



全球化与市场开放中的 **中国企业案例研究**

CHINESE FIRMS

IN THE ERA OF GLOBALISATION



主编 王巍 (WANG Wei)
余曦 (Jean-François HUCHET)

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图书在版编目 (CIP) 数据

全球化与市场开放中的中国企业案例研究/王巍, 余曦著.
北京: 中国发展出版社, 2008. 9

ISBN 978-7-80234-263-7

I. 全… II. ①王… ②余… III. 企业经济—经济发展—
案例—研究—中国 IV. F279.2

中国版本图书馆 CIP 数据核字 (2008) 第 129578 号

书 名: 全球化与市场开放中的中国企业案例研究

著作责任者: 王巍 余曦

出版发行: 中国发展出版社

(北京市西城区百万庄大街 16 号 8 层 100037)

标准书号: ISBN 978-7-80234-263-7/F·768

经 销 者: 各地新华书店

版 式 设 计: 北京飞亚景文化传播有限公司

印 刷 者: 北京大地印刷厂

开 本: 670 × 990mm 1/16

印 张: 19.5

字 数: 270 千字

版 次: 2008 年 9 月第 1 版

印 次: 2008 年 9 月第 1 次印刷

定 价: 45.00 元

联 系 电 话: (010) 68990630 68990692

购 书 热 线: (010) 68990682 68990686

网 址: <http://www.developress.com.cn>

电 子 邮 件: bianjibu16@vip.sohu.com

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前 言

本论文集所收论文，选编自 2005 ~ 2006 年度欧盟小项目便捷基金支持的国际合作研究课题“全球化与市场开放对企业的影响——中国案例研究”。该课题由中国社会科学院世界经济与政治研究所副研究员王巍女士和法国当代中国研究中心主任余曦博士共同主持，参与课题研究的中欧学者超过 60 人，课题组主要成员包括中国学者 8 人，即中国社会科学院世界经济与政治研究所鲁桐研究员、康荣平研究员和王巍副研究员，中国企业管理科学基金会副会长、清华大学经济管理学院潘承烈教授，国务院发展研究中心企业研究所李兆熙副所长，中国科学技术促进发展研究中心孔欣欣副研究员，中南财经政法大学 MBA 学院院长汪海粟教授，中山大学广东发展研究院院长丘海雄教授等。欧盟方面学者 10 人，即法国当代中国研究中心主任 Jean-François HUCHET（余曦）研究员、法国巴黎第三大学 Xavier RICHET 教授、法国预测与国际信息研究中心 Françoise LEMOINE 研究员、法国发展研究院 Rigas ARVANITIS 研究员，法国里昂第三大学 Jean RUFFIER 教授，法国马赛商学院王华助理教授，法国国家科研中心 Joel RUET 研究员，英国伦敦经济学院 Athar HUSSAIN 教授，西班牙巴塞罗那大学 Benjamin BASTIDA 教授和 Maria-Teresa VIRGILI 教授等。

该课题是在中国逐步融入经济全球化的大背景下设立的，课题内容主要分为三大部分：企业的宏观政策环境，企业的技术进步与科技创新以及公司治理。其目的是透过中国近 30 年的经济改革与高速发

展，了解、研究、分析中国企业的发展进程和全球化、市场开放对中国企业的影响，为深入分析和日后的比较研究奠定基础。课题组在2005~2006年期间走访了多个企业、政府管理部门和学术团体，获取了大量关于企业微观层面的第一手研究资料。同时，由于课题设计框架、讨论等都是由中欧学者长期合作完成，双方的认识角度和分析方法互相补充，使本课题的研究独具国际特色，为此类研究提供了分析与研究的不同视野。

本论文集是课题组在2005~2006两年里研究成果的部分展示和总结，从长远合作角度看，还只是中欧学者合作的基础内容部分，上述课题组主要成员在此基础上仍在继续进行新的延展课题合作和国际比较。目前正在进行的相关课题有《中国企业的产业集群发展和科技创新》和《市场开放条件下的中印企业发展比较研究》等。后续成果将会陆续出版。

