

Cambridge Monographs on Mathematical Physics

Clifford. V. Johnson

D-Branes



D膜

CAMBRIDGE

世界图书出版公司
www.wpcbj.com.cn

D-Branes

CLIFFORD V. JOHNSON

University of Durham



图书在版编目 (CIP) 数据

D 膜: 英文/ (英) 约翰逊 (Johnson, C. V.) 著.

—影印本. —北京: 世界图书出版公司北京公司, 2010. 2

书名原文: D-Branes

ISBN 978-7-5100-0507-7

I. ①D… II. ①约… III. ①量子力学—英文

IV. ①0413. 1

中国版本图书馆 CIP 数据核字 (2010) 第 010606 号

书 名: D-Branes
作 者: C. V. Johnson

中 译 名: D 膜
责任编辑: 高蓉 刘慧

出 版 者: 世界图书出版公司北京公司
印 刷 者: 三河国英印务有限公司
发 行: 世界图书出版公司北京公司 (北京朝内大街 137 号 100010)
联系电话: 010-64021602, 010-64015659
电子信箱: kjb@wpcbj.com.cn

开 本: 16 开
印 张: 36
版 次: 2010 年 01 月
版权登记: 图字: 01-2009-3578

书 号: 978-7-5100-0507-7/O · 723 定 价: 79.00 元

D-Branes, 1st ed. (978-0-521-03005-2) by Clifford V. Johnson first published by Cambridge University Press 2003

All rights reserved.

This reprint edition for the People's Republic of China is published by arrangement with the Press Syndicate of the University of Cambridge, Cambridge, United Kingdom.

© Cambridge University Press & Beijing World Publishing Corporation 2010

This book is in copyright. No reproduction of any part may take place without the written permission of Cambridge University Press or Beijing World Publishing Corporation.

This edition is for sale in the mainland of China only, excluding Hong Kong SAR, Macao SAR and Taiwan, and may not be bought for export therefrom.

此版本仅限中华人民共和国境内销售，不包括香港、澳门特别行政区及中国台湾。不得出口。

*To my siblings Carol and Robert, my father Victor Reginald,
and especially my mother Delia, who have all shaped me.*

Preface

In view of the exciting developments in our understanding of those particular aspects of fundamental physics that string theory seems to capture, it seems appropriate to collect together some of the key tools and ideas which helped move things forward. The developments included a true revolution, since the physical perspective changed so radically that it undermined the long-standing status of strings as the basic fundamental objects, and instead the idea has arisen that a string theory description is simply a special (albeit rather novel and beautiful) corner of a larger theory called 'M-theory'. This book is not an attempt at a history of the revolution, as we are (arguably) still in the midst of it, especially since we are in the awkward position of not knowing even one satisfactory intrinsic definition of M-theory, and have implicit knowledge of it only through interconnections of its various limits.

All revolutions are supposed to have a collection of characters who played a crucial role in it, 'heroes' if you will. Hence, one would be expected to proceed to list here the names of various individuals. While I was lucky to be in a position to observe a lot of the activity at first hand and collect many wonderful anecdotes about how some things came to be, I will decline to start listing names at this juncture. It is too easy to yield to the temptation to emphasise a few personalities in a short space (such as this preface), and the result can sometimes be at the expense of others, a practice which happens all too often elsewhere. This seems to me to be especially inappropriate in a field where the most striking characteristic of the contributions has been the *collective* effort of hundreds of thinkers all over the planet, often linked by e-mail and the web, often never having met each other in person.

There were marvellous weeks, back in 1995 and 1996 especially, where there was one key paper after another, from all over the world, driven by

the fact that new ideas were pouring in from conversations everyone was excitedly having at blackboards, in the sand, over lunch, *via* e-mail, on the back of an envelope, *etc.* However, when one is speculating about aspects of fundamental physics which are not yet in the directly testable realm it should be noted that ideas – even radical ones – are cheap. Computational tools are needed to test them, and to provide access to the new regimes to which the ideas beckon. The collection of tools which filled this crucial role in this context was built around ‘D-branes’, and it was the change of perspective and computational power that they brought that unlocked that steady flow of marvellous papers. In my mind, they can indisputably be placed high on list of characters cast as heroes of the revolution. Indeed, many will speak of the feeling that often arose after working with them for a while in those exciting days, that the D-branes simply had a life and character of their own. They shaped the ideas and language of the field in a way that was directed by no single personality, and – most importantly – were a wonderful and sharp tool for investigating in detail the nature of the many bold conjectures which were made.

