 and IPv6 protocols. It is unfortunate that the protocols go against above mentioned basic rules. This is the reason why we took "identity authentication" and addressing system as core task of cyber security.

The work of cyber security is in progress of developing on its track and has yielded some important results. For example, a new type of network router is designed with real name communication system. The address is the real location that bounded with the signature code, so it can prohibit any unauthorized connection. Meanwhile code signing has been developed rapidly as main part of software security.

CPK cryptosystem, identity authentication and truth logic is introduced in this book as the basic theory and technology. The construction of secure world needs a joint effort of all nations because we have a common enemy: that is the "terrorist software". I sincerely wish that this book can satisfy the demands of readers, facilitate transition of information security from network security to cyber security.

This book is rewritten on the base of *Cyber Security Technical Framework—Trusting System Based on Identity Authentication*, where Chapters 2, 4, 6 are rewritten and Chapter 26 is added; and all the terms of "trusted" and "trusting" are replaced by "authenticated" and "authenticating" respectively.

In these two years, we have made some important progresses in public key cryptosystem and RFID design. The new progresses are added to the new book as Part Eleven.

Author
In Beijing. Sep. 2009
Revised, Nov. 2011.

Contents

Part One Authentication Technology

Chapter 1 Basic Concepts	2
1.1 Physical World and Digital World	2
1.2 A World with Order and without Order	3
1.3 Self-assured Proof and 3 rd Party Proof	5
1.4 Certification Chain and Trust Chain	7
1.5 Centralized and Decentralized Management	8
1.6 Physical Signature and Digital Signature	10
Chapter 2 Authentication Logics	14
2.1 Belief Logic	15
2.1.1 The Model	15
2.1.2 The Formulae	16
2.1.3 The Characteristics of Belief Logic	16
2.2 Trust Logic	17
2.2.1 Direct Trust	17
2.2.2 Axiomatic Trust	17
2.2.3 Inference Trust	18
2.2.4 Behavior Based Trust	19
2.2.5 Characteristics of Trust Logic	20
2.3 Truth Logic	20
2.3.1 The Needs of "Pre-proof"	20
2.3.2 Entity Authenticity	21
2.3.3 The Characteristics of Truth Logic	24
2.4 Authentication Protocols	25
2.4.1 Standard Protocol	25
2.4.2 CPK Protocol	26

2.5	Authentication Systems	28
2.5.1	PKI Certification System	28
2.5.2	CPK Authentication System	30
Chapter 3	Identity Authentication	32
3.1	Communication Identity Authentication	33
3.2	Software Identity Authentication	34
3.3	Electronic Tag Authentication	36
3.4	Network Management	37
3.5	Holistic Security	38

Part Two Cryptosystems

Chapter 4	Combined Public Key (v6.0)	42
4.1	Introduction	42
4.2	Mapping Functoin	43
4.3	Computation of Keys	43
4.3.1	Computation of Identity-key	43
4.3.2	Computation of Separating-key	44
4.3.3	Computation of General-key	44
4.3.4	Computation of District-key	44
4.4	Digital Signature and Key Delivery	45
4.4.1	Digital Signature	45
4.4.2	Key Delivery	46
4.5	Security	46
4.6	Conclusion	47
Chapter 5	Cryptosystem and Authentication	48
5.1	New Requirements for Cryptosystem	48
5.2	Development of Cryptosystems	49
5.3	Identity Authentication Schemes	50
5.3.1	Identity Authentication with IBC	50
5.3.2	Identity Authentication with CPK	51
5.3.3	Identity Authentication with PKI	52
5.3.4	Identity Authentication with IB-RSA	53

5.3.5	Identity Authentication with mRSA	54
5.3.6	Comparison of Schemes	54
5.4	Key Delivery Schemes	55
5.4.1	IBE Key Delivery	55
5.4.2	CPK Key Delivery	56
5.4.3	Other Key Delivery Schemes	56
5.4.4	Performance Comparison	57
5.5	Discussion on Trust Root	58
Chapter 6	Bytes Encryption	60
6.1	Coding Structure	60
6.1.1	Permutation Table (disk)	60
6.1.2	Substitution Table (subst)	61
6.1.3	Key Structure	62
6.2	Working Flow	63
6.2.1	Given Conditions	63
6.2.2	Key Derivation	64
6.2.3	Data Expansion	64
6.2.4	Compound of Data and Key	64
6.2.5	Left Shift Accumulation	65
6.2.6	Permutation	65
6.2.7	Right Shift Accumulation	65
6.2.8	Data Concentration	66
6.2.9	Single Substitution	66
6.2.10	Compound of Data and Key	66
6.3	Security Analysis	67

Part Three CPK System

Chapter 7	CPK Key Management	70
7.1	CPK Key Distribution	70
7.1.1	Authentication Network	70
7.1.2	Communication Key	71
7.1.3	Classification of Keys	71