本论文集虽为集体研究成果，但各篇文章均为作者个人分别独立（或合作）写作完成，故文责由各篇文章署名作者自负。

在此，课题组全体成员向给予我们课题研究大力支持的中国社会科学院世界经济与政治研究所、法国当代中国研究中心、中南财经政法大学 MBA 学院、中山大学广东发展研究院、欧盟小项目便捷基金、法国驻华使馆经济处、文化处、法国驻广州总领馆等单位表示感谢。对参加我们课题访问、讨论和国际研讨会的所有中外学者表示感谢。同时，特别感谢中国语言文化大学王旭老师、北京第二外国语学院徐潇潇同学在研究成果翻译和文献资料整理方面承担的大量工作。感谢中国发展出版社对本论文集的出版给予的大力支持。

王 巍 余 曦

2008 年 6 月于北京

Foreword

This volume is based on the research project of “Globalisation and Market Opening and Its Impacts on National Firms: China Cases”, which was carried out during 2005 ~ 2006 under the Small Project Facilities Program, funded by the European Union. This project was coordinated by Ms. WANG Wei, associate professor of Institute of World Economics and Politics (IWEP) of Chinese Academy of Social Sciences (CASS) in Beijing and Dr. Jean-François HUCHET, director of French Center for Research on Contemporary China (CEFC) in Hong Kong. The core group of scholars working on this program includes 8 chinese scholars and 10 European scholars (though nearly 60 scholars in total have collaborated to this research program), i. e. Dr. LU Tong, Mr. KANG Rongping and Ms. WANG Wei, from IWEP-CASS; Prof. PAN Chenglie, from China Management Science Foundation and Tsinghua University; Mr. LI Zhaoxi, from Development Research Center of State Council; Dr. KONG Xinxin, from Chinese Academy of Sciences and Technology for Development; Prof. WANG Haisu, from Zhongnan University of Economics and Law; Prof. QIU Haixiong, from Sun Yat-sen University; Dr. Jean-François HUCHET, from CEFC, Prof. Xavier RICHET, from University Sorbonne Nouvelle of Paris 3; Ms. Françoise LEMOINE, from Research Center of Perspectives and International Information (CEPII), France; Dr. Rigas ARVANITIS, from Institute of Research and Development (IRD),

France; Prof. Jean RUFFIER, University of Lyon 3; Dr. WANG Hua, from Euromed Business School of Marseille, France; Dr. Joel RUET, from National Research Center of France (CNRS); Prof. Athar HUSSAIN, from London School of Economic; Prof. Benjamin BASTIDA and Prof. Maria-Teresa VIRGILI, from University of Barcelona.

The research aims at evaluating the impact on chinese enterprises of the opening of the domestic market following World Trade Organisation (WTO) agreements and recent evolution on Foreign Direct Investment (FDI) policies. The research program has focused on three main field;

- ① The impact on industrial policy and the relationship between the economic administration and firms;
- ② The impact on the corporate governance of industrial groups;
- ③ Technological catching-up and emancipation.

Our analyses are based on the interviews with enterprise managers, government officials and related scholars during the years of 2005 and 2006 in China where we had obtained great amount of firsthand information and documentation. Meanwhile, all of the papers as well as the framework of the book were the result of a long-term academic cooperation between EU and China with the same group of researchers. It brings a mix of different thinking style and a comparative perspective.

This volume is part of a broader research program of the group. Two related projects are currently undertaking by the same group of researchers. One is on *The comparative studies on enterprises development between China and India* and another is *Industrial Clusters and Chinese Enterprise R&D Innovation*. A publication is currently planned on these subjects for 2009.

Although this volume is the result of a joint research made by a group of researchers, each paper has been written by a single author. the responsibility of the content of each paper rests on individual author.

Jean-François HUCHET

WANG Wei

Acknowledgement

On behalf of the research group we would like to convey our greatest thanks to the following institutions which gave us great support to our project: Institute of World Economics and Politics of Chinese Academy of Social Sciences; MBA School of Zhongnan University of Economics and Law; Sun Yat-sen University; EU Small Project Facilities Programme; French Center for the Research on Contemporary China; Department of Economy and Commerce of French Embassy in Beijing; Department of Culture and Scientific Cooperation of French Embassy in Beijing; General Consulate of France in Guangzhou, etc. We are grateful to those scholars who had participated our interviews, discussions and the international conference. We would also thank Prof. WANG Xu, from Beijing Language and Culture University; Miss XU Xiaoxiao, from Beijing International Studies University, for their contribution to translating and documentation. Special acknowledgement to China Development Press which helps us a lot for the publication.

Jean-François HUCHET
WANG Wei

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