D-branes were discovered well before the revolution, of course, but in the Summer of 1995 it was shown by Joseph Polchinski that they were relevant to strongly coupled string theory. I arrived as a postdoctoral researcher at the Institute for Theoretical Physics (Santa Barbara, California) in the following Autumn, and by then it was already clear that there were many people, both young and old, who could benefit from a refresher course on issues outside the realm of heterotic string theory (on which much of the focus had been up to then, with an eye on phenomenology) and an introduction to D-branes. Furthermore, there was some need for an agreement about language and conventions, since there had not been much in the way of texts or other notes which focused on the relevant aspects. (Polchinski’s modern textbook¹ was still only partially written, and the manuscript had been seen only by a privileged few.)

Some of us begged Joe to give us some lectures at the ITP, and I (and probably others) quickly had the idea for a written set of notes that could be circulated to the world at large, as a basic toolbox. I suggested this to him, and he eventually agreed. During the lectures, I took such notes as I could and then together with Shyamoli Chaudhuri, we produced some notes with Joe, which we released² with his name listed as first author – breaking the strict alphabetical convention in this field – as it seemed to me highly inappropriate, given our roles as scribes, that his name might come last. Happily, the ‘D-notes’ (as I liked to call them) seemed to be well received by very many, and proved to be useful in forming a common point of departure for almost everyone working in the field.

I was fortunate enough to be asked to give introductory lectures on D-branes over the following months and years, and this led me to write more notes to embellish the D-notes, finding new ways of explaining things, sometimes making illustrative links between different aspects, depending on the theme of the lecture series in which I was participating.

This book grew out of such lecture notes^{3, 4}, and contains my own biased perspective on what aspects of D-branes ought to be included in an introductory text. Pressures of space mean that I have omitted a large number of remarkably interesting and useful material, and my choices will no doubt not suit everyone. I have made many efforts for it to be a stand alone handbook. It is intended that the person who knows little or no string theory (but with some background in quantum field theory and relativity) can open this book, and upon working through it, learn many things about string theory, and become adept at computing with D-branes, making no reference to another string theory text. Perhaps as a bonus, they will even learn various aspects of advanced topics in relativity, geometry and quantum gravity and quantum field theory since those are the meat and drink of D-brane physics. However, if they want a deeper knowledge of many aspects of string theory which are only sketched here due to lack of space, then they can consult the excellent text of Polchinski¹, and also that of Green, Schwarz and Witten⁵, which is still an excellent text for many aspects of the subject. There are also many other sources, on the web (*e.g.*, www.arXiv.org) and elsewhere, of detailed reviews of various specialised topics, even other string theory books⁶.

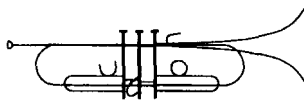
So, this is not intended to be a string theory textbook. It is instead a handbook or toolbox for concepts concerning branes in string theory, with emphasis on D-branes. However, since many of the applications are in what I like to call ‘extreme string theory’ – taking limits like strong coupling, low energy, large N , etc. – the reader will also learn important physics of those regimes and others, which are not covered in any other text at this time.

Over the years I have had the great benefit of lengthy conversations about string theory and D-branes with many people, out of which my intuition for these matters developed, and I would like to thank them all. Chief among these are Robert Myers, Joseph Polchinski, and Edward Witten, all of whose patience (and refreshing open-mindedness in the early days) is much appreciated. I also thank all of the people with whom I have collaborated in very many exciting research projects, and from whom I learned a great deal. Aspects of some of that work will appear in this text, and I would like it made clear that any inaccuracies in presenting the results are my own.

Parts of this book were written (or sometimes day-dreamt about) in many inspiring places, not all of which I can recall, but I should thank especially a number of institutions for providing facilities: The New York Public Library's Rose Reading Room, and Columbia University's Butler Library (New York, NY, USA), the Bodlean Library (Oxford, England), The Aspen Centre for Physics (Aspen, Co., USA), The Park City Mathematical Institute 2001 (Park City, Utah, USA), El Centro de Estudios Científicos (Valdivia, Chile), The Physics Department at Stellenbosch University, and the Stellenbosch Institute for Advanced Study (Stellenbosch, South Africa), The Perimeter Institute for Fundamental Research (Waterloo, Canada), The Village Vanguard (New York, USA), Broadway (New York, USA), and various United Airlines lounges worldwide.

Thanks to Ian Davies, James Gregory, Laur Järv, Ken Lovis, Rob Myers and David Page for reading and commenting on parts of the manuscript, and many people around the world for their useful remarks upon earlier notes which were absorbed into this book. Thanks also go to Jim Gates, Brian Greene, David Gross, Ted Jacobson and Lenny Susskind for their thoughts on a late title change, and on other important matters concerning the book, logistical and otherwise[†]. I'd like to thank all of my colleagues at the Department of Mathematical Sciences, University of Durham, for providing such a friendly and supportive working environment, and Carol, Delia and Robert Johnson for their encouragement. Thanks also to Elizabeth and Nich Butler for much appreciated culinary provision and other matters of hospitality over Christmas 2001.

I would especially like to thank Samantha Butler for her constant patience and support throughout this project, and beyond.



[†] A conversation with Brian led to a flirtation with the slightly irreverent idea of giving this book the simple title 'Volume III'. I abandoned this after a while, since it would produce confusion amongst those not aware of the affection held for (or existence of) the two-volume texts in references [1] and [5].

Contents

<i>List of inserts</i>	<i>xviii</i>
<i>Preface</i>	<i>xx</i>
1 Overview and overture	1
1.1 The classical dynamics of geometry	1
1.2 Gravitons and photons	7
1.3 Beyond classical gravity: perturbative strings	11
1.4 Beyond perturbative strings: branes	15
1.5 The quantum dynamics of geometry	19
1.6 Things to do in the meantime	20
1.7 On with the show	22
2 Relativistic strings	24
2.1 Motion of classical point particles	24
2.1.1 Two actions	24
2.1.2 Symmetries	26
2.2 Classical bosonic strings	27
2.2.1 Two actions	27
2.2.2 Symmetries	29
2.2.3 String equations of motion	30
2.2.4 Further aspects of the two dimensional perspective	31
2.2.5 The stress tensor	35
2.2.6 Gauge fixing	35
2.2.7 The mode decomposition	37
2.2.8 Conformal invariance as a residual symmetry	37
2.2.9 Some Hamiltonian dynamics	38

2.3	Quantised bosonic strings	40
2.3.1	The constraints and physical states	41
2.3.2	The intercept and critical dimensions	42
2.3.3	A glance at more sophisticated techniques	45
2.4	The sphere, the plane and the vertex operator	47
2.4.1	States and operators	48
2.5	Chan-Paton factors	51
2.6	Unoriented strings	52
2.6.1	Unoriented open strings	52
2.6.2	Unoriented closed strings	54
2.6.3	World-sheet diagrams	55
2.7	Strings in curved backgrounds	56
2.8	A quick look at geometry	61
2.8.1	Working with the local tangent frames	61
2.8.2	Differential forms	63
2.8.3	Coordinate vs. orthonormal bases	65
2.8.4	The Lorentz group as a gauge group	67
2.8.5	Fermions in curved spacetime	68
2.8.6	Comparison to differential geometry	68
3	A closer look at the world-sheet	70
3.1	Conformal invariance	70
3.1.1	Diverse dimensions	70
3.1.2	The special case of two dimensions	73
3.1.3	States and operators	74
3.1.4	The operator product expansion	75
3.1.5	The stress tensor and the Virasoro algebra	76
3.2	Revisiting the relativistic string	80
3.3	Fixing the conformal gauge	85
3.3.1	Conformal ghosts	85
3.3.2	The critical dimension	86
3.4	The closed string partition function	87
4	Strings on circles and T-duality	94
4.1	Fields and strings on a circle	94
4.1.1	The Kaluza-Klein reduction	95
4.1.2	Closed strings on a circle	96
4.2	T-duality for closed strings	99
4.3	A special radius: enhanced gauge symmetry	100
4.4	The circle partition function	103
4.5	Toroidal compactifications	104