- 7.2 CPK Signature 72
 - 7.2.1 Digital Signature and Verification 72
 - 7.2.2 Signature Format 73
- 7.3 CPK Key Delivery 73
- 7.4 CPK Data Encryption 74
- 7.5 Key Protection 75
 - 7.5.1 Password Verification 75
 - 7.5.2 Password Change 76
- Chapter 8 CPK-chip Design 77
 - 8.1 , Background 77
 - 8.2 Main Technology 77
 - 8.3 Chip Structure 79
 - 8.4 Main Functions 82
 - 8.4.1 Digital Signature 82
 - 8.4.2 Data Encryption 84
- Chapter 9 CPK ID-card 86
 - 9.1 Background 86
 - 9.2 ID-card Structure 88
 - 9.2.1 The Part of Main Body 88
 - 9.2.2 The Part of Variables 88
 - 9.3 ID-card Data Format 89
 - 9.4 ID-card Management 92
 - 9.4.1 Administrative Organization 92
 - 9.4.2 Application for ID-card 93
 - 9.4.3 Registration Department 94
 - 9.4.4 Production Department 95
 - 9.4.5 Issuing Department 97

Part Four Software Authentication

- Chapter 10 Software ID Authentication 100
 - 10.1 Technical Background 100
 - 10.2 Main Technology 101

10.3	Signing Module	102
10.4	Verifying Module	104
10.5	The Feature of Code Signing	105
Chapter 11	Windows Code Authentication	107
11.1	Introduction	107
11.2	PE File	107
11.3	Mini-filter	108
11.3.1	NT I/O Subsystem	108
11.3.2	File Filter Driving	110
11.3.3	Mini-filter	110
11.4	Code Authentication of Windows	111
11.4.1	The System Framework	111
11.4.2	Characteristics Collecting	112
11.5	Conclusion	112
Chapter 12	Linux Code Authentication	113
12.1	General Description	113
12.2	ELF File	113
12.3	Linux Security Module (LSM) Framework	114
12.4	Implementation	115

Part Five Communication Authentication

Chapter 13	Phone Authentication	118
13.1	Main Technologies	118
13.2	Connecting Procedure	119
13.3	Data Encryption	120
13.4	Data Decryption	121
Chapter 14	SSL Communication Authentication	123
14.1	Layers of Communication	123
14.2	Secure Socket Layer (SSL)	124
14.3	Authenticated Socket Layer (ASL)	127
14.4	ASL Working Principle	128
14.5	ASL Address Authentication	130

14.6	Comparison	132
Chapter 15	Router Communication Authentication	134
15.1	Principle of Router	135
15.2	Requirements of Authenticated Connection	136
15.3	Fundamental Technology	137
15.4	Origin Address Authentication	138
15.5	Encryption Function	141
15.5.1	Encryption Process	142
15.5.2	Decryption Process	142
15.6	Requirement of Header Format	142
15.7	Computing Environment	143
15.7.1	Evidence of Software Code	143
15.7.2	Authentication of Software Code	143
15.8	Conclusion	144

Part Six e-Commerce Authentication

Chapter 16	e-Bank Authentication	146
16.1	Background	146
16.2	Counter Business	147
16.3	Business Layer	148
16.4	Basic Technology	149
16.5	Business at ATM	151
16.6	Communication Between ATM and Portal	151
16.7	The Advantages	153
Chapter 17	e-Bill Authentication	155
17.1	Bill Authentication Network	155
17.2	Main Technologies	156
17.3	Application for Bills	156
17.4	Circulation of Bills	158
17.5	Verification of Check	158

Part Seven Logistics Authentication

Chapter 18	e-Tag Authentication	162
------------	----------------------------	-----

18.1	Background	162
18.2	Main Technology	163
18.3	Embodiment (I)	165
18.4	Embodiment (II)	166
Chapter 19	The Design of Mywallet(v1.0)	168
19.1	Two Kinds of Authentication Concept	168
19.2	System Configuration	170
19.3	Tag Structure	171
19.3.1	Structure of Data Region	171
19.3.2	Structure of Control Region	172
19.4	Tag Data Generation and Authentication	172
19.4.1	KMC	173
19.4.2	Enterprise	173
19.4.3	Writer and Reader	173
19.5	Protocol Design	174
19.6	Conclusion	175

Part Eight Stored File Authentication

Chapter 20	Storage Authentication	178
20.1	Security Requirements	178
20.2	Basic Technology	179
20.3	File Uploading Protocol	180
20.4	File Downloading Protocol	181
20.5	Data Storing	182
20.5.1	Establishment of Key File	183
20.5.2	Storage of Key File	183
20.5.3	Documental Database Encryption	184
20.5.4	Relational Database Encryption	185
Chapter 21	Secure File Box	187
21.1	Background	187
21.2	System Framework	188
21.3	Features of the System	189

21.4 System Implementation 190

Chapter 22 Classification Seal Authentication 193

22.1 Background Technology 193

22.2 Main Technologies 194

22.3 Working Flow 196

22.4 Embodiment 197

22.5 Explanation 198

Part Nine Moving Data Authentication

Chapter 23 e-Mail Authentication 206

23.1 Main Technologies 206

23.2 Sending Process 208

23.3 Receiving Process 208

Chapter 24 Digital Right Authentication 210

24.1 Technical Background 210

24.2 Main Technologies 211

24.3 Manufacturer's Digital Right 212

24.4 Enterprise's Right of Operation 213

24.5 Client's Right of Usage 215

Part Ten Network Authentication

Chapter 25 Pass Authentication 218

25.1 Background 218

25.2 Working Principles 219

25.3 The Diagram of Gate-guard 220

25.4 Gate-guard for Individual PC 223

25.5 Guarding Policy 224

Chapter 26 Address Authentication 225

26.1 Background 225

26.2 Main Problems 226

26.3 Technical Approach 226

26.3.1 CPK Cryptosystem 226