

Karen E. Smith, Lauri Kahanpää
Pekka Kekäläinen, William Traves

An Invitation to Algebraic Geometry

代数几何入门

Springer

世界图书出版公司
www.wpcbj.com.cn

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图书在版编目 (CIP) 数据

代数几何入门: 英文/ (美) 史密斯 (Smith, K. E.) 著.
—影印本. —北京: 世界图书出版公司北京公司, 2010. 2
书名原文: An Invitation to Algebraic Geometry
ISBN 978-7-5100-0515-2

I. ①代… II. ①史… III. ①代数几何—英文
IV. ①O187

中国版本图书馆 CIP 数据核字 (2010) 第 010702 号

书 名: An Invitation to Algebraic Geometry
作 者: Karen E. Smith et al.

中 译 名: 代数几何入门
责任编辑: 高蓉 刘慧

出 版 者: 世界图书出版公司北京公司
印 刷 者: 三河国英印务有限公司
发 行: 世界图书出版公司北京公司 (北京朝内大街 137 号 100010)
联系电话: 010-64021602, 010-64015659
电子信箱: kjb@wpcbj.com.cn

开 本: 24 开
印 张: 7.5
版 次: 2010 年 01 月
版权登记: 图字: 01-2009-1064

书 号: 978-7-5100-0515-2/O · 731 定 价: 28.00 元

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Mathematics Subject Classification (2000): 14-01

Library of Congress Cataloging-in-Publication Data
An invitation to algebraic geometry / Karen E. Smith ...[et al.].

p. cm.— (Universitext)

Includes bibliographical references.

ISBN 0-387-98980-3 (alk. paper)

I. Geometry, Algebraic. I. Smith, Karen E., 1965— II. Series.

QA564 .I62 2000

516.3'5—dc21

00-026595

ISBN 0-387-98980-3

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Notes for the Second Printing

The second printing of this book corrects the many typos and errors that were brought to our attention by readers from around the world. We have also added a few exercises and clarified parts of the text. We are grateful to all the readers who have helped improve our book, but owe particular thanks to Brian Conrad, Sándor Kovács, Grisha Stewart, and especially to Rahim Zaare Nahandi of the University of Tehran, who is engaged in translating this volume into Persian.

Karen E. Smith
Berkeley, CA, USA
March 2003

Preface

These notes grew out of a course at the University of Jyväskylä in January 1996 as part of Finland's new graduate school in mathematics. The course was suggested by Professor Kari Astala, who asked me to give a series of ten two-hour lectures entitled "Algebraic Geometry for Analysts." The audience consisted mainly of two groups of mathematicians: Ph.D. students from the Universities of Jyväskylä and Helsinki, and mature mathematicians whose research and training were quite far removed from algebra. Finland has a rich tradition in classical and topological analysis, and it was primarily in this tradition that my audience was educated, although there were representatives of another well-known Finnish school, mathematical logic.

I tried to conduct a course that would be accessible to everyone, but that would take participants beyond the standard course in algebraic geometry. I wanted to convey a feeling for the underlying algebraic principles of algebraic geometry. But equally important, I wanted to explain some of algebraic geometry's major achievements in the twentieth century, as well as some of the problems that occupy its practitioners today. With such ambitious goals, it was necessary to omit many proofs and sacrifice some rigor.

In light of the background of the audience, few algebraic prerequisites were presumed beyond a basic course in linear algebra. On the other hand, the language of elementary point-set topology and some basic facts from complex analysis were used freely, as was a passing familiarity with the definition of a manifold.

My sketchy lectures were beautifully written up and massaged into this text by Lauri Kaharpää and Pekka Kekäläinen. This was a Herculean effort,

no less because of the excellent figures Lauri created with the computer. Extensive revisions to the Finnish text were carried out together with Lauri and Pekka; later Will Traves joined in to help with substantial revisions to the English version. What finally resulted is this book, and it would not have been possible without the valuable contributions of all members of our four-author team.

This book is intended for the working or the aspiring mathematician who is unfamiliar with algebraic geometry but wishes to gain an appreciation of its foundations and its goals with a minimum of prerequisites. It is not intended to compete with such comprehensive introductions as Hartshorne's or Shafarevich's texts, to which we freely refer for proofs and rigor. Rather, we hope that at least some readers will be inspired to undertake more serious study of this beautiful subject. This book is, in short, *An Invitation to Algebraic Geometry*.