4.6	More on enhanced gauge symmetry	108
4.6.1	Lie algebras and groups	108
4.6.2	The classical Lie algebras	111
4.6.3	Physical realisations with vertex operators	113
4.7	Another special radius: bosonisation	113
4.8	String theory on an orbifold	117
4.9	T-duality for open strings: D-branes	119
4.9.1	Chan–Paton factors and Wilson lines	121
4.10	D-brane collective coordinates	123
4.11	T-duality for unoriented strings: orientifolds	125
5	Background fields and world-volume actions	129
5.1	T-duality in background fields	129
5.2	A first look at the D-brane world-volume action	131
5.2.1	World-volume actions from tilted D-branes	133
5.3	The Dirac–Born–Infeld action	135
5.4	The action of T-duality	136
5.5	Non-Abelian extensions	136
5.6	D-branes and gauge theory	138
5.7	BPS lumps on the world-volume	138
6	D-brane tension and boundary states	141
6.1	The D-brane tension	142
6.1.1	An open string partition function	142
6.1.2	A background field computation	145
6.2	The orientifold tension	148
6.2.1	Another open string partition function	148
6.3	The boundary state formalism	150
7	Supersymmetric strings	155
7.1	The three basic superstring theories	155
7.1.1	Open superstrings: type I	155
7.1.2	Closed superstrings: type II	160
7.1.3	Type I from type IIB, the prototype orientifold	165
7.1.4	The Green–Schwarz mechanism	166
7.2	The two basic heterotic string theories	169
7.2.1	$SO(32)$ and $E_8 \times E_8$ from self-dual lattices	171
7.2.2	The massless spectrum	172
7.3	The ten dimensional supergravities	174
7.4	Heterotic toroidal compactifications	176
7.5	Superstring toroidal compactification	178
7.6	A superstring orbifold: discovering the K3 manifold	179

7.6.1	The orbifold spectrum	180
7.6.2	Another miraculous anomaly cancellation	183
7.6.3	The K3 manifold	184
7.6.4	Blowing up the orbifold	185
7.6.5	Some other K3 orbifolds	189
7.6.6	Anticipating D-manifolds	191
8	Supersymmetric strings and T-duality	192
8.1	T-duality of supersymmetric strings	192
8.1.1	T-duality of type II superstrings	192
8.1.2	T-duality of type I superstrings	193
8.1.3	T-duality for the heterotic strings	194
8.2	D-branes as BPS solitons	195
8.3	The D-brane charge and tension	197
8.4	The orientifold charge and tension	200
8.5	Type I from type IIB, revisited	201
8.6	Dirac charge quantisation	201
8.7	D-branes in type I	202
9	World-volume curvature couplings	205
9.1	Tilted D-branes and branes within branes	205
9.2	Anomalous gauge couplings	206
9.3	Characteristic classes and invariant polynomials	210
9.4	Anomalous curvature couplings	216
9.5	A relation to anomalies	218
9.6	D-branes and K-theory	220
9.7	Further non-Abelian extensions	221
9.8	Further curvature couplings	222
10	The geometry of D-branes	224
10.1	A look at black holes in four dimensions	224
10.1.1	A brief study of the Einstein–Maxwell system	224
10.1.2	Basic properties of Schwarzschild	225
10.1.3	Basic properties of Reissner–Nordstrom	228
10.1.4	Extremality, supersymmetry, and the BPS condition	228
10.1.5	Multiple black holes and multicentre solutions	232
10.1.6	Near horizon geometry and an infinite throat	233
10.1.7	Cosmological constant; de Sitter and anti-de Sitter	233
10.1.8	de-Sitter spacetime and the sphere	234
10.1.9	Anti-de Sitter in various coordinate systems	235

10.1.10	Anti-de Sitter as a hyperbolic slice	236
10.1.11	Revisiting the extremal solution	237
10.2	The geometry of D-branes	238
10.2.1	A family of ' p -brane' solutions	238
10.2.2	The boost form of solution	239
10.2.3	The extremal limit and coincident D-branes	240
10.3	Probing p -brane geometry with Dp -branes	243
10.3.1	Thought experiment: building p with Dp	243
10.3.2	Effective Lagrangian from the world-volume action	244
10.3.3	A metric on moduli space	245
10.4	T-duality and supergravity solutions	246
10.4.1	$D(p+1)$ from Dp	246
10.4.2	$D(p-1)$ from Dp	248
11	Multiple D-branes and bound states	249
11.1	Dp and Dp' from boundary conditions	249
11.2	The BPS bound for the Dp - Dp' system	252
11.3	Bound states of fundamental strings and D-strings	254
11.4	The three-string junction	255
11.5	Aspects of D-brane bound states	258
11.5.1	0-0 bound states	258
11.5.2	0-2 bound states	258
11.5.3	0-4 bound states	259
11.5.4	0-6 bound states	260
11.5.5	0-8 bound states	260
12	Strong coupling and string duality	261
12.1	Type IIB/type IIB duality	261
12.1.1	D1-brane collective coordinates	261
12.1.2	S-duality and $SL(2, \mathbb{Z})$	263
12.2	$SO(32)$ Type I/heterotic duality	264
12.2.1	D1-brane collective coordinates	264
12.3	Dual branes from 10D string-string duality	265
12.3.1	The heterotic NS-fivebrane	267
12.3.2	The type IIA and type IIB NS5-brane	268
12.4	Type IIA/M-theory duality	271
12.4.1	A closer look at D0-branes	271
12.4.2	Eleven dimensional supergravity	271
12.5	$E_8 \times E_8$ heterotic string/M-theory duality	273
12.6	M2-branes and M5-branes	276
12.6.1	Supergravity solutions	276

12.6.2	From D-branes and NS5-branes to M-branes and back	277
12.7	U-duality	278
12.7.1	Type II strings on T^5 and $E_{6(6)}$	278
12.7.2	U-duality and bound states	279
13	D-branes and geometry I	282
13.1	D-branes as probes of ALE spaces	282
13.1.1	Basic setup and a quiver gauge theory	282
13.1.2	The moduli space of vacua	285
13.1.3	ALE space as metric on moduli space	286
13.1.4	D-branes and the hyper-Kähler quotient	289
13.2	Fractional D-branes and wrapped D-branes	291
13.2.1	Fractional branes	291
13.2.2	Wrapped branes	292
13.3	Wrapped, fractional and stretched branes	294
13.3.1	NS5-branes from ALE spaces	295
13.3.2	Dual realisations of quivers	296
13.4	D-branes as instantons	300
13.4.1	Seeing the instanton with a probe	301
13.4.2	Small instantons	305
13.5	D-branes as monopoles	306
13.5.1	Adjoint Higgs and monopoles	309
13.5.2	BPS monopole solution from Nahm data	311
13.6	The D-brane dielectric effect	314
13.6.1	Non-Abelian world-volume interactions	314
13.6.2	Stable fuzzy spherical D-branes	316
13.6.3	Stable smooth spherical D-branes	318
14	K3 orientifolds and compactification	322
14.1	Z_N orientifolds and Chan–Paton factors	322
14.2	Loops and tadpoles for ALE Z_M singularities	324
14.2.1	One-loop diagrams and tadpoles	324
14.2.2	Computing the one-loop diagrams	325
14.2.3	Extracting the tadpoles	330
14.3	Solving the tadpole equations	333
14.3.1	T-duality relations	333
14.3.2	Explicit solutions	334
14.4	Closed string spectra	336
14.5	Open string spectra	339
14.6	Anomalies for $\mathcal{N} = 1$ in six dimensions	341