Karen E. Smith
Jyväskylä, Finland
August 1998

Acknowledgments

The notes of Ari Lehtonen, Jouni Parkkonen, and Tero Kilpeläinen complemented those of authors Lauri and Pekka in producing a typed version of the original lectures. Comments of Osmo Pekonen, Ari Lehtonen, and Lassi Kurittu then helped eradicate most of the misprints and misunderstandings marring the first draft, and remarks of Bill Fulton later helped improve the manuscript. Artistic advice from Virpi Kauko greatly improved the pictures, although we were able to execute her suggestions only with the help of Ari Lehtonen's prize-winning Mathematica skills. Computer support from Ari and from Bonnie Freidman at MIT made working together feasible in Jyväskylä and in the US despite different computer systems. The suggestions of Manuel Blickle, Mario Bonk, Bill Fulton, Juha Heinonen, Eero Hyry, and Irena Swanson improved the final exposition. We are especially grateful to Eero for comments on the Finnish version; as one of the few algebraic geometers working in Finland, he advised us on the choices we made regarding mathematical terminology in the Finnish language. The Finnish craftwork of Liisa Heinonen provided instructive props for the lectures, most notably the traditional Christmas Blowup, whose image appears inside the cover of this book. Cooperation with Ari Lehtonen was crucial in creating the photograph. The lectures were hosted by the University of Jyväskylä mathematics department, and we are indebted to the chairman, Tapani Kuusalo, for making them possible. Finally, author Karen acknowledges the patience of her daughter Sanelma during the final stages of work on this project, and the support of her husband and babysitter, Juha Heinonen.

Karen E. Smith
Ann Arbor, Michigan, USA
July 2000

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van Brunt: The Calculus of Variations
Wong: Weyl Transforms
Zhang: Matrix Theory: Basic Results and Techniques
Zong: Sphere Packings
Zong: Strange Phenomena in Convex and Discrete Geometry

Index of Notation

- \mathbb{A}^n Affine n -space
- $B_I(V)$ blow up of V along the ideal I
- $B_p(V)$ blow up of V at the point p
- $B_Y(V)$ blow up of V along the subvariety Y
- \mathbb{C} complex numbers
- $\mathbb{C}[V]$ coordinate ring of the variety V
- $\mathbb{C}(V)$ function field of V
- dF differential of F
- \mathbb{F}_p field of p elements
- $F^\#$ pull-back of a morphism F
- $(\{F_i\})$ ideal generated by the polynomials F_i
- $\mathrm{GL}(n, \mathbb{C})$ group of invertible $n \times n$ complex matrices
- $\mathrm{Gr}(k, n)$ Grassmannian variety
- Γ_F graph of the rational map F
- $I(V)$ ideal of functions vanishing on V
- \sqrt{I} radical of the ideal I
- $|L|$ complete linear system
- $\mathrm{maxSpec}(R)$ maximal spectrum of a ring R
- \mathfrak{M}_g moduli space of curves of genus g
- \mathcal{O}_V structure sheaf of V
- Ω_X sheaf of sections of the cotangent bundle
- ω_X canonical line bundle
- \mathbb{P}^n Projective n -space
- $\check{\mathbb{P}}^n$ dual projective n -space
- $[a_0 : \cdots : a_n]$ point in \mathbb{P}^n

$\mathrm{PGL}(n, \mathbb{C})$ automorphism group of \mathbb{P}^{n-1}
 \mathbb{R} real numbers
 $\tilde{\mathcal{R}}$ sheaf associated to $\mathrm{Spec}(R)$
 $\mathcal{R}(U)$ sections of a sheaf \mathcal{R} over an open set U
 $X \dashrightarrow Y$ rational map from X to Y
 $\mathrm{Sec} X$ secant variety to X
 $\mathrm{SL}(n, \mathbb{C})$ group of $n \times n$ complex matrices with determinant 1
 $\mathrm{Spec}(R)$ spectrum of a ring R
 $\Sigma_{m,n}$ Segre mapping
 $\mathrm{Sing} V$ singular locus of V
 $\mathrm{Tan} X$ tangent variety to X
 $T_p V$ tangent space to V at the point p
 TV total tangent bundle to V
 Θ_X sheaf of sections of the tangent bundle
 $U(n)$ group of unitary $(n \times n)$ -matrices
 \bar{V} projective closure of V
 $\mathbb{V}(\{F_i\})$ common zeros of the polynomials F_i
 ν_d Veronese mapping of degree d
 \mathbb{Z} integers

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1

Affine Algebraic Varieties

Algebraic geometers study zero loci of polynomials. More accurately, they study geometric objects, called algebraic varieties, that can be described locally as zero loci of polynomials. For example, every high school mathematics student has studied a bit of algebraic geometry, in learning the basic properties of conic sections such as parabolas and hyperbolas.

Algebraic geometry is a thriving discipline with a rich history. In ancient Greece, mathematicians such as Apollonius probably knew that a non-degenerate plane conic is uniquely determined by five tangent lines, a problem that would cause many modern students of algebraic geometry to pause. But it was not until the introduction of the Cartesian coordinate system in the seventeenth century, when it became possible to study conic sections by considering quadratic polynomials, that the subject of algebraic geometry could really take off.

By the mid-nineteenth century, algebraic geometry was flourishing. On the one hand, Riemann realized that compact Riemann surfaces can always be described by polynomial equations. On the other hand, particular examples of algebraic varieties, such as quadric and cubic surfaces (zero loci of a single quadratic or cubic polynomial in three variables) were well known and intensely studied. For example, it was understood that every quadric surface is perfectly covered by a family of disjoint lines, whereas every cubic surface contains exactly twenty-seven lines. Detailed studies of the ways in which these twenty-seven lines can be configured and how they can vary in families occupied the attention of numerous nineteenth-century mathematicians.