15	D-branes and geometry II	345
15.1	Probing p with $D(p-4)$	345
15.2	Probing six-branes: Kaluza–Klein monopoles and M-theory	346
15.3	The moduli space of 3D supersymmetric gauge theory	348
15.4	Wrapped branes and the enhançon mechanism	352
15.4.1	Wrapping D6-branes	353
15.4.2	The repulson geometry	354
15.4.3	Probing with a wrapped D6-brane	356
15.5	The consistency of excision in supergravity	360
15.6	The moduli space of pure glue in 3D	362
15.6.1	Multi-monopole moduli space	363
16	Towards M- and F-theory	367
16.1	The type IIB string and F-theory	367
16.1.1	$SL(2, \mathbb{Z})$ duality	368
16.1.2	The (p, q) strings	369
16.1.3	String networks	371
16.1.4	The self-duality of D3-branes	373
16.1.5	(p, q) Fivebranes	375
16.1.6	$SL(2, \mathbb{Z})$ and D7-branes	376
16.1.7	Some algebraic geometry	379
16.1.8	F-theory, and a dual heterotic description	383
16.1.9	(p, q) Sevenbranes	384
16.1.10	Enhanced gauge symmetry and singularities of K3	386
16.1.11	F-theory at constant coupling	387
16.1.12	The moduli space of $\mathcal{N} = 2$ $SU(N)$ with $N_f = 4$	392
16.2	M-theory origins of F-theory	394
16.2.1	M-branes and odd D-branes	396
16.2.2	M-theory on K3 and heterotic on T^3	399
16.2.3	Type IIA on K3 and heterotic on T^4	400
16.3	Matrix theory	400
16.3.1	Another look at D0-branes	401
16.3.2	The infinite momentum frame	402
16.3.3	Matrix string theory	404
17	D-branes and black holes	409
17.1	Black hole thermodynamics	409
17.1.1	The path integral and the Euclidean calculus	409
17.1.2	The semiclassical approximation	411
17.1.3	The temperature of black holes	412

17.2	The Euclidean action calculus	414
17.2.1	The action for Schwarzschild	414
17.2.2	The action for Reissner–Nordström	416
17.2.3	The laws of thermodynamics	417
17.3	$D = 5$ Reissner–Nordström black holes	418
17.3.1	Making the black hole	420
17.3.2	Microscopic entropy and a 2D field theory	425
17.3.3	Non-extremality and a 2D dilute gas limit	427
17.4	Near horizon geometry	429
17.5	Replacing T^4 with $K3$	432
17.5.1	The geometry	432
17.5.2	The microscopic entropy	433
17.5.3	Probing the black hole with branes	434
17.5.4	The enhançon and the second law	437
18	D-branes, gravity and gauge theory	440
18.1	The AdS/CFT correspondence	441
18.1.1	Branes and the decoupling limit	441
18.1.2	Sphere reduction and gauged supergravity	443
18.1.3	Extracts from the dictionary	446
18.1.4	The action, counterterms, and the stress tensor	449
18.2	The correspondence at finite temperature	452
18.2.1	Limits of the non-extremal D3-brane	452
18.2.2	The AdS–Schwarzschild black hole in global coordinates	453
18.3	The correspondence with a chemical potential	455
18.3.1	Spinning D3-branes and charged AdS black holes	455
18.3.2	The AdS–Reissner–Nordström black hole	459
18.3.3	Thermodynamic phase structure	459
18.4	The holographic principle	464
19	The holographic renormalisation group	467
19.1	Renormalisation group flows from gravity	467
19.1.1	A BPS domain wall and supersymmetry	469
19.2	Flowing on the Coulomb branch	472
19.2.1	A five dimensional solution	472
19.2.2	A ten dimensional solution	475
19.2.3	Probing the geometry	475
19.2.4	Brane distributions	478
19.3	An $\mathcal{N} = 1$ gauge dual RG flow	480
19.3.1	The five dimensional solution